

Reductions

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Theory of Computation

A_{TM} is Undecidable

- $A_{TM} = \{ \langle M, w \rangle : M \text{ is a TM that accepts } w \}$
- Theorem: A_{TM} is Undecidable
- Proof: Suppose there exists a TM H that decides A_{TM} . Then, for any input $\langle M, w \rangle$, H accepts if M accepts w and rejects otherwise.
- Derive contradiction using diagonalization

Diagonalization!

	<M1>	<M2>	<M3>	<M4>	<M5>	...
H	M1	acc	rej	acc	acc	rej
	M2	acc	acc	acc	rej	rej
	M3	rej	acc	rej	rej	rej
	M4	rej	rej	acc	rej	rej
	M5	acc	rej	rej	acc	acc
	...					

H accepts $\{ \langle M, \langle M \rangle \rangle : M \text{ accepts } \langle M \rangle \}$

Diagonalization!

	<M1>	<M2>	<M3>	<M4>	<M5>	...	<D>
H	M1	acc	rej	acc	acc	rej	
	M2	acc	acc	acc	rej	rej	
	M3	rej	acc	rej	rej	rej	
	M4	rej	rej	acc	rej	rej	
	M5	acc	rej	rej	acc	acc	
	...						
	D	rej	rej	acc	acc	rej	???

H accepts $\{ \langle M, \langle M \rangle \rangle : M \text{ accepts } \langle M \rangle \}$

Diagonalization: Let D be a TM that negates diagonal

D is a TM: Call H on $\langle M, \langle M \rangle \rangle$ and negate, so on list

But D is different, by construction, from all M_i . \surd

A_{TM} is Undecidable

- Theorem: A_{TM} is Undecidable. ($A_{TM} = \{ \langle M, w \rangle : M \text{ is a TM that accepts } w \}$)
- Proof: Suppose there exists a TM H that decides A_{TM} . Then, for any input $\langle M, w \rangle$, H accepts if M accepts w and rejects otherwise.
- Consider a TM D that takes an input $\langle M \rangle$, the description of M , and takes the following steps.
 - Run H on $\langle M, \langle M \rangle \rangle$
 - If H accepts, reject
 - If H rejects, accept
- Since H is a decider, D is also a decider.
- D on $\langle D \rangle = \text{accept}$
iff {def. D } H $\langle D, \langle D \rangle \rangle = \text{reject}$
iff {def. H } D on $\langle D \rangle = \text{reject}$ (Go both directions!) \sphericalangle

Reducibility

- We showed the undecidability of HALT_{TM} by reducing A_{TM} to HALT_{TM}
- We write $A_{\text{TM}} \leq_M \text{HALT}_{\text{TM}}$
- This is read as “ A_{TM} is mapping reducible to HALT_{TM} ”
- If $A \leq_M B$ that means there is a *computable* function $f: \Sigma^* \rightarrow \Sigma^*$ s.t. for all w
 - $w \in A$ iff $f(w) \in B$
 - f is a *reduction* from A to B
- A function is computable if some TM, on every input w halts with $f(w)$ on tape

Reducibility

- Theorem: If $A \leq_M B$ and B is decidable, then A is decidable
- Proof: Let M be a decider for B and f the reduction from A to B . Here is a decider, N , for A
 - Given w , compute $f(w)$
 - Run M on $f(w)$, returning same output
- Why doesn't the other direction work?
- Corollary: If $A \leq_M B$ and A is undecidable, then B is undecidable. Proof?
- Our proof of undecidability of HALT_{TM} was essentially based on this corollary.
- Mapping reducibility version: f is defined by TM F : On input $\langle M, w \rangle$
 - Construct M' : Given x : Run M on x . If M accepts, accept else loop
 - Output $\langle M', w \rangle$
- Note: $\langle M, w \rangle \in A_{\text{TM}}$ iff $f(\langle M, w \rangle) (= \langle M', w \rangle) \in \text{HALT}_{\text{TM}}$
- Theorem: If $A \leq_M B$ and B is R.E., then A is R.E. (Same proof as above)
- Corollary: If $A \leq_M B$ and A is not R.E., then B is not R.E.

Rice's Theorem

- P is undecidable if it is a language consisting of TM descriptions s.t.
 - P is nontrivial: $P \neq \emptyset$ & P does not include all TM descriptions
 - If $L(M_1) = L(M_2)$ then $\langle M_1 \rangle \in P$ iff $\langle M_2 \rangle \in P$
- Proof: By a reduction from A_{TM} , i.e., we show $A_{TM} \leq_M P$
- Let E be a TM s.t. $L(E) = \emptyset$. Assume $\langle E \rangle \notin P$ ($A_{TM} \leq_M \neg P$ works also)
- Note: there exists TM T s.t. $\langle T \rangle \in P$
- $f(\langle M, w \rangle) = \text{TM } M_w$: On input x , simulate M on w . If M accepts, simulate T on x .
- f is a mapping reduction
 - $\langle M, w \rangle \in A_{TM} \Rightarrow L(\langle M_w \rangle) = L(T) \Rightarrow \langle M_w \rangle \in P$
 - $\langle M, w \rangle \notin A_{TM} \Rightarrow L(\langle M_w \rangle) = L(E) \Rightarrow \langle M_w \rangle \notin P$
- $\{\langle M \rangle : M \text{ always halts}\}, \{\langle M \rangle : L(M) = \Sigma^*\}, \dots$ all undecidable by Rice's Theorem

Halting Problem

- $\text{HALT}_{\text{TM}} = \{ \langle M, w \rangle : M \text{ halts on } w \}$
- Theorem: HALT_{TM} is undecidable.
- Proof: We show that if HALT_{TM} is decidable, then so is A_{TM} .
- Preview of reduction: We reduce from A_{TM} to HALT_{TM} ($A_{\text{TM}} \leq_M \text{HALT}_{\text{TM}}$).
- Suppose H is the decider for HALT_{TM} . Then define a decider A for A_{TM} as follows. On input $\langle M, w \rangle$, A calls H on input $\langle M, w \rangle$. If H accepts, then A runs M on w and accepts if M accepts w , rejecting otherwise. If H rejects, then A rejects.
- Consider $\langle M, w \rangle$ in A_{TM} . Since M accepts w , M halts on w . So H accepts $\langle M, w \rangle$. A calls H , which accepts, and then runs M on w , which accepts, so A accepts.
- Consider $\langle M, w \rangle$ not in A_{TM} . If M does not halt on w , H rejects $\langle M, w \rangle$, and so does A . Otherwise, M halts on w and rejects w . So A calls H , which accepts $\langle M, w \rangle$. A then calls M on w , which terminates in a reject state, so A rejects.

E_{TM} is undecidable

- $E_{TM} = \{ \langle M \rangle \mid L(M) = \emptyset \}$ is undecidable
- Proof: Suppose it is decidable. Let R be a TM deciding it.
- Define S , a decider for A_{TM} : On input $\langle M, w \rangle$
 - Construct Machine M_1 : if input $\neq w$, reject else run M on w
 - Note: language of M_1 is either \emptyset or $\{w\}$
 - Runs R on $\langle M_1 \rangle$
 - If R accepts, reject; if R rejects, accept
- S is a decider for A_{TM}
- Note: S has to construct M_1 : add extra states to check input= w
- Reduction: f takes $\langle M, w \rangle$ and produces $\langle M_1 \rangle$. M accepts w iff $L(M_1) \neq \emptyset$, so we showed
 - $A_{TM} \leq_M \neg E_{TM}$
 - which implies E_{TM} is not decidable (decidability is *not* affected by complementation)

EQ_{TM} is undecidable

- $EQ_{TM} = \{ \langle M, N \rangle \mid L(M) = L(N) \}$ is undecidable }
- Proof: E_{TM} is just a special case where $L(N) = \emptyset$. So, show $E_{TM} \leq_M EQ_{TM}$. Let R be a TM deciding EQ_{TM} .
- Define S , a decider for E_{TM} : On input $\langle M \rangle$
 - Runs R on $\langle M, N \rangle$ where N is a TM that rejects all inputs
 - If R accepts, accept; if R rejects, reject
- S is a decider for A_{TM}
- Reduction: f takes $\langle M \rangle$ and produces $\langle M, N \rangle$ where N is a TM that always rejects. $L(M) = \emptyset$ iff $L(M) = L(N)$ (where $L(N) = \emptyset$)

EQ_{TM} is not R.E.

- $EQ_{TM} = \{ \langle M, N \rangle \mid L(M) = L(N) \}$ is not R.E.
- Recall the corollary: If $A \leq_M B$ and A is not R.E., then B is not R.E.
- But $A \leq_M B$ iff $\neg A \leq_M \neg B$ so to show B is not R.E. we can instead show $A_{TM} \leq_M \neg B$
- Plan: Show $A_{TM} \leq_M \neg EQ_{TM}$
- Proof: $F =$ Given $\langle M, w \rangle$ (1) construct M_1 : always reject and M_2 : Run M on w (2) Output $\langle M_1, M_2 \rangle$
 - If M accepts w , M_2 accepts everything, so M_1, M_2 are not equivalent
 - If M doesn't accept w , M_2 accepts nothing, so M_1, M_2 are equivalent

$\neg EQ_{TM}$ is not R.E.

- $\neg EQ_{TM} = \{ \langle M, N \rangle \mid L(M) \neq L(N) \}$ is not R.E.
- Plan: Show $A_{TM} \leq_M EQ_{TM}$
- Proof: $G =$ Given $\langle M, w \rangle$ (1) construct M_1 : always accept and M_2 : Run M on w (2) Output $\langle M_1, M_2 \rangle$
 - If M accepts w , M_2 accepts everything, so M_1, M_2 are equivalent
 - If M doesn't accept w , M_2 accepts nothing, so M_1, M_2 are not equivalent
- We showed that neither of $EQ_{TM}, \neg EQ_{TM}$ are R.E. so EQ_{TM} is neither R.E. nor co-R.E.!

REGULAR_{TM} is undecidable

- $REGULAR_{TM} = \{ \langle M \rangle \mid L(M) \text{ is a regular language} \}$
- Plan: $A_{TM} \leq_M EQ_{TM}$
- Proof: Let R be a TM that decides $REGULAR_{TM}$ and construct S , which decides A_{TM} as follows
- S : Given $\langle M, w \rangle$
 - (1) Construct N : On input x : If $x \in 0^n 1^n$, accept, otherwise run M on w
 - (2) Run R on $\langle N \rangle$
 - (3) If R accepts, accept, else reject.
- If M accepts w , N accepts everything, so N is regular
- If M doesn't accept w , N accepts $\{x \in 0^n 1^n\}$ so N is not regular