

# Dynamic Programming

Divide & conquer

PB

decision/split

SUBPB

→ opt sol (subpb)

OPTSOL = glue (opt sol (subPB))

① is it possible for OPTSOL to be obtained via D&C?  
Characterize OPTSOL

DP recipe (writing) → required

②A recurrence of objective  $C[\text{input}] = \text{formula} (C[\text{sub}])$   
obj value opt

②B visual table (PB → subPB) dependencies

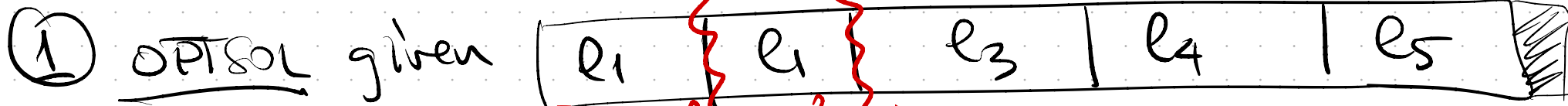
③ bottom up computation/pseudocode: solve all?  
subpb in the right order

④ Trace solution/print if necessary  $S[\text{pb}]$

DP1 Rod cutting  $n = \text{rod length}$   $n \in \mathbb{Z}^+$

price table	length	1	2	3	4	5	6
$n=1$ Greedy: 5+5+4	price	1	2	4.5	6.3	8	7
OPTS: 4+4+3	value	1	1	1.5	1.59	1.6	7/6

Task cut  $n$  into pieces to max total value



②A  $C[n]$  = Find the first cut at length  $k$

$k \in \{1, 2, \dots, R\}$

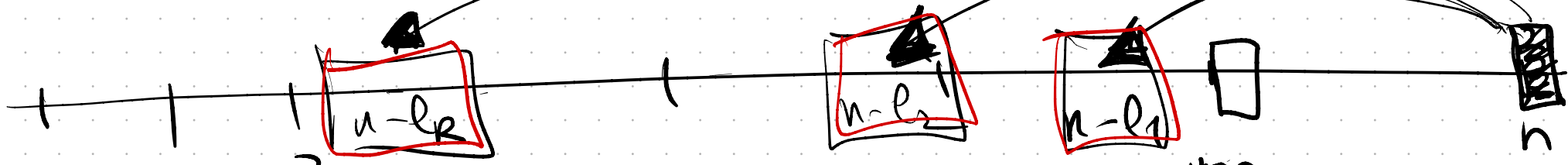
Max  $\{ \text{val}(k) + C[n-k] \}$  all possible  $k$

Max value for this input  $f(k)$

val(k) = value of first piece

$C[n-k]$  = best value of remain. rod.

2B visual PB  $\rightarrow$  SPB dependency



need to have those  $\leftarrow$  SPB solved already by

bottom up computation

the time we solve  $PB(n)$

- non recursive
- solve all? <sup>sub</sup> problems in what order? see 2B
- store results in table
- every pb solved only once

3 Bottom up computation order left  $\rightarrow$  right

$C[0] = 0$  //  $C[]$  = array of  $n$  values  
given  $n$

for  $m = 1$ :  $n$  // solve all subpb

// search for  $k$   $best = 0$ ,  $best_k = -1$   
~~fake / price~~

for  $k = 1$ : all length in table

if  $(m - l_k) < 0$  skip

if  $(V_k + C[m - l_k] > best)$

$best = V_k + C[m - l_k]$

$best_k = k$

subpb already solved

$C[m] = best$

$S[m] = best_k$ : first cut at end of length  $m$

output:  $C[n] = best$  value for rod length  $n$

$\Theta(nk)$

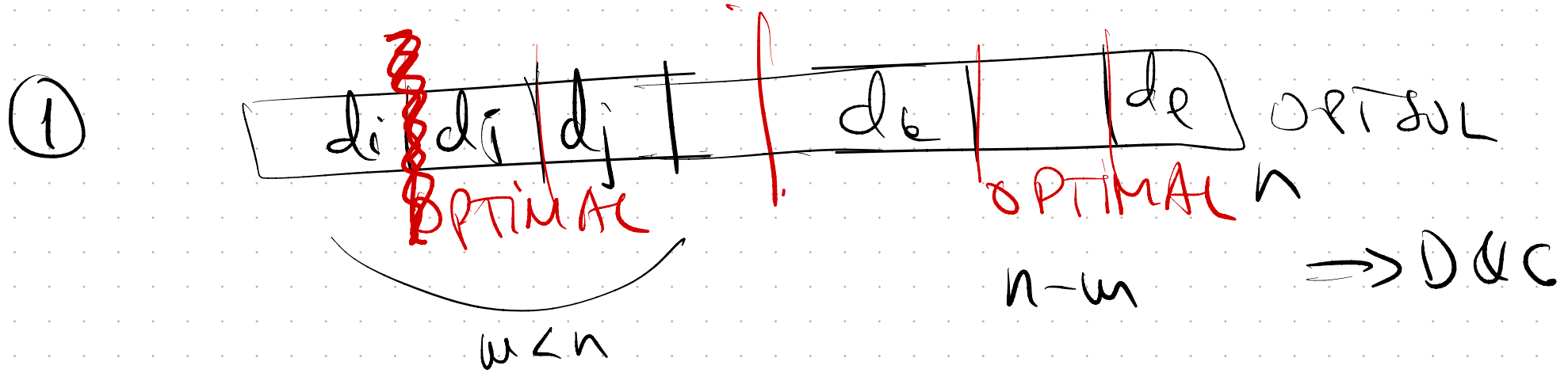
④ PrintSol (n)

output S(u)  
PrintSol ( n - S[u] )

while n > 0  
output S(u)  
n = n - S(u)

DP2 Coin Change  $D = \{d_1, d_2, \dots, d_k\}$

Task: min # of coins for exact change (n cents)



②A  $C[u] = \text{min \# of coin sum up to } u$

Search for first coin  $k$

$$= \text{Min}_{\text{all possible } k} \left\{ 1 + C[n - d_k] \right\}$$

That can



③ bottom up comp (u-max)  
C[0] = 0

For n = 1: u-max

best = ∞    best-k = -1

For k = 1: largest-denom ≤ n

if (1 + C[u-d<sub>k</sub>] < best) then  
    best = 1 + C[u-d<sub>k</sub>]  
    best-k = k

C[u] = best

S[u] = best-k

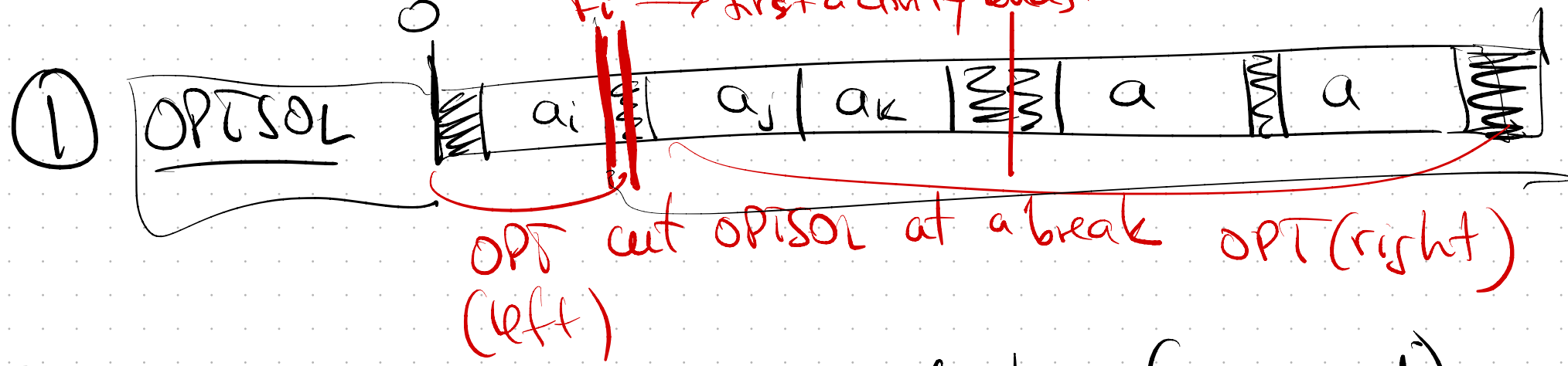
answer (obj): C[u-max]

Θ(u<sup>k</sup>)

4. Print solution  
(exercise)

DP3 Activity Selection  $(S_1, F_1) (S_2, F_2) \dots (S_n, F_n)$

Task: max total scheduled time



②  $C[n] = \max$  scheduled time of time (n: end)

activity  $k =$  search for cut of first activity  $= F_{(first)}$   
 $S_k \geq n$

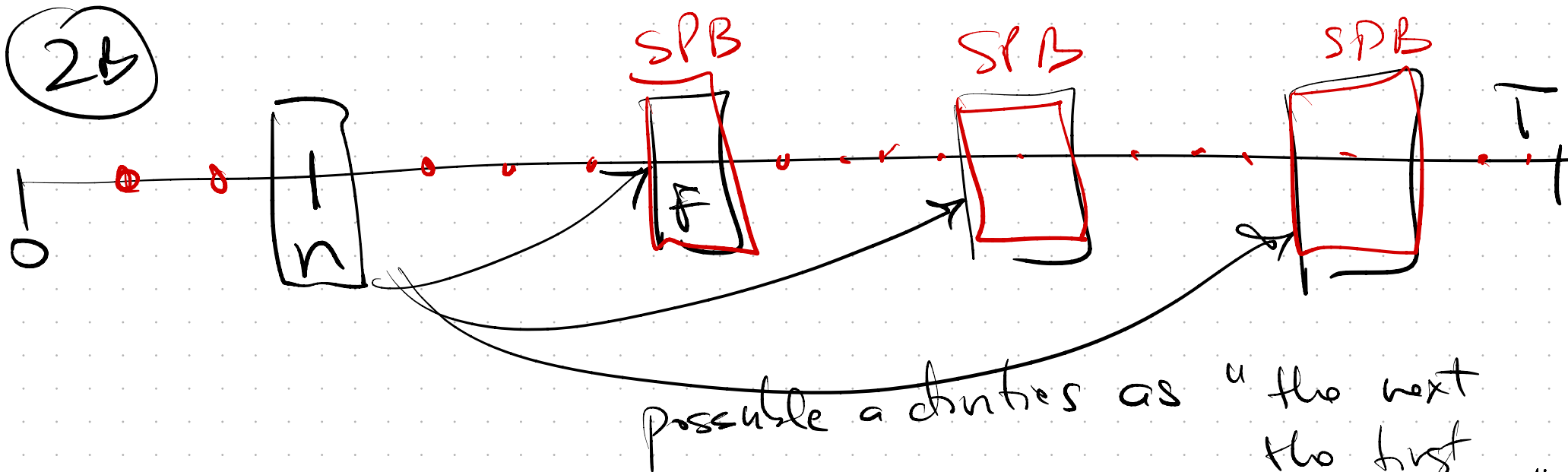
$= \max_{S_k \geq n} (F_k - S_k) + C[F_k]$

added sched time

best total scheduled time starting at  $F_k$



(2b)



possible activities as "the next  
to schedule"  
next

$$S_k \geq n$$

Assume: range of all times  $(S, F)$   
integers  $0 \leq S, F \leq T$

(3)

exercise

$$T = \max F \quad \text{time } [T, T]$$

(4)

exercise

First PB to solve  $C(T) = 0$

Second:  $\frac{T-L}{\text{second largest } F}$  ?

$$C[99:100] = 0$$

③ solve all subpt in  $C[i]$  table  
at  $F$  times

$C[i] = \max$  scheduled time  $[F_i : \text{end}]$   
possible

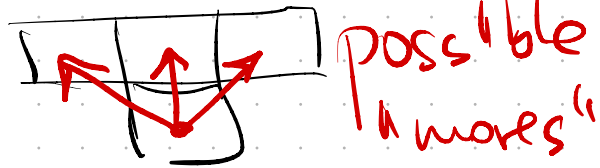
$$F_1 \leq F_2 \leq \dots \leq F_n$$

**DP4** checkboard

given,  $P[i,j] = p_{ij}$  = penalty of stepping on cell  $(i,j)$

Task path from cell in first row to any cell in last row with min total penalty.

- continuous
- going up



possible "moves"

1	22	12	7	28	19
				23	
8	7	16	1	3	5
5	10	11	12	3	6

Labels:  $i$  (row index),  $j$  (column index),  $n$  (last column index),  $m$  (last row index). A blue path is drawn starting from cell (5,2) and ending at cell (3,4). A red square highlights cell (3,4).

- cylinder  $column(n+1) = column 1$ ,  $column(-1) = column n$

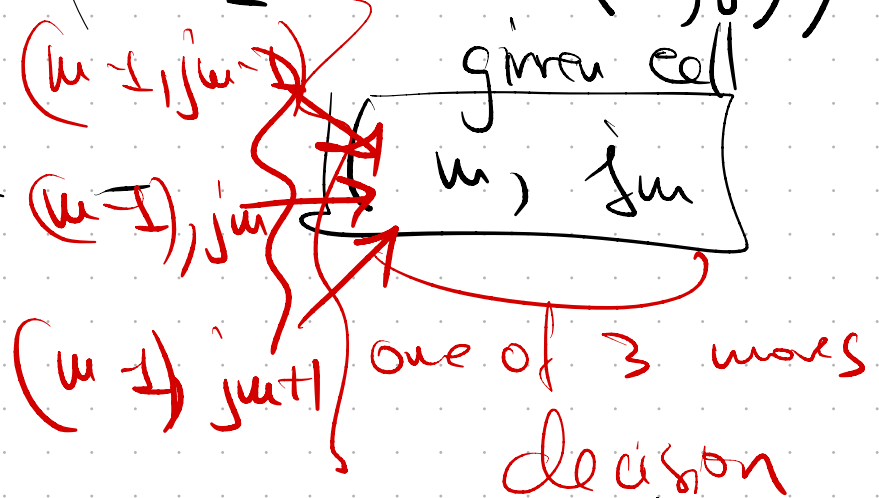
① D&C? OPT SOL = path  $(1, j_1) \rightarrow (2, j_2) \rightarrow \dots \rightarrow (m, j_m)$

valid,  $j_{k+1} \in \{j_{k-1}, j_k, j_{k+1}\}$   
 cell in path  $(i,j)$  break those

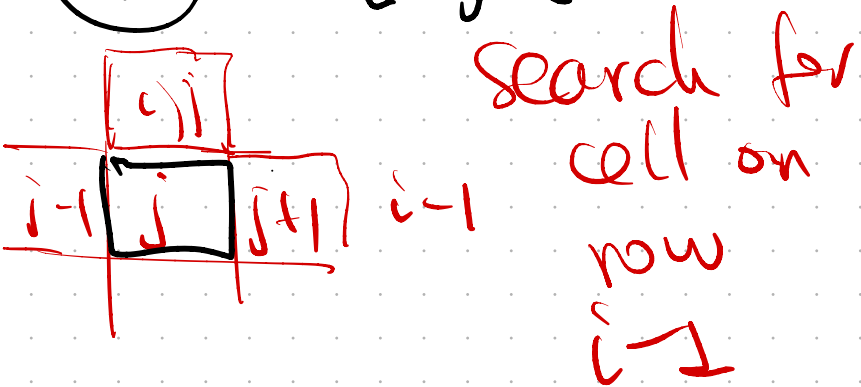
$\rightarrow$  path(row 1  $\rightarrow$  cell  $(i,j)$ ) optimal; path(cell  $(i,j) \rightarrow$  row  $m$ ) optimal

Reformulate PA : Task : Find min-total-penalty path (row 1  $\rightarrow$  cell  $(m, j)$ )

DP SOL :  $(1, i_1) (2, j_2) \dots$

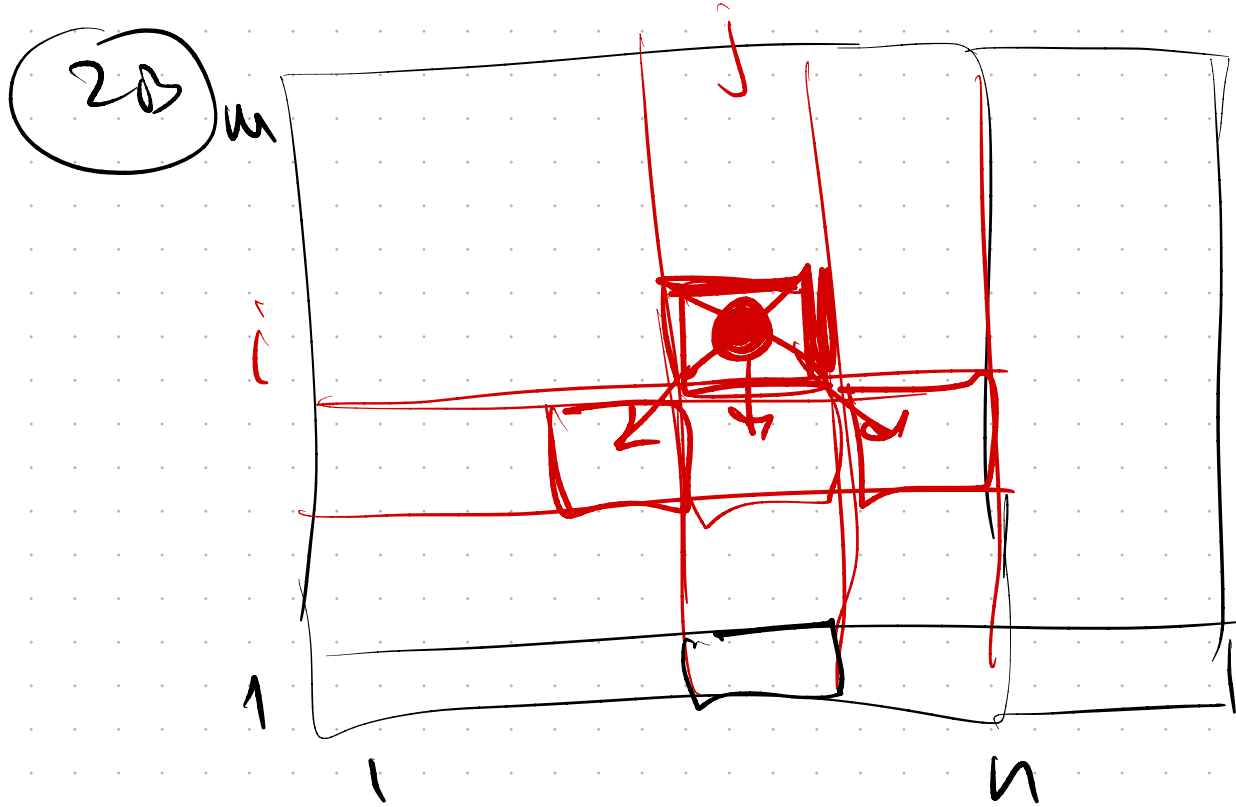


②  $C[i, j] = \text{best min path from row 1 to cell } C[i, j]$



$$\min_{k \in \{j-1, j, j+1\}} \{ C[i-1, k] \}$$

$$+ P[i, j]$$



for  $PB(i, j)$  i need  
 $SPB(i+1, j)$   $(i-1, j+1)$   
 $(i-1, j-1)$

$m \times n$  SPB

order: row by row  
 up

each SPB  $\Theta(1)$

3 (incomplete)

first row:  $c[1, j] = P[1, j]$

for  $r = 2 : m$

for  $col = 1 : n$

$$c[r, col] = P[r, col] + \min \begin{cases} c[r-1, col-1] \\ c[r-1, col] \\ c[r-1, col+1] \end{cases}$$

4

3B postprocess last row

Sample DP-like pb that don't appear DP

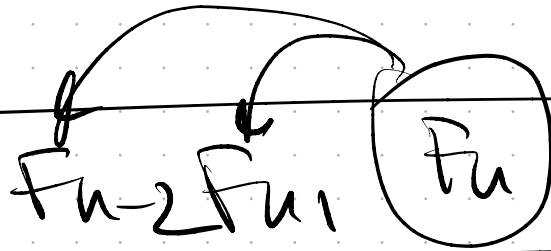
DP5) Fibonacci #

Compute  $F(n)$

$$F_n = F_{n-1} + F_{n-2}$$
$$F_0 = 0, F_1 = 1$$

F = table

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$$F(0) = 0; F(1) = 1$$

for  $k = 2 \dots n$

$$F(k) = F(k-2) + F(k-1)$$

output  $F(n)$

DP6

Compute  $\binom{n}{k} = C_{n,k} = n C k$

= # of ways to pick k items out of a set on n

= # subsets of size k of set of size n

=  $\frac{n!}{k!(n-k)!}$   $S = \{1, 2, \dots, n\}$

Recurrence  $2AC [n, k] = \binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$

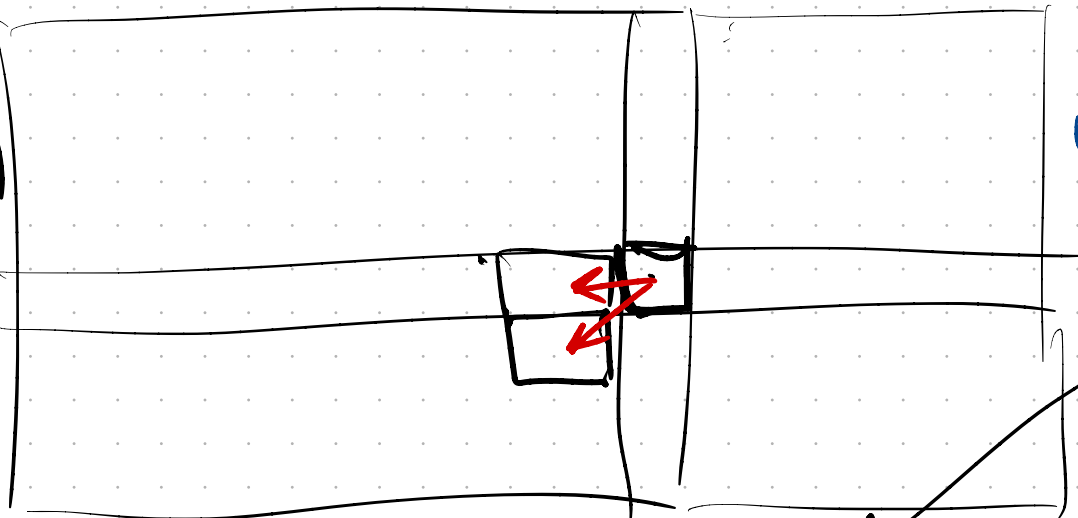
$C [n, k] = C [n-1, k-1] + C [n-1, k]$

ZB

$O(n^2)$

k  
k-1

1



select k-2 out of n-1

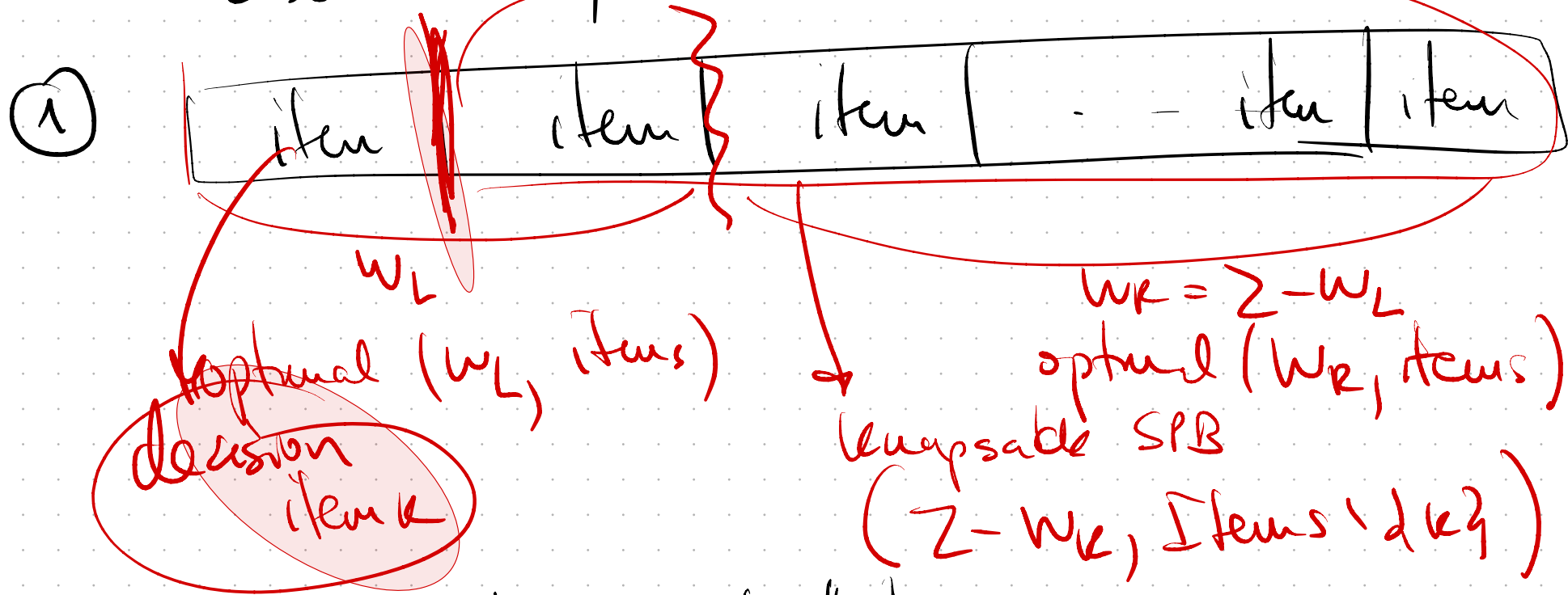
proof  $S = \{1, 2, \dots, n-1, n\}$   
want to choose k of them.

2 disjoint option groups

- include "n"  $\binom{n-1}{k-1}$
- do not include "n"  $\binom{n-1}{k}$

DP7 Discrete Knapsack  $v_1, v_2, \dots, v_n$  (vals)  
 $w_1, w_2, \dots, w_n$  (weight) integers  
 $Z =$  knapsack max weight  
 ↓ inkgen

Task: maximize value in knapsack without breaking  $Z$  limit  
 discrete: every item take all of it, or nothing.



$I = \{1, 2, \dots, n\}$  all items

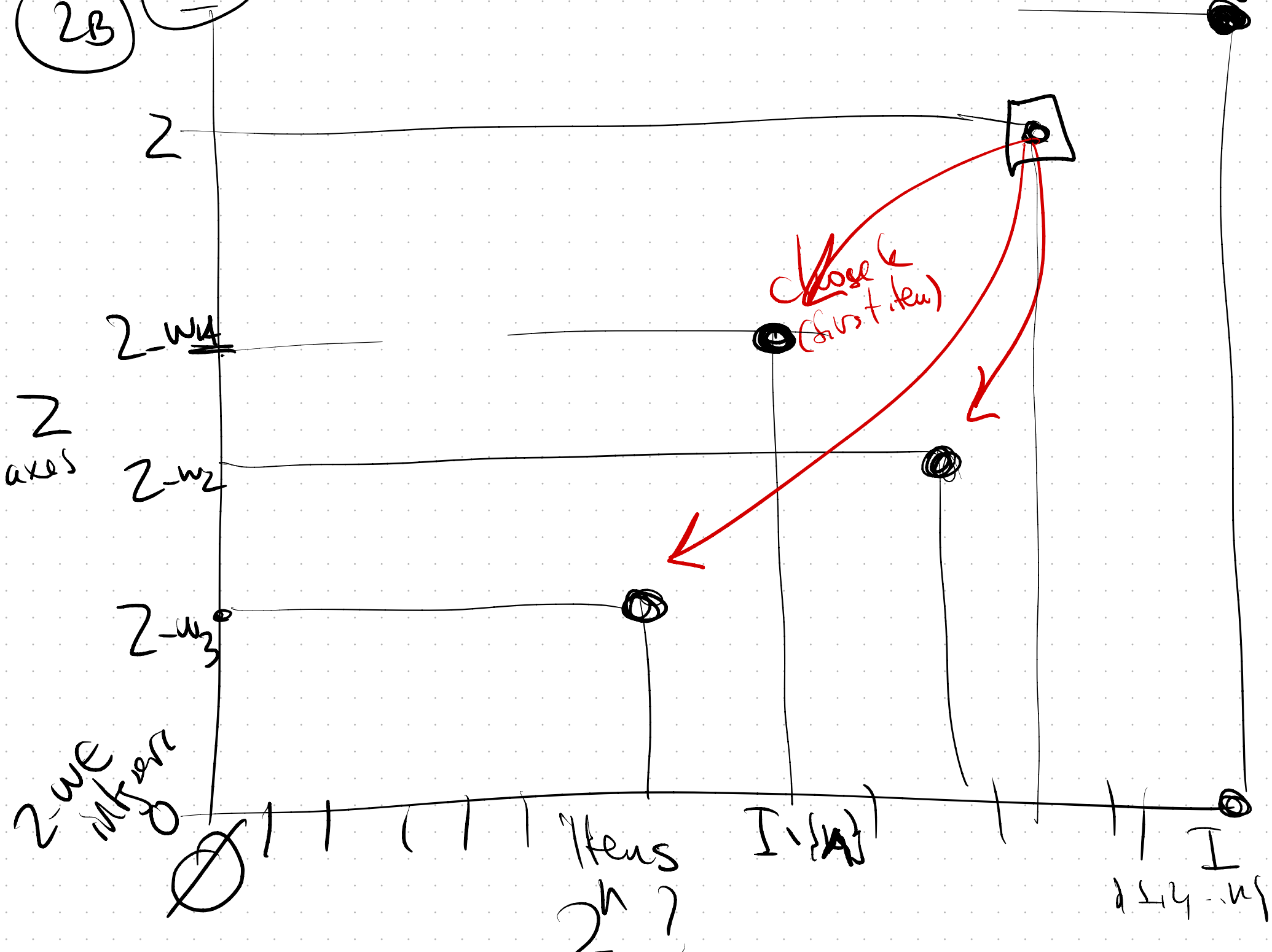
②  $C[Z, I] = \max_k \{ v_k + C[Z - w_k, I - \{k\}] \}$   
 search for first item  $k$   $w_k \leq Z$



2B

max=2

PB original



Indexing trick: global order of items (any order)

1, 2, ..., n

$$I_n = I[1:n] = \{1, 2, \dots, n\}$$

$$I_{n-1} = I[1:n-1] = \{1, 2, \dots, n-1\}$$

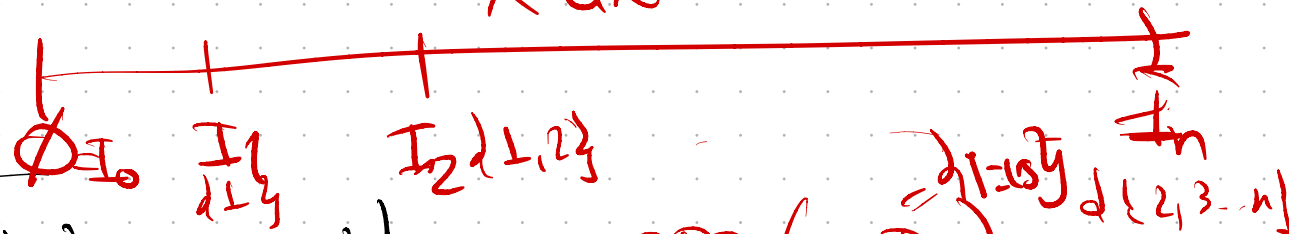
$$I_4 = I[1:4] = \{1, 2, 3, 4\}$$

$$I_1 = \{1\}$$

$$I_0 = \emptyset$$

x axis

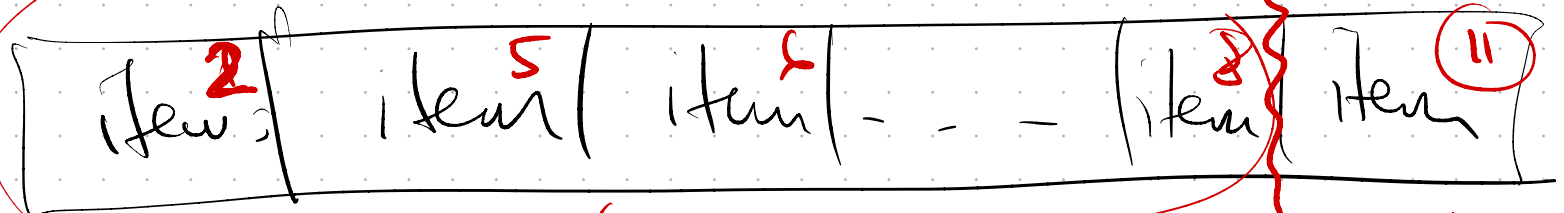
x axis



chunk  $I[i:j] = \{i, i+1, \dots, j\}$

SPB( $I_0$ )

① OPTIMAL STRUCT



- sort by global index

$$I_{11} = \{1:11\}$$

(2A)  $C(z, I_n) = \text{MAX} \left\{ \begin{array}{l} \text{pick item } n \rightarrow v_n + C[z - w_n, I_{n-1}] \\ \text{don't pick item } n \rightarrow 0 + C[z, I_{n-1}] \end{array} \right.$

$2^{\text{possibilities}}$

max value obtainable with items  $\{1, 2, \dots, n\}$

example:  $I = \{1, \dots, 10\}$

OPTSOL:  $\{2, 4, 6, 7, 9\}$

$\text{MAX} \{ v_{10} + C[z - w_{10}, I_9], 0 + C[z, I_9] \}$

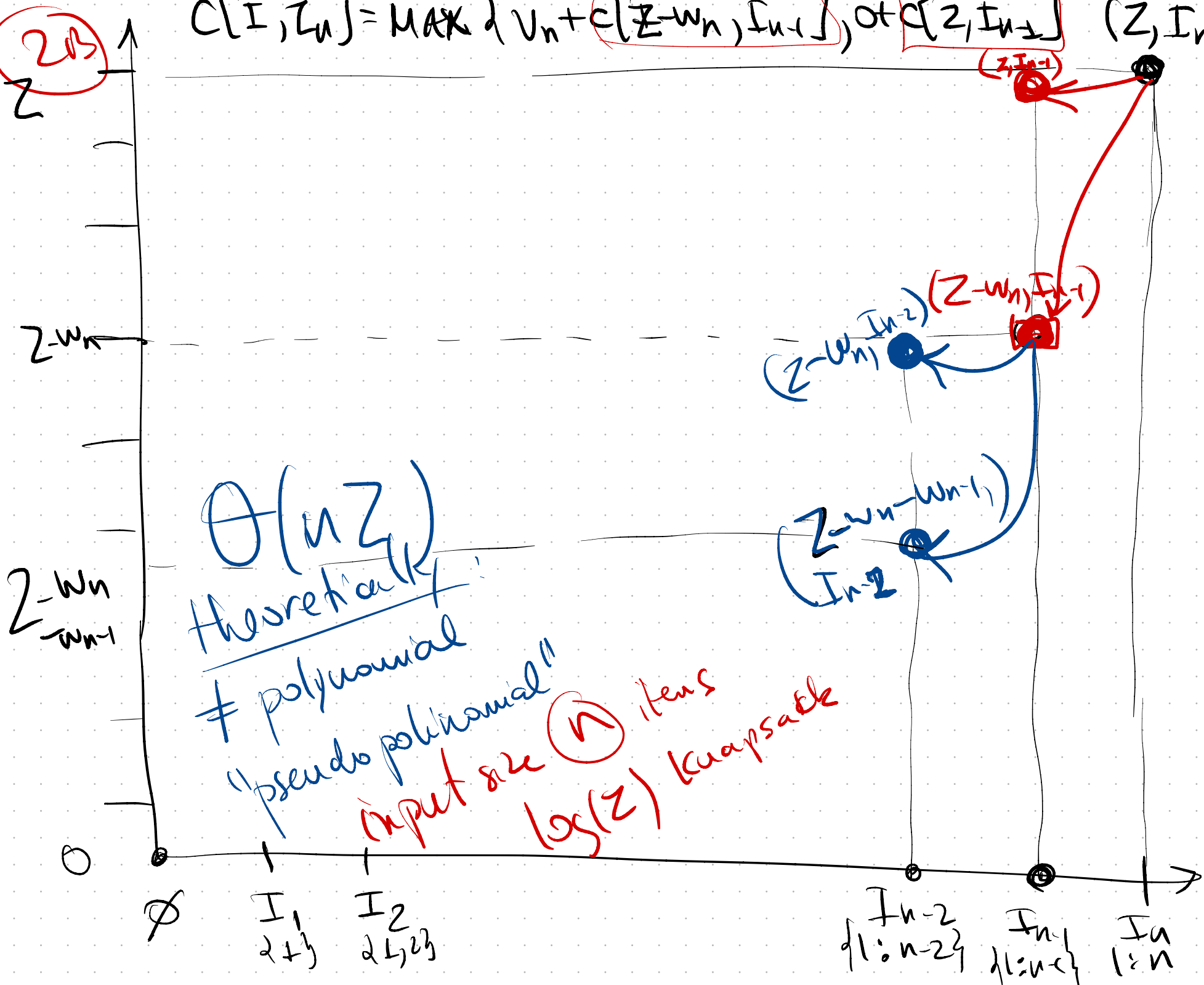
$\text{MAX} \{ v_9 + C[z - w_9, I_8], 0 + C[z, I_8] \}$

$\text{MAX} \{ v_8 + C[z - w_9 - w_8, I_7], 0 + C[z - w_9, I_7] \}$

$\text{MAX} \{ v_7 + C[z - w_9 - w_7, I_6], 0 + C[z - w_9, I_6] \}$

$$C[I, Z_n] = \text{MAX} \{ V_n + C[Z - w_n, I_{n-1}], \text{ or } C[Z, I_{n-1}] \} \quad (Z, I_n)$$

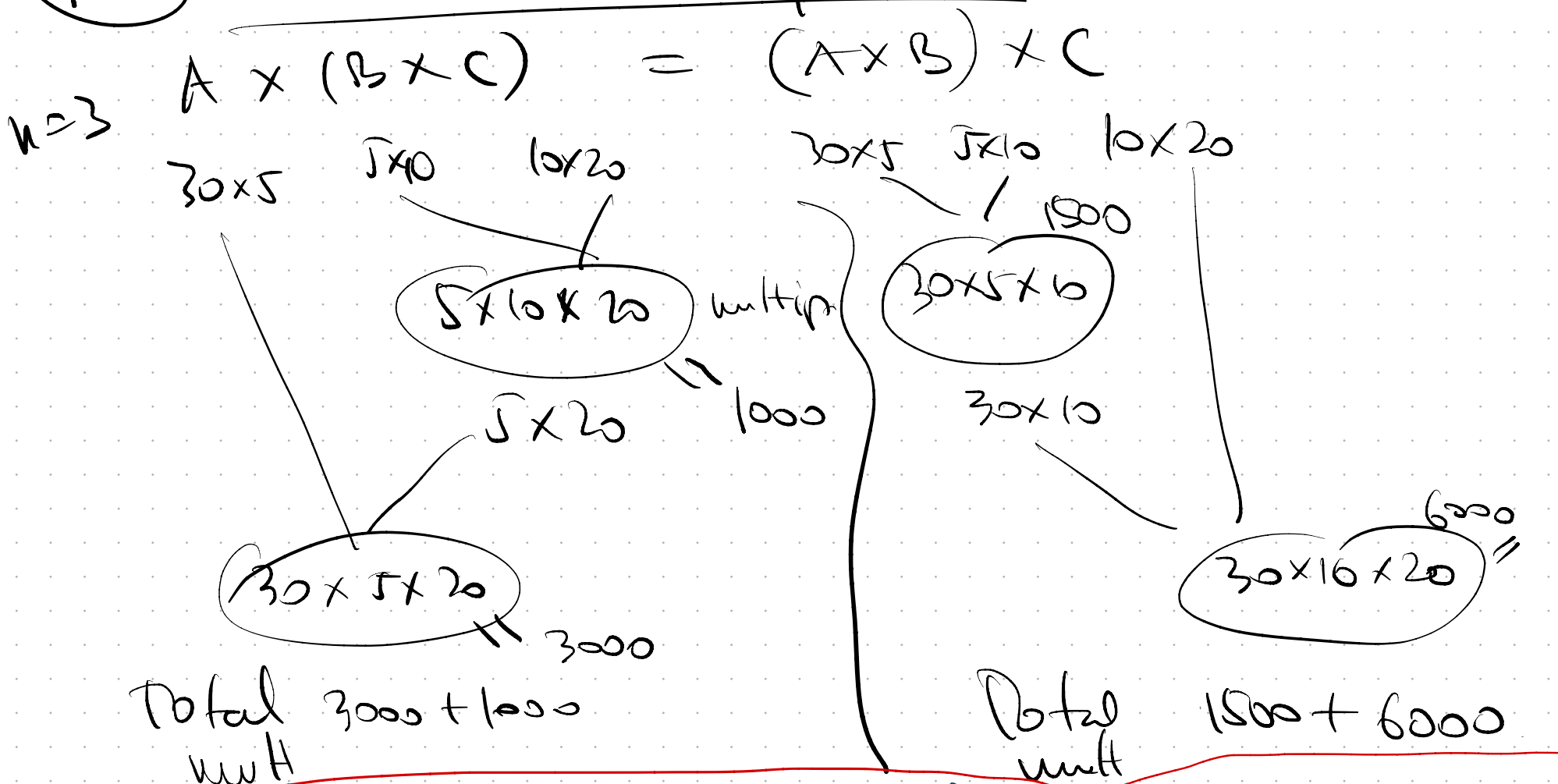
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$\Theta(nZ)$   
 theoretically  
 ≠ polynomial

"pseudo polynomial"  
 input size  $\Theta(n)$  items  
 $\log(Z)$  knapsack

# DP8 Matrix Chain Multiplication



$$\left[ \left[ \overset{p_0 \times p_1}{A_1} \times \left( \overset{p_1 \times p_2}{A_2} \times \left( \overset{p_2 \times p_3}{A_3} \right) \dots \right) \right] \times \overset{p_{k-1} \times p_k}{A_k} \right] \left[ \left( \overset{p_k \times p_{k+1}}{A_{k+1}} \left( \dots \right) \right) \dots \left( \overset{p_{n-1} \times p_n}{A_n} \right) \right]$$

① optimal choice: parenth ( ) ( ) - - - last mult  $(A_1 \dots A_k)(A_{k+1} \dots A_n)$

#mult total = best-Left + best-right +  $(p_0 \times p_k \times p_n)$

2A  $C[i, j] =$

Search for last/main break  $k | k+1$   
 $(A_i \dots A_k) (A_{k+1} \dots A_j)$

wh # multipl  
 for  $A_i \times A_{i+1} \times \dots \times A_j$

$j \geq i$

MIN  
 $i < k < j$

$p_{i-1} \times p_k \times p_j$

→ last multipl

$+ C[i, k]$

→ best left

$+ C[k+1, j]$

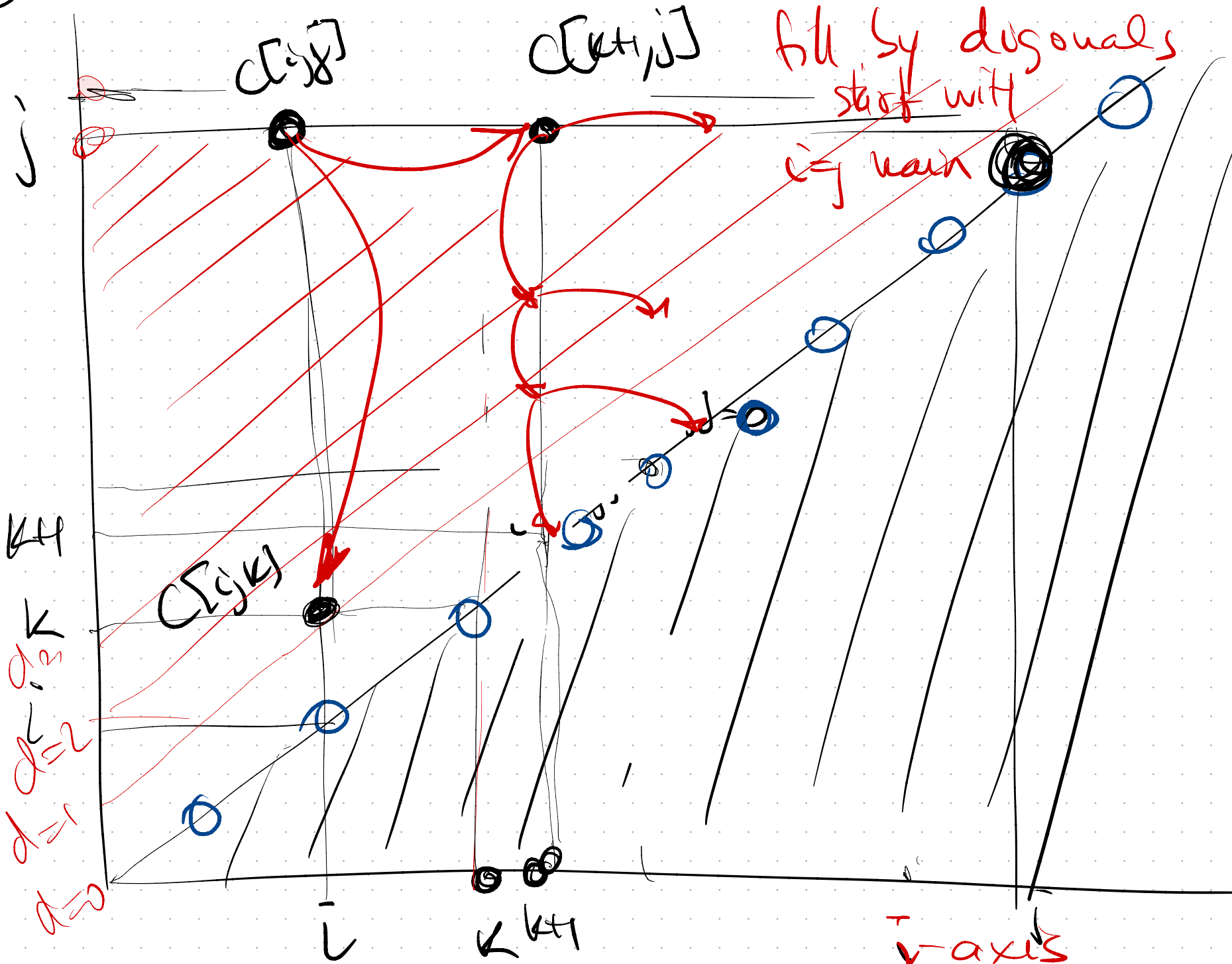
→ best right

$S[i, j] = k$

$i=j ?$   $C[3, 3] \Rightarrow A_3$  1 matrix  
 $= 0$   
 no operation

(2B)

$j$ -axis



fill by diagonals  
start with  
 $i=j$  main

$d=0$   
 $d=1$   
 $d=2$   
 $d=3$   
 $d=4$

$i$ -axis

MEMOIZED-MATRIX-CHAIN( $p$ )

```

1   $n = p.length - 1$ 
2  let  $m[1..n, 1..n]$  be a new table
3  for  $i = 1$  to  $n$ 
4      for  $j = i$  to  $n$ 
5           $m[i, j] = \infty$ 
6  return LOOKUP-CHAIN( $m, p, 1, n$ )

```

Memorization - good if  
not all pb/spb have  
to be solved.

LOOKUP-CHAIN( $m, p, i, j$ )

```

1  if  $m[i, j] < \infty$ 
2      return  $m[i, j]$ 
3  if  $i == j$ 
4       $m[i, j] = 0$ 
5  else for  $k = i$  to  $j - 1$ 
6       $q = \text{LOOKUP-CHAIN}(m, p, i, k)$ 
7           $+ \text{LOOKUP-CHAIN}(m, p, k + 1, j) + p_{i-1}p_kp_j$ 
8      if  $q < m[i, j]$ 
9           $m[i, j] = q$ 
9  return  $m[i, j]$ 

```

already computed in table, return that

not computed, needed  
use recursion

The MEMOIZED-MATRIX-CHAIN procedure, like MATRIX-CHAIN-ORDER, maintains a table  $m[1..n, 1..n]$  of computed values of  $m[i, j]$ , the minimum number of scalar multiplications needed to compute the matrix  $A_{i..j}$ . Each table entry initially contains the value  $\infty$  to indicate that the entry has yet to be filled in. Upon calling LOOKUP-CHAIN( $m, p, i, j$ ), if line 1 finds that  $m[i, j] < \infty$ , then the procedure simply returns the previously computed cost  $m[i, j]$  in line 2. Otherwise, the cost is computed as in RECURSIVE-MATRIX-CHAIN, stored in  $m[i, j]$ , and returned. Thus, LOOKUP-CHAIN( $m, p, i, j$ ) always returns the value of  $m[i, j]$ , but it computes it only upon the first call of LOOKUP-CHAIN with these specific values of  $i$  and  $j$ .

Figure 15.7 illustrates how MEMOIZED-MATRIX-CHAIN saves time compared with RECURSIVE-MATRIX-CHAIN. Shaded subtrees represent values that it looks up rather than recomputes.

Like the bottom-up dynamic-programming algorithm MATRIX-CHAIN-ORDER, the procedure MEMOIZED-MATRIX-CHAIN runs in  $O(n^3)$  time. Line 5 of MEMOIZED-MATRIX-CHAIN executes  $\Theta(n^2)$  times. We can categorize the calls of LOOKUP-CHAIN into two types:

- calls in which  $m[i, j] = \infty$ , so that lines 3–9 execute, and

- calls in which  $m[i, j] < \infty$ , so that LOOKUP-CHAIN simply returns in line 2.



DP 9?

LCS = longest common

subsequence  
prefixes

$X_m = X = [x_1, x_2, \dots, x_m]$

$X_i = [x_1, \dots, x_i]$

$Y_n = Y = [y_1, y_2, \dots, y_n]$

$Y_j = [y_1, \dots, y_j]$

want  
longest

common  
subseq

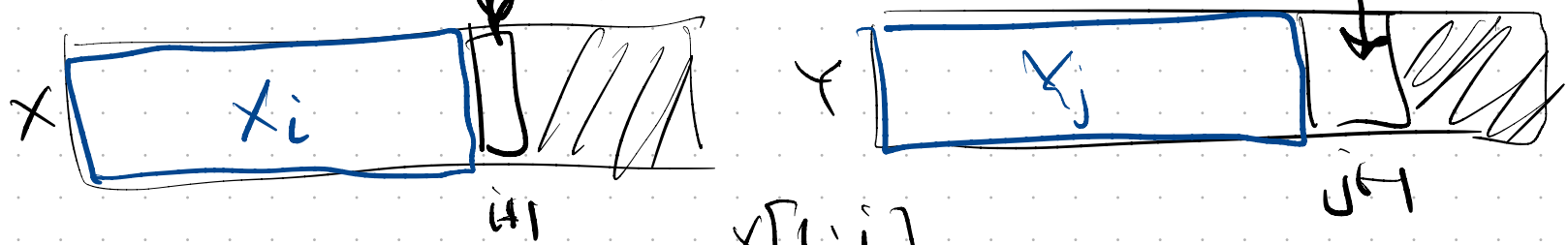
$Z = [z_1, z_2, \dots, z_k]$

subseq of  $X$   
subseq of  $Y$

① OPT SOL structure

$Z = z_1, z_2, \dots, z_k$

$z_k = x_{i+1}$  value =  $y_{j+1}$



$Z_{k-1} = [z_1, \dots, z_{k-1}] = \text{OPT SOL}(x_i, y_j)$

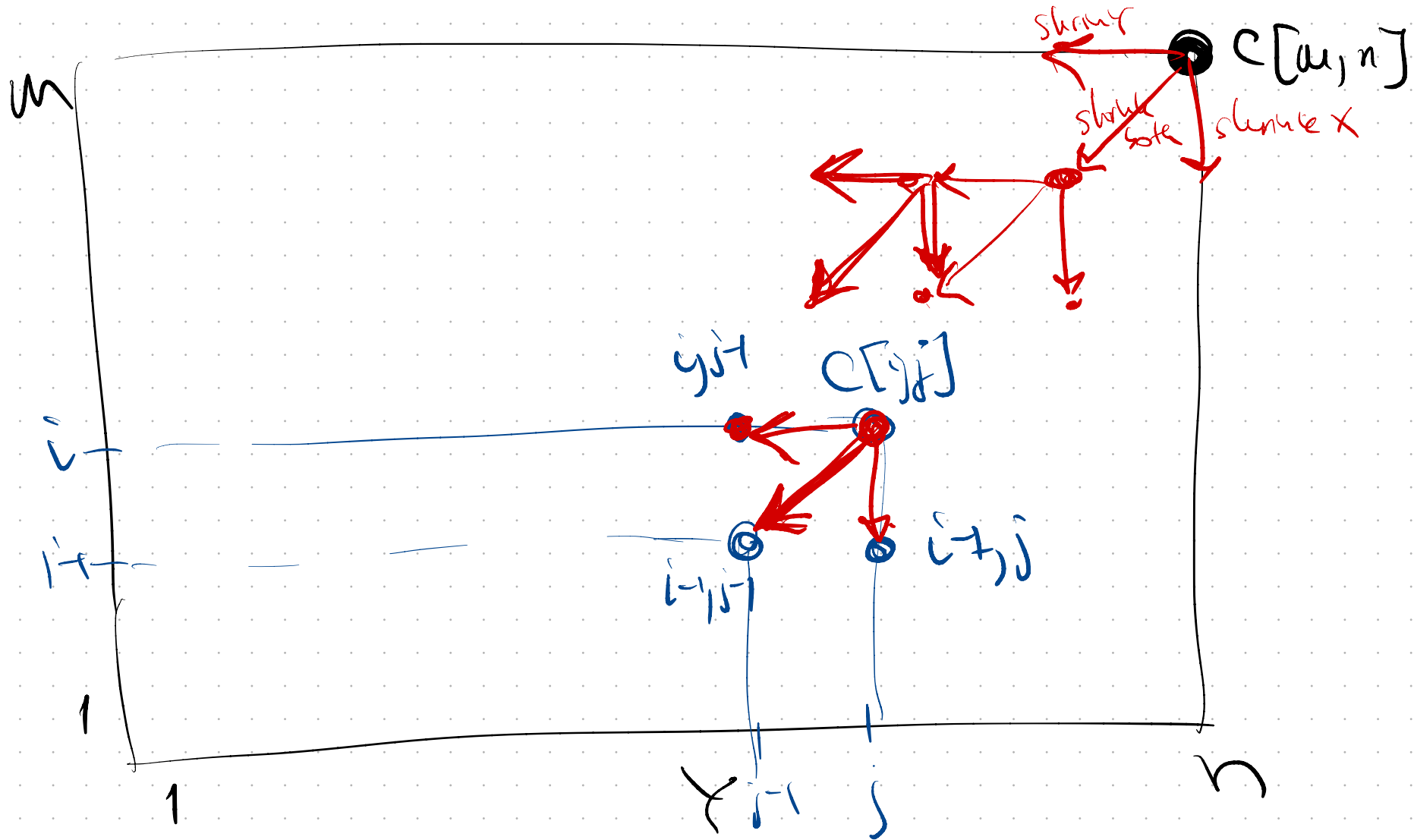
(2A)  $C[i, j] = \text{best}$  "longest" OR  $LCS (X_i, Y_j)$   
 $X_{[1:i]}$       $Y_{[1:j]}$

~~if~~  $X[i] = Y[j] \Rightarrow \text{that's } Z_{ij} (\text{best})$   
 $\swarrow$       $SPB: C[i-1, j-1] + 1$

if  $X[i] \neq Y[j]$      2 possibilities  
~~no~~  $X[i]$  :  $C[i-1, j]$       $\rightarrow$   $\downarrow$   
 MAX  $\left\{ \begin{array}{l} \text{no } Y[j] : C[i, j-1] \end{array} \right.$       $\swarrow$

$S[i, j] = \text{one of } \swarrow, \leftarrow, \downarrow$

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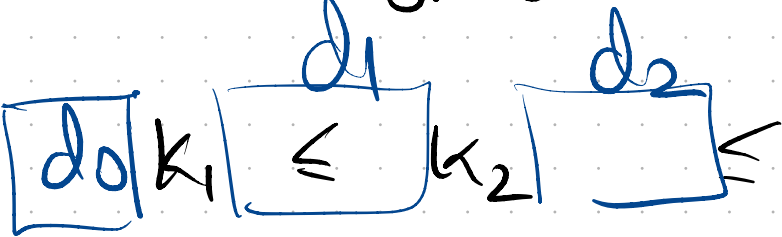
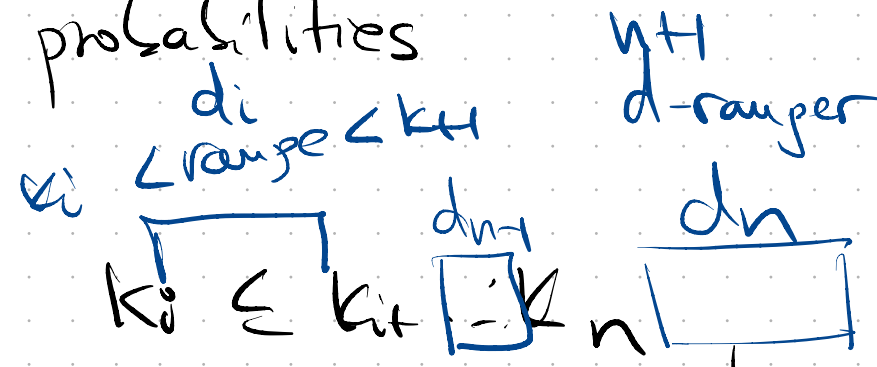


$$m \times n \times \Theta(1) = \Theta(mn)$$

3, 4 - exercise

DP10 optimal BST - weighted by probabilities

n ordered values (keys)



Search (val):  $\bullet$  val ==  $k_i$  (found!) search prob  $p_i$

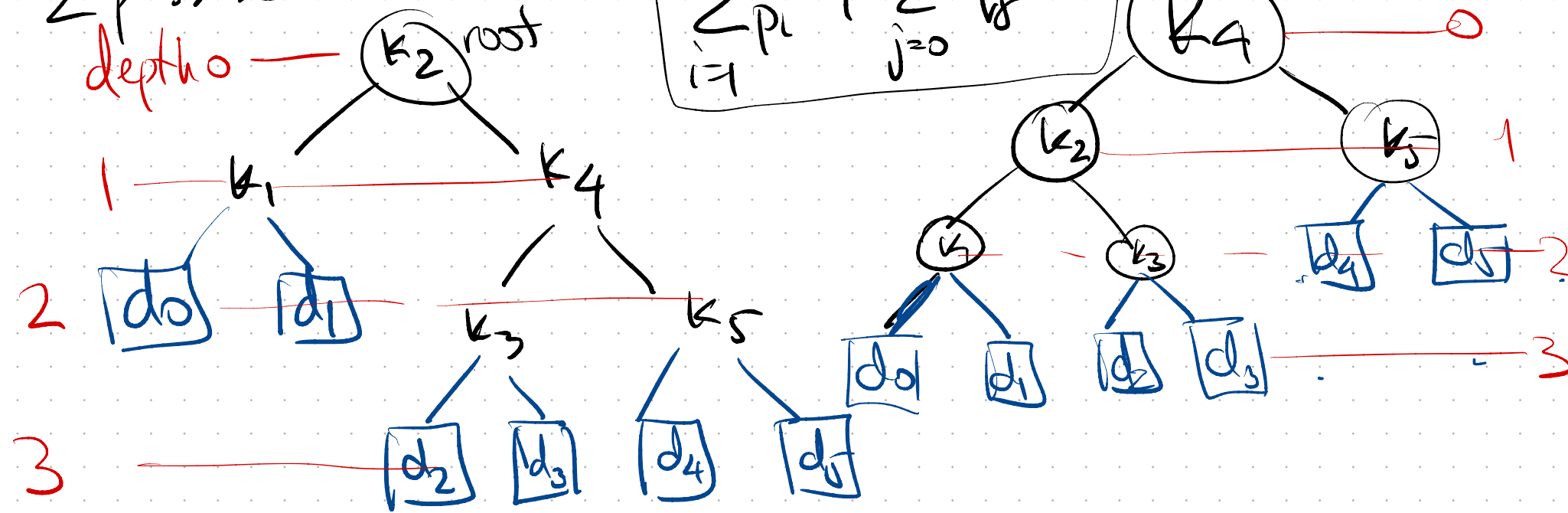
Search (val):  $\bullet$  val  $\neq$  k (not found) search prob  $q_i$

$k_i < \text{val} < k_{i+1}$

$$\sum_{i=1}^n p_i + \sum_{j=0}^n q_j = 1$$

2 possible

BST n=5



$$OBS = OBS(BST) = \text{expected search cost}$$

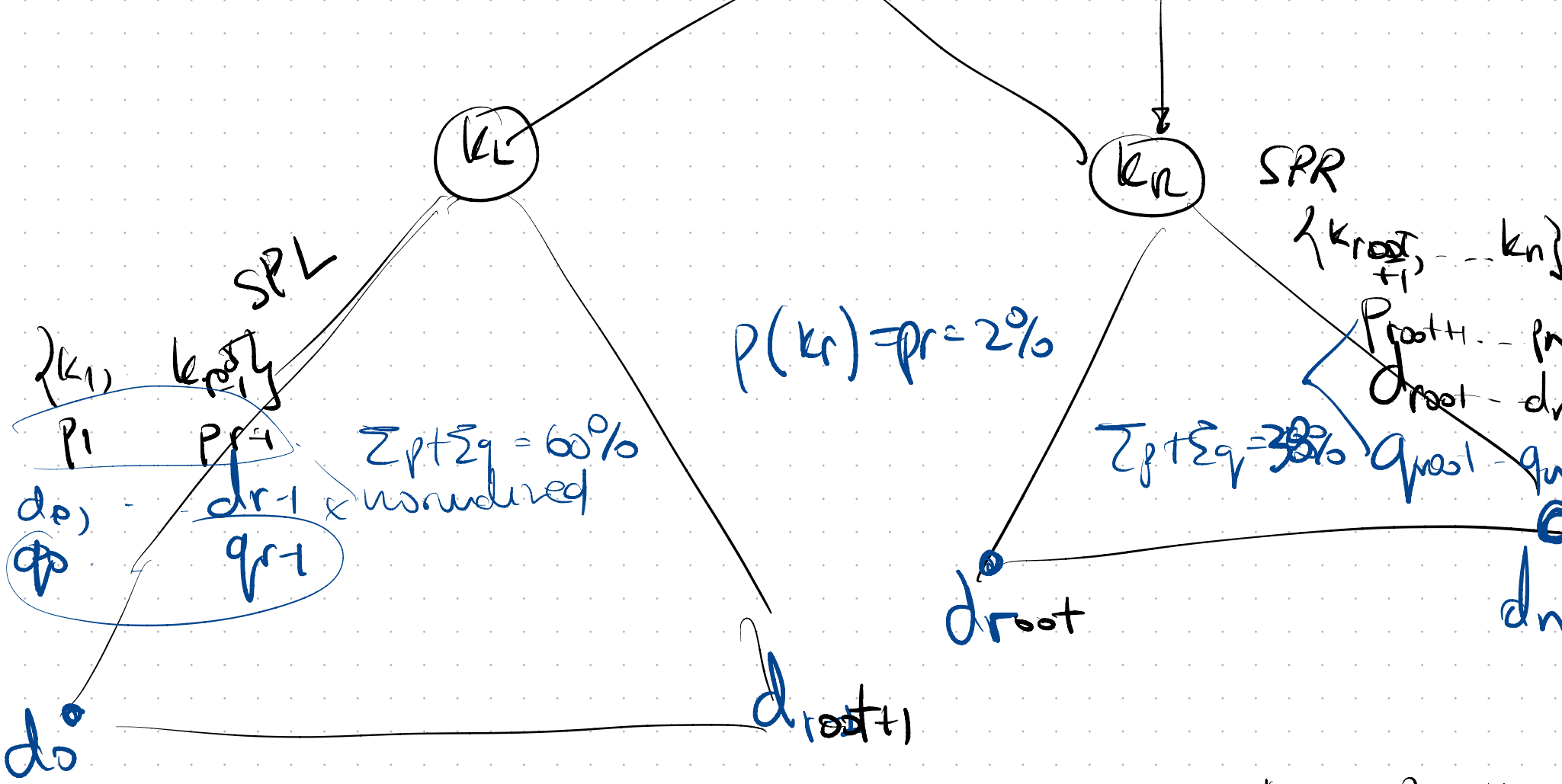
$$= \sum_{\text{events}} \text{Cost}(\text{event}) \cdot \text{prob}(\text{event})$$

$$\text{events} = \{ k_1, k_2, \dots, k_n, d_0, d_1, \dots, d_n \}$$

$$\text{probs} = \{ p_1, p_2, \dots, p_n, q_0, q_1, \dots, q_n \}$$

$$\text{costs} = \{ \text{depth of output} \\ d-k_1, d-k_2, \dots, d-k_n, d-d_0, \dots, \text{depth-}d_n \}$$

Step 1 OPT<sub>SOL</sub> = a BST (k, d)



claim: SPL opt sol = 1 subtree ; SRR opt sol = R subtree

2A)  $C[i, j] = \text{cost BST for } k_i \leq k_{i+1} \dots k_{\text{root}} \leq k_j$

search for  $k_{\text{root}}$  between  $i, j$   
 $r = \text{root index } i \leq r \leq j$

expected search cost

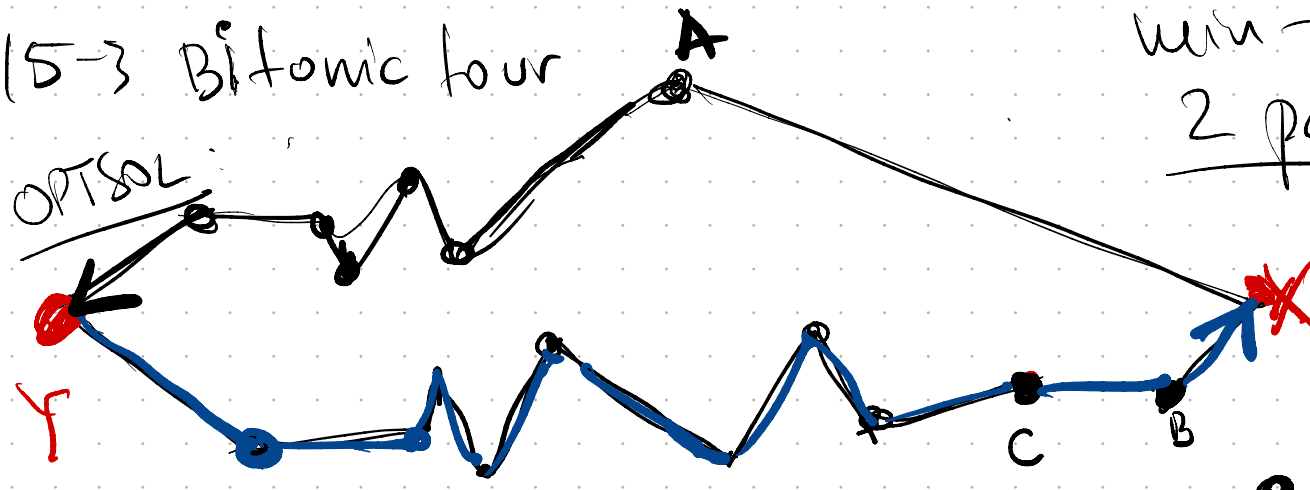
$$C[i, j] = \text{MIN}_r \left( C[i, r-1] \cdot \text{prob?} + C[r+1, j] \cdot \text{prob?} \right) + \text{prob}(\text{root}) \cdot D$$

$\text{prob}(\text{left sub}) \cdot T(\text{cost L}) + \text{prob}(\text{right sub}) \cdot T(\text{cost R})$

(Annotations:  $\text{depth}$  points to  $r-1$  and  $r+1$ ;  $\text{prob?}$  and  $\text{prob}$  are circled;  $T(\text{cost L})$  and  $T(\text{cost R})$  are circled;  $C[i, r-1]$  and  $C[r+1, j]$  are circled.)

# 15-3 Bitonic tour

OPT SOL



min-distance-total tour  
2 paths :  $x, y$  extreme LR

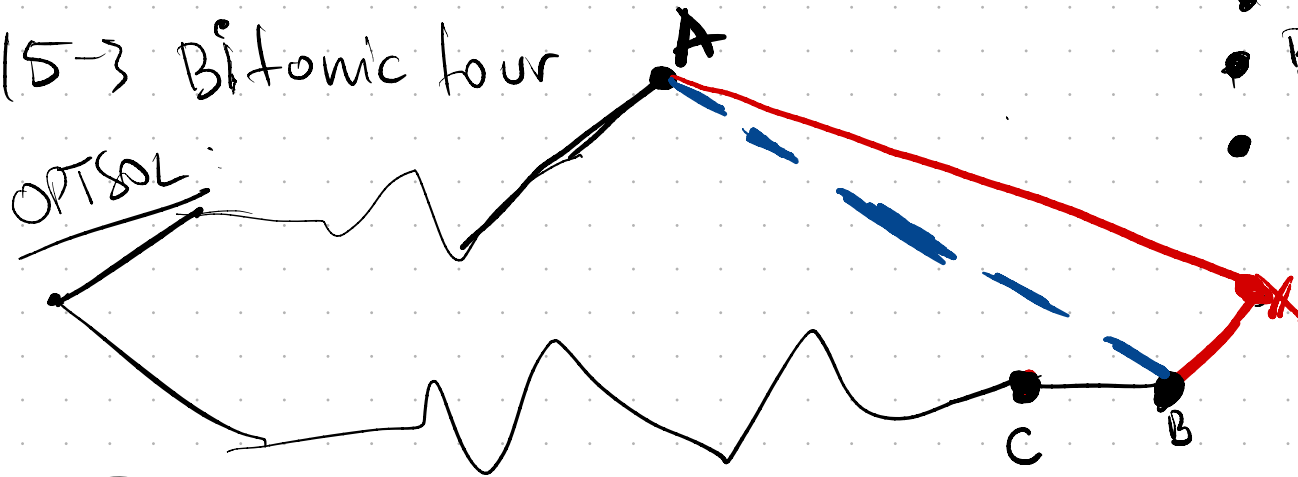
- $x \rightarrow y$  upper path
- $y \rightarrow x$  lower path
- each point ~~in~~ one of the paths.

• each path strictly directional  
 $L \rightarrow R$  (lower) or  $R \rightarrow L$  upper.

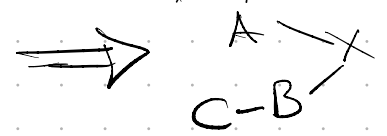


# 15-3 Bitonic tour

OPTSOL



- A, B connect to X
- B closest (right most) to X
- C connects to B



$SPB = PB \cup \{x\}$  right most B

**Ideal** OPTSOL  $\cup \{x\}$  is optimal for  $PB \cup \{x\} = SPB$ ? Maybe Yes.  
 assume (contradict hypothesis) there is a better solution S for  $SPB = PB \cup \{x\}$

Then solution is:

- eliminate x, solve  $SPB = PB \cup \{x\}$  with A right-most
- then connect X either to AB or to BC, whichever is better

$$C[PB_x] = C[SPB_B] + \text{best} \left\{ \begin{array}{l} +xA + xB \\ -AB \end{array} , \begin{array}{l} +xB + xC \\ -BC \end{array} \right\}$$

idea 2  
 $A, B, X$  closest to  $X$  2 possibilities in  $OPT_{sol}$  ( $A, B, X$  closest)  
 -  $A, B$  diff paths



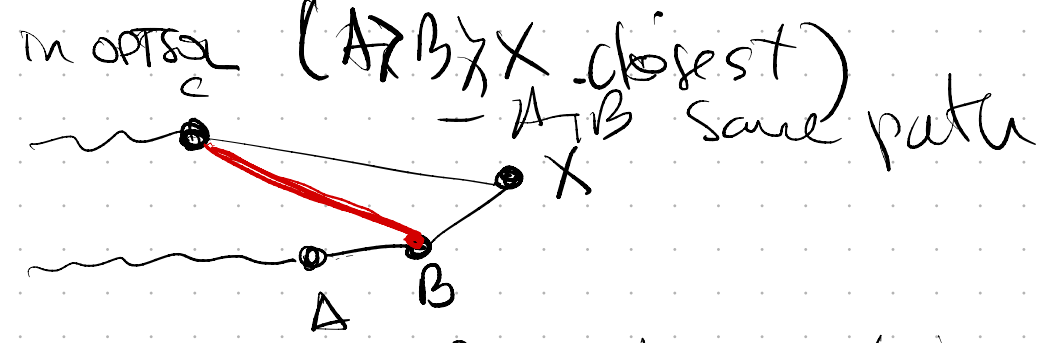
$$SPB = PB \setminus \{x, y\}$$

$$AB \in sol(SP B)$$

$OPT_{sol} \setminus \{A, X, B, X\} = sol(SP B) \setminus \{A, B\}$   
 or exchange argument

Solution =

$$= sol(SP B) + \underbrace{XA + AB - AB}_{connect X}$$



$SPB = PB \setminus \{A, B\}$  where  $X$  is still right-most but  $A$  is closest

$$SOLUTION = sol(SP B) + \underbrace{connect B}_{BA + BX - XA}$$

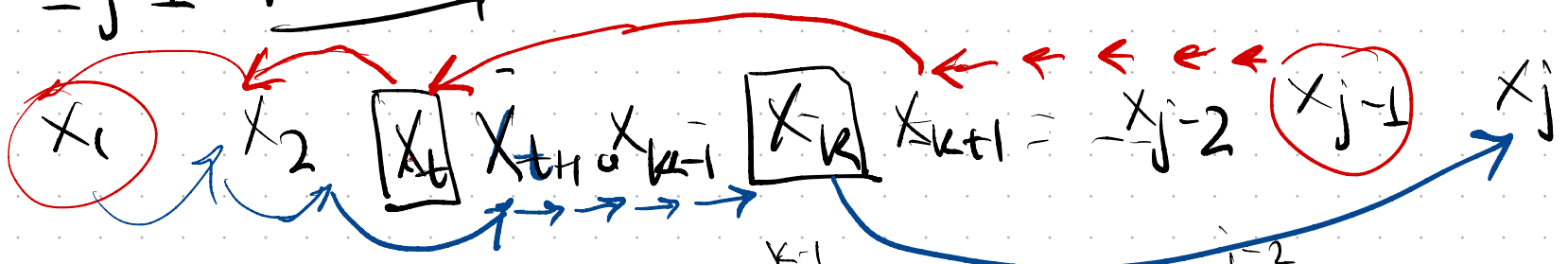
idea 3 sort x-positions 1-j. Pick  $x_i$ .



want bitonic tour  $x_i \rightsquigarrow x_1$  (Left)  $x_i \rightsquigarrow x_j$  (Right)

$c[i, j]$  = best bitonic path objective from  $x_i$  to  $x_j$  passing through all points  $x_1, x_2, \dots, x_i, \dots, x_j$  sorted.

- if  $i = j-1$  easy:  $c[i, j] = c[i, j-1] + \text{dist}(x_{j-1}, x_j)$
- if  $i = j-1$  not easy: search for  $k$  that right-jumps  $x_k \rightarrow x_j$



$$c[j-1, j] = \text{best } t, k \left( \begin{aligned} & \sum_{l=t}^{k-1} \|x_l - x_{l+1}\| + \sum_{l=k+1}^{j-2} \|x_l - x_{l+1}\| \\ & + c[t, k] \\ & + \|x_t - x_{k+1}\| + \|x_k - x_j\| \end{aligned} \right)$$

$$C[j+1, j] = \underset{k}{\text{best}} \left( \sum_{l=k+1}^{j-1} \|x_l - x_{l+1}\| + \|x_k - x_j\| + C[k, k+1] \right)$$

$\downarrow$   
reversed

Midterm PB4

$$[a_i \ a_{i+1} \ a \ \dots \ a_{j-1} \ a_j]$$

$$S_{ij} = \sum_{k=i}^j a_k$$

$$C[i, j] = \max \begin{cases} \text{pick } a_i & S_{ij} - C[i+1, j] \\ \text{pick } a_j & S_{ij} - C[i, j-1] \end{cases}$$

