

## CS 25 - Algorithms

9/25/95

Last time (ch. 2)

- Analysis of alg.
- Order notation
- Started recurrences...

Today (ch. 4)

- Solving recurrences
  - substitution
  - iteration/recursion tree
  - "master" method

Announcements

- X-hour tomorrow
- Due-date change?

Last time

Order notation comment (neglected to mention last time...)

$$T(n) = 2T(n/2) + O(g(n)) \text{ means ...}$$

$$\bullet T(n) = 2T(n/2) + h(n) \quad \text{for some } h(n) \in O(g(n))$$

$$\text{or } \bullet T(n) \leq 2T(n/2) + Cg(n) \quad \text{for some } C > 0 \text{ and all } n \geq n_0 > 0$$

**E.g.**

$$T(n) = 2T(n/2) + O(n^2) \text{ might mean}$$

$$T(n) = 2T(n/2) + n^2 + 1,000,000n$$

which implies

$$T(n) \leq 2T(n/2) + 2n^2 \quad \forall n \geq 1,000,000$$

$$\text{also implies } T(n) \leq 2T(n/2) + 1,000,001n^2 \quad (\forall n)$$

(over)

## Recurrence review

$$\text{Actual recurrence: } T(n) = \begin{cases} \theta(1) & n=1 \\ T(\lceil n/2 \rceil) + T(\lfloor n/2 \rfloor) + \theta(n) & n > 1 \end{cases}$$

We will...

- ignore floors/ceilings
  - assume boundary conditions
- and write

$$T(n) = 2T(n/2) + \theta(n)$$

## Today

### 3 methods for solving recurrences

1. substitution
2. iteration / recursion tree
3. "master"

Boundary conditions

- Usually assume  $T(n) = \Theta(1)$  for suff. small.
- Doesn't usually affect solution (if polynomially bounded).

Example where it does affect solution - exponential:

$$T(n) = (T(\frac{n}{2}))^2$$

$$T(1) = 2 \Rightarrow T(n) = \Theta(2^n)$$

$$T(1) = 3 \Rightarrow T(n) = \Theta(3^n)$$

⋮

and  $2^n = o(3^n)$ .

3 methods for solving

1. substitution
2. iteration
3. master

### \* Substitution method

- Guess a solution, verify it, solve for const

Example:  $T(n) = 4T(\frac{n}{2}) + n$

Guess:  $T(n) = O(n^3)$ . ∴

Assume:  $T(k) \leq ck^3 \quad \forall k < n$ .

Verify:  $T(n) \leq cn^3$  by induction

(Using strong induction - assuming true for all values  $< n$ , not just for  $n-1$ .)

$$\begin{aligned}
 T(n) &= 4T\left(\frac{n}{2}\right) + n \\
 &< 4c\left(\frac{n}{2}\right)^3 + n \\
 &= \frac{c}{2}n^3 + n \\
 &\Rightarrow = cn^3 - \left(\frac{c}{2}n^3 - n\right) \\
 &\leq cn^3 \quad \text{if } \frac{c}{2}n^3 - n \geq 0 \\
 &\Leftrightarrow \frac{c}{2}n^2 \geq 1 \\
 &\Leftrightarrow n^2 \geq \frac{2}{c}
 \end{aligned}$$

Use  $c \geq 2$  and  $n \geq 1$ .  $\Leftarrow n \geq 2/c$

GOT THIS FAR

Good approach: to prove inductive step, write expr. in form

$\langle \text{answer you want} \rangle - \langle \text{positive quantity} \rangle$

\* Initial conditions - pick  $c$  big enough to handle.

This bound isn't tight.  
Can show  $O(n^2)$ .

\* Fallacious argument

Assume  $T(k) \leq ck^2$ .

$$\begin{aligned}
 T(n) &= 4T\left(\frac{n}{2}\right) + n \\
 &< 4c\left(\frac{n}{2}\right)^2 + n
 \end{aligned}$$

$$= cn^2 + n \quad \left\{ \text{WRONG! Must use same} \right.$$

$$= O(n^2) \quad \left. \text{const as in inductive assertion} \right.$$

$\leq cn^2$  for no choice of  $c > 0$ . Lose

Correct idea

Subtract off a lower-order term.

Assume  $T(k) \leq c_1 k^2 - c_2 k$

$$\begin{aligned} T(n) &= 4T\left(\frac{n}{2}\right) + n \\ &\leq 4\left[c_1\left(\frac{n}{2}\right)^2 - c_2\frac{n}{2}\right] + n \\ &= c_1 n^2 - 2c_2 n + n \\ &= c_1 n^2 - c_2 n - (c_2 n - n) \\ &\leq c_1 n^2 - c_2 n \end{aligned}$$

if  $c_2 n - n \geq 0 \iff c_2 \geq 1$ .

Pick  $c_1$  big enough to handle initial cond

Why subtract lower-order term?

If math doesn't work out, shouldn't we increase our guess?

Not necessarily - using induction to prove something stronger for a given value for assuming something stronger for smaller values.

\* Can use substitution method for lower ( $\Omega$ ) bounds, too.

\* Can be tricky to "guess" solution.

usually, use another method to give idea of solution and "substitution" to prove it.

Iteration

Expand out the recurrence to generate a good guess.

$$n + 2n + 4n + 8n + \dots + 2^{k-1}n + 4^k T\left(\frac{n}{2^k}\right)$$

$$T(n) = n + 4T\left(\frac{n}{2}\right) \quad (= n(2^k - 1) + 4^k T\left(\frac{n}{2^k}\right))$$

$$= n + 4\left(\frac{n}{2} + 4T\left(\frac{n}{4}\right)\right) = n + 2n + 16T\left(\frac{n}{4}\right)$$

$$= n + 4\left(\frac{n}{2} + 4\left(\frac{n}{4} + 4T\left(\frac{n}{8}\right)\right)\right)$$

$$\vdots = n + 2n + 4n + 4^3 T\left(\frac{n}{8}\right)$$

$$= n + 2n + 4n + \dots + 4^{\lg n} T(1) = n + \dots + 2$$

( $4^{\lg n}$  because after  $\lg n$  iterations

$$T(n) = n + 4T\left(\frac{n}{2}\right)$$

$$= n + 4\left(\frac{n}{2} + 4T\left(\frac{n}{4}\right)\right) = n + 2n + 4^2 T\left(\frac{n}{4}\right)$$

$$= n + 2n + 4^2\left(\frac{n}{4} + 4T\left(\frac{n}{8}\right)\right) = n + 2n + 2^2 n + 4^3 T\left(\frac{n}{8}\right)$$

$$k^{\text{th}} = n \sum_{i=0}^{k-1} 2^i + 4^k T\left(\frac{n}{2^k}\right)$$

$$\frac{n}{2^k} = 1 \Leftrightarrow k = \lg n$$

$$\lg n = n \sum_{i=0}^{\lg n - 1} 2^i + 4^{\lg n} T(1)$$

$$= n \frac{2^{\lg n} - 1}{2 - 1} + n^2 T(1)$$

$$= n(n-1) + n^2 T(1) = \Theta(n^2)$$

$$2^{\lg n} = (2^{\lg n})^2 = n^2$$

for  $x \neq 1$

$$= n(n-1) + \Theta(n^2)$$

$$= \Theta(n^2) + \Theta(n^2)$$

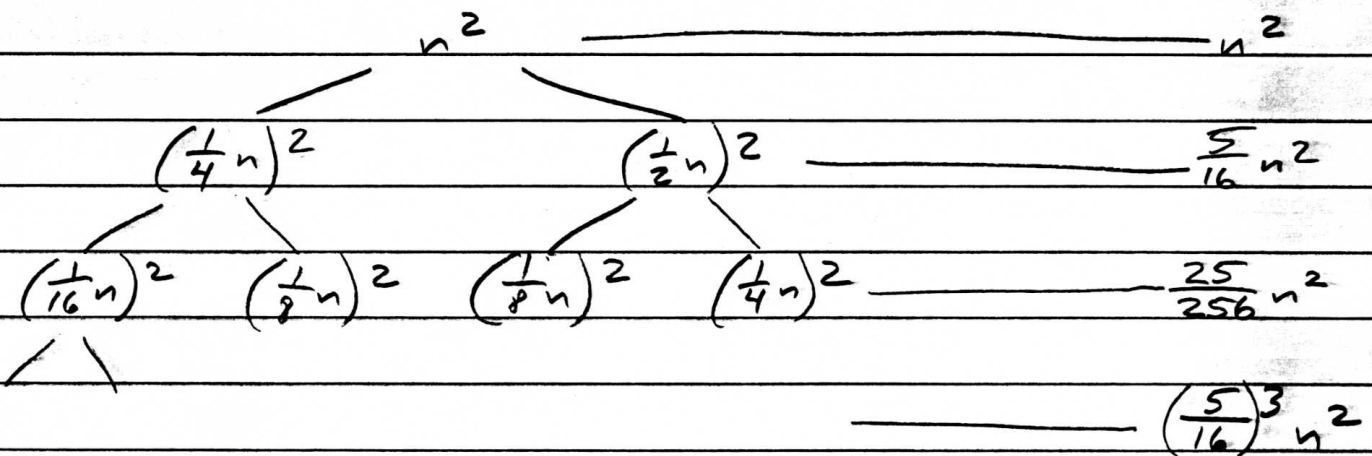
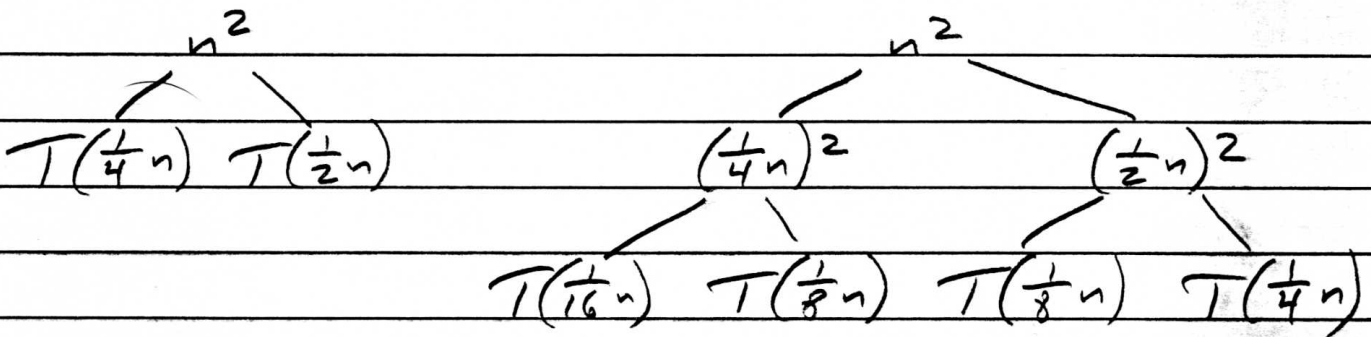
$$= \Theta(n^2)$$

- Know your summations & rules for manipulating logs & exponents
- Math can be messy & hard. Use this method for generating a guess for subst. method.

Recursion tree

Visualize iterating a recurrence.

$$T(n) = T\left(\frac{n}{4}\right) + T\left(\frac{n}{2}\right) + n^2$$



geometric,  
fraction < 1

$$\Theta(n^2)$$

$$\sum_{k=0}^{\infty} x^k = \frac{1}{1-x}$$

$$\sum_{k=0}^{\infty} \left(\frac{5}{16}\right)^k = \frac{1}{1-\frac{5}{16}} = \frac{16}{11}$$

leaves: tree depth  $\leq \log_2 n$

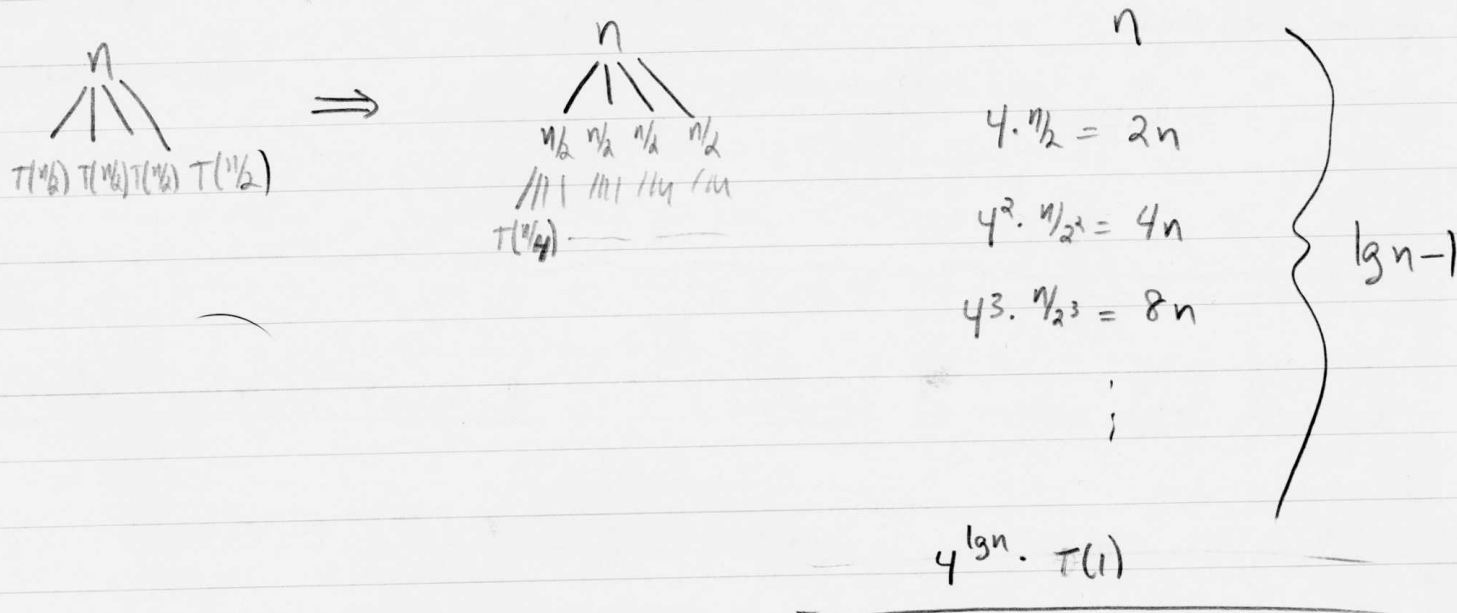
$$\Rightarrow \leq 2^{\log_2 n} = n \text{ leaves}$$

$$n \cdot T(1) = n \cdot \Theta(1) = \Theta(n)$$

#leaves  $\propto$  # internal nodes  
- can share leaves

More examples

1)  $T(n) = 4T(n/2) + n$



$n + 2n + 4n + \dots + 2^{\lg n - 1} n + 4^{\lg n} T(1)$

just like before

2)  $T(n) = 4T(n/2) + n^2$



Last time (chap 2, 3, 4)

- Solving recurrences
  - substitution
  - iteration/recursion tree
  - began "master" method
- x-hour - math primes

Today (chap 4.3, 10)

- "Master" method in detail
- Median & Order Statistics

Announcements

- New office hours

"Master" method

- general technique for solving many recurrences of the form  $T(n) = aT(n/b) + f(n)$

Let's consider

$$T(n) = aT(n/b) + \Theta(n^c) \quad (\text{will generalize to } f(n) \text{ later})$$

e.g. mergesort  $T(n) = 2T(n/2) + \Theta(n)$

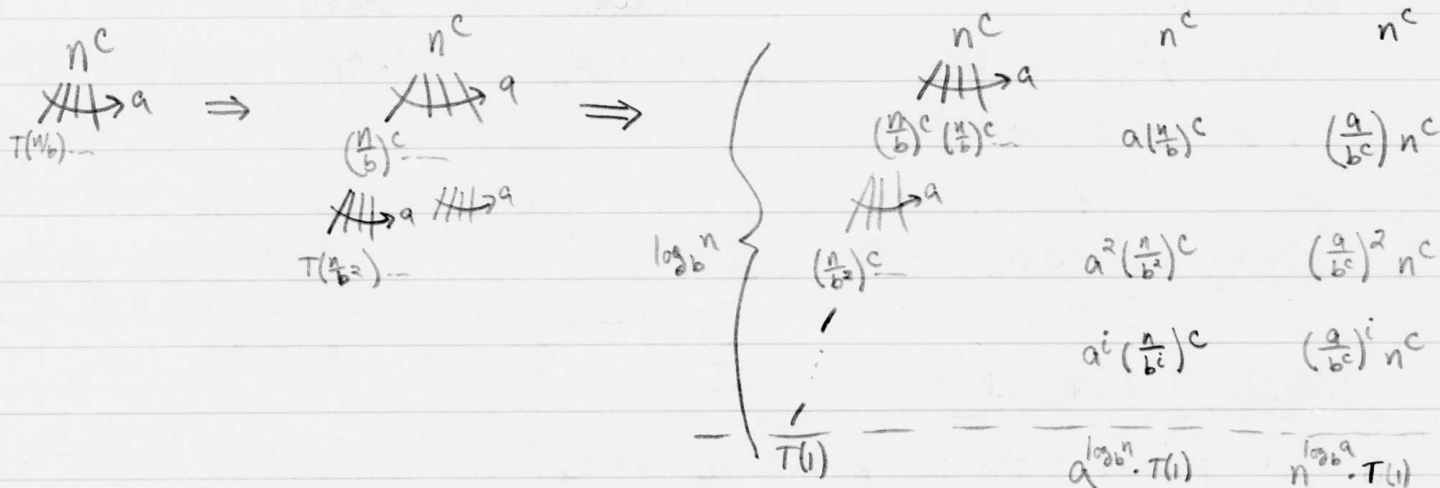
Strassen's  $T(n) = 7T(n/2) + \Theta(n^2)$

binary search  $T(n) = T(n/2) + \Theta(1)$

Slightly different treatment than in book...

$$T(n) = aT(n/b) + n^c \quad (\text{for simplicity, eliminate } \Theta)$$

Recursion tree:



So, total is  $n^c \sum_{i=0}^{\log_b n - 1} (\frac{a}{b^c})^i + \Theta(n^{\log_b a})$

To solve sum, we must note whether  $\frac{a}{b^c} > 1$  |  $\frac{a}{b^c} = 1$  |  $\frac{a}{b^c} < 1$

Case 1 | Case 2 | Case 3

Equivalently, compare  $c$  with  $\log_b a$   $c < \log_b a$  |  $c = \log_b a$  |  $c > \log_b a$

(note: either  $c$  or  $\log_b a$  will be exponent in answer...)

Case 2  $c = \log_b a \Leftrightarrow a/b^c = 1$  - work constant at each level

$$\text{sum} = n^c \sum_{i=0}^{\log_b n - 1} (1)^i + \Theta(n^{\log_b a}) = n^c \log_b n + \Theta(n^{\log_b a}) = \Theta(n^c \log_b n)$$

$\therefore$  work at each level is  $n^c (= n^{\log_b a})$ ;  $\log_b n$  levels;  
answer is  $\Theta(n^c \log_b n)$

Case 1  $c < \log_b a \iff \frac{a}{bc} > 1$  - work increases geometrically

$$\text{sum} = n^c \sum_{i=0}^{\log_b n - 1} \left(\frac{a}{bc}\right)^i + \Theta(n^{\log_b a})$$

$$= n^c \frac{\left(\frac{a}{bc}\right)^{\log_b n} - 1}{\left(\frac{a}{bc}\right) - 1} + \Theta(n^{\log_b a})$$

$$\left. \begin{array}{l} (bc)^{\log_b n} \\ \parallel \\ b^{c \cdot \log_b n} \\ \parallel \\ (n^{\log_b n})^c \\ \parallel \\ n^c \end{array} \right\} = \Theta\left(n^c \frac{a^{\log_b n}}{(bc)^{\log_b n}}\right) + \Theta(n^{\log_b a})$$

$$= \Theta\left(n^c \frac{n^{\log_b a}}{n^c}\right) + \Theta(n^{\log_b a})$$

$$= \Theta(n^{\log_b a})$$

$\therefore$  work at each level increases geometrically;  
constant fraction of work is in leaves...

Case 3  $c > \log_b a \Leftrightarrow \frac{a}{b^c} < 1$

- work decreases geometrically

$$\text{sum} = n^c \sum_{i=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^i + \Theta(n^{\log_b a})$$

$$= n^c \Theta(1) + \Theta(n^{\log_b a})$$

$$= \Theta(n^c) + \Theta(n^{\log_b a})$$

$$= \Theta(n^c)$$

Note:

$$\textcircled{1} \sum_{i=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^i \geq \left(\frac{a}{b^c}\right)^0 = 1$$

$$\Rightarrow \sum_{i=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^i = \Omega(1)$$

$$\textcircled{2} \sum_{i=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^i < \sum_{i=0}^{\infty} \left(\frac{a}{b^c}\right)^i$$

$$= \frac{1}{1 - a/b^c} \quad (\text{constant})$$

$$\Rightarrow \sum_{i=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^i = O(1)$$

$$\therefore \sum_{i=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^i = \Theta(1)$$

$\therefore$  work at each level decreases geometrically;  
constant fraction of work is at root...

Idea: compare  $c$  to  $\log_b a$

$$\text{Case 1: } c < \log_b a \Rightarrow \Theta(n^{\log_b a})$$

$$\text{Case 2: } c = \log_b a \Rightarrow \Theta(n^c \log_b n) = \Theta(n^{\log_b a} \log_b n)$$

$$\text{Case 3: } c > \log_b a \Rightarrow \Theta(n^c)$$

Examples

(1) Mergesort  $T(n) = 2T(n/2) + \theta(n)$

$$\log_2 2 = 1 \quad \text{vs.} \quad 1$$

$$\text{Case 2} \Rightarrow T(n) = \theta(n \lg n)$$

(2) Strassen's  $T(n) = 7T(n/2) + \theta(n^2)$

$$\log_2 7 \approx 2.81 \quad \text{vs.} \quad 2$$

$$\text{Case 1} \Rightarrow T(n) = \theta(n^{\log_2 7})$$

(3) Binary Search  $T(n) = T(n/2) + \theta(1)$

$$\log_2 1 = 0 \quad \text{vs.} \quad 0$$

$$\text{Case 2} \Rightarrow T(n) = \theta(n^0 \lg n) = \theta(\lg n)$$

(4) How about...  $T(n) = 4T(n/2) + \theta(n^3)$

$$\log_2 4 = 2 \quad \text{vs.} \quad 3$$

$$\text{Case 3} \Rightarrow T(n) = \theta(n^3)$$

More generally (see text):

$$T(n) = a T(n/b) + f(n) \leftarrow \text{not necessarily a simple polynomial}$$

e.g.  $T(n) = 4T(n/2) + \Theta(n^2 \log n)$

Case 1:

$$\frac{f(n)}{n^{\log_b a}} = \mathcal{O}(n^{-\epsilon}) \text{ for some } \epsilon > 0$$

$$f(n) = \mathcal{O}(n^{\log_b a - \epsilon})$$

$$T(n) = \Theta(n^{\log_b a})$$

Case 2:

$$\frac{f(n)}{n^{\log_b a}} = \Theta(\log^k n) \text{ for some } k \geq 0$$

$$f(n) = \Theta(n^{\log_b a} \log^k n)$$

$$T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$$

Case 3:

$$\frac{f(n)}{n^{\log_b a}} = \Omega(n^{\epsilon}) \text{ for some } \epsilon > 0$$

$$f(n) = \Omega(n^{\log_b a + \epsilon})$$

(regularity condition) Then, as long as  $a f(n/b) \leq \alpha f(n)$  for some const.  $\alpha < 1$ ,

$$T(n) = \Theta(f(n))$$

Example

$$(1) \quad T(n) = 4T(n/2) + \Theta(n^2 \log n)$$

$$\frac{f(n)}{n^{\log_2 4}} = \frac{n^2 \log n}{n^{\log_2 4}} = \log n$$

$$\text{Case 2: } T(n) = \Theta(n^2 \log^2 n)$$

$$(2) \quad T(n) = 4T(n/2) + \Theta(n^3)$$

$$\frac{f(n)}{n^{\log_2 4}} = \frac{n^3}{n^{\log_2 4}} = \frac{n^3}{n^2} = n$$

Case 3: Check regularity condition

$$4(n/2)^3 \leq \alpha n^3 \quad \text{for some } \alpha < 1?$$

$$4 \frac{n^3}{8} \leq \alpha n^3$$

$$\alpha \geq 1/2 \quad \checkmark$$

$$T(n) = \Theta(n^3)$$

$$(3) \quad T(n) = 4T(n/2) + \frac{n^2}{\lg n}$$

$$\frac{f(n)}{n^{\log_2 4}} = \frac{n^2/\lg n}{n^{\log_2 4}} = \frac{1}{\lg n}$$

Case 1 — no  $\frac{1}{\lg n} = O(n^{-\epsilon}) \Leftrightarrow \lg n = \Omega(n^\epsilon) \quad \times$

Case 2 — no  $\frac{1}{\lg n} = \Theta(\log^k n)$  for  $k \geq 0 \quad \times$

Case 3 — no  $\frac{1}{\lg n} = \Omega(n^\epsilon) \quad \times$

No case applies ... can show  $T(n) = \Theta(n^2 \log \log n)$   
by careful iteration.



## Solving Recurrences via Iteration

Consider the recurrence  $T(n) = 4T(n/2) + n^2/\lg n$ . In order to solve the recurrence, I would first suggest rewriting the recurrence with the recursive component *last* and using a generic parameter not to be confused with  $n$ . We may think of the following equation as our general pattern, which holds for any value of  $\square$ .

$$T(\square) = \frac{\square^2}{\lg \square} + 4T(\square/2) \quad (1)$$

Since our pattern (Equation 1) is valid for any value of  $\square$ , we may use it to “iterate” the recurrence as follows.

$$\begin{aligned} T(n) &= \frac{n^2}{\lg n} + 4T(n/2) \\ &= \frac{n^2}{\lg n} + 4 \left( \frac{(n/2)^2}{\lg(n/2)} + 4T(n/2^2) \right) \\ &= \frac{n^2}{\lg n} + \frac{n^2}{\lg(n/2)} + 4^2 T(n/2^2) \end{aligned} \quad (2)$$

Always simplify the expression, eliminating parentheses as in Equation 2, before expanding further. Continuing...

$$\begin{aligned} T(n) &= \frac{n^2}{\lg n} + \frac{n^2}{\lg(n/2)} + 4^2 \left( \frac{(n/2^2)^2}{\lg(n/2^2)} + 4T(n/2^3) \right) \\ &= \frac{n^2}{\lg n} + \frac{n^2}{\lg(n/2)} + \frac{n^2}{\lg(n/2^2)} + 4^3 T(n/2^3) \\ &\vdots \\ &= \frac{n^2}{\lg n} + \frac{n^2}{\lg(n/2)} + \frac{n^2}{\lg(n/2^2)} + \dots + \frac{n^2}{\lg(n/2^{k-1})} + 4^k T(n/2^k) \\ &= \sum_{j=0}^{k-1} \frac{n^2}{\lg(n/2^j)} + 4^k T(n/2^k) \end{aligned}$$

We will next show that the pattern we have established is correct, by induction.

**Claim 1** For all  $k \geq 1$ ,  $T(n) = \sum_{j=0}^{k-1} \frac{n^2}{\lg(n/2^j)} + 4^k T(n/2^k)$ .

**Proof:** The proof is by induction on  $k$ . The base case,  $k = 1$ , is trivially true since the resulting equation matches the original recurrence. For the inductive step, assume that the statement is true for  $k = i - 1$ ; i.e.,

$$T(n) = \sum_{j=0}^{i-2} \frac{n^2}{\lg(n/2^j)} + 4^{i-1} T(n/2^{i-1}).$$

Our task is then to show that the statement is true for  $k = i$ ; i.e.,

$$T(n) = \sum_{j=0}^{i-1} \frac{n^2}{\lg(n/2^j)} + 4^i T(n/2^i).$$

This may be accomplished by starting with the inductive hypothesis and applying the definition of the recurrence, as follows.

$$\begin{aligned} T(n) &= \sum_{j=0}^{i-2} \frac{n^2}{\lg(n/2^j)} + 4^{i-1} T(n/2^{i-1}) \\ &= \sum_{j=0}^{i-2} \frac{n^2}{\lg(n/2^j)} + 4^{i-1} \left[ \frac{(n/2^{i-1})^2}{\lg(n/2^{i-1})} + 4T(n/2^i) \right] \\ &= \sum_{j=0}^{i-2} \frac{n^2}{\lg(n/2^j)} + 4^{i-1} \frac{n^2/4^{i-1}}{\lg(n/2^{i-1})} + 4^i T(n/2^i) \\ &= \sum_{j=0}^{i-2} \frac{n^2}{\lg(n/2^j)} + \frac{n^2}{\lg(n/2^{i-1})} + 4^i T(n/2^i) \\ &= \sum_{j=0}^{i-1} \frac{n^2}{\lg(n/2^j)} + 4^i T(n/2^i) \end{aligned}$$

□

We thus have that  $T(n) = \sum_{j=0}^{k-1} \frac{n^2}{\lg(n/2^j)} + 4^k T(n/2^k)$  for all  $k \geq 1$ . We next choose a value of  $k$  which causes our recurrence to reach a known base case. Since  $n/2^k = 1$  when  $k = \lg n$ , and  $T(1) = \Theta(1)$ , we have

$$\begin{aligned} T(n) &= \sum_{j=0}^{\lg n - 1} \frac{n^2}{\lg(n/2^j)} + 4^{\lg n} T(1) \\ &= n^2 \sum_{j=0}^{\lg n - 1} \frac{1}{\lg n - j} + n^{\lg 4} \Theta(1) \\ &= n^2 \sum_{\ell=1}^{\lg n} \frac{1}{\ell} + \Theta(n^2) \\ &= n^2 \Theta(\ln \lg n) + \Theta(n^2) \\ &= \Theta(n^2 \log \log n). \end{aligned}$$

## The Simplified Master Method for Solving Recurrences

Consider recurrences of the form

$$T(n) = aT(n/b) + n^c$$

for constants  $a \geq 1$ ,  $b > 1$ , and  $c \geq 0$ . Recurrences of this form include *mergesort*,

$$T(n) = 2T(n/2) + n,$$

*Strassen's algorithm* for matrix multiplication,

$$T(n) = 7T(n/2) + n^2,$$

*binary search*,

$$T(n) = T(n/2) + 1,$$

and so on.

We can solve the general form of this recurrence via iteration. Rewriting the recurrence with the recursive component *last* and using a generic parameter not to be confused with  $n$ , we obtain:

$$T(\square) = \square^c + aT(\square/b) \tag{1}$$

Since our pattern (Equation 1) is valid for any value of  $\square$ , we may use it to “iterate” the recurrence as follows.

$$\begin{aligned} T(n) &= n^c + aT(n/b) \\ &= n^c + a[(n/b)^c + aT(n/b^2)] \\ &= n^c + a(n/b)^c + a^2 T(n/b^2) \\ &= n^c + a(n/b)^c + a^2 [(n/b^2)^c + aT(n/b^3)] \\ &= n^c + a(n/b)^c + a^2 (n/b^2)^c + a^3 T(n/b^3) \\ &= n^c + a(n/b)^c + a^2 (n/b^2)^c + a^3 [(n/b^3)^c + aT(n/b^4)] \\ &= n^c + a(n/b)^c + a^2 (n/b^2)^c + a^3 (n/b^3)^c + a^4 T(n/b^4) \end{aligned}$$

Pulling out the  $n^c$  term common in each of the first four factors, we may simplify this expression and obtain a pattern as follows.

$$\begin{aligned} T(n) &= n^c + n^c(a/b^c) + n^c(a/b^c)^2 + n^c(a/b^c)^3 + a^4 T(n/b^4) \\ &\vdots \\ &= n^c \sum_{j=0}^{k-1} \left(\frac{a}{b^c}\right)^j + a^k T(n/b^k) \end{aligned}$$

We will next show that the pattern we have established is correct, by induction.

**Claim 1** For all  $k \geq 1$ ,  $T(n) = n^c \sum_{j=0}^{k-1} \left(\frac{a}{b^c}\right)^j + a^k T(n/b^k)$ .

**Proof:** The proof is by induction on  $k$ . The base case,  $k = 1$ , is trivially true since the resulting equation matches the original recurrence. For the inductive step, assume that the statement is true for  $k = i - 1$ ; i.e.,

$$T(n) = n^c \sum_{j=0}^{i-2} \left(\frac{a}{b^c}\right)^j + a^{i-1} T(n/b^{i-1}).$$

Our task is then to show that the statement is true for  $k = i$ ; i.e.,

$$T(n) = n^c \sum_{j=0}^{i-1} \left(\frac{a}{b^c}\right)^j + a^i T(n/b^i).$$

This may be accomplished by starting with the inductive hypothesis and applying the definition of the recurrence, as follows.

$$\begin{aligned} T(n) &= n^c \sum_{j=0}^{i-2} \left(\frac{a}{b^c}\right)^j + a^{i-1} T(n/b^{i-1}) \\ &= n^c \sum_{j=0}^{i-2} \left(\frac{a}{b^c}\right)^j + a^{i-1} [(n/b^{i-1})^c + a T(n/b^i)] \\ &= n^c \sum_{j=0}^{i-2} \left(\frac{a}{b^c}\right)^j + n^c \left(\frac{a}{b^c}\right)^{i-1} + a^i T(n/b^i) \\ &= n^c \sum_{j=0}^{i-1} \left(\frac{a}{b^c}\right)^j + a^i T(n/b^i) \end{aligned}$$

□

We thus have that  $T(n) = n^c \sum_{j=0}^{k-1} \left(\frac{a}{b^c}\right)^j + a^k T(n/b^k)$  for all  $k \geq 1$ . We next choose a value of  $k$  which causes our recurrence to reach a known base case. Since  $n/b^k = 1$  when  $k = \log_b n$ , and  $T(1) = \Theta(1)$ , we have

$$\begin{aligned} T(n) &= n^c \sum_{j=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^j + a^{\log_b n} T(1) \\ &= n^c \sum_{j=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^j + n^{\log_b a} \Theta(1) \\ &= n^c \sum_{j=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^j + \Theta(n^{\log_b a}) \end{aligned}$$

The solution to our recurrence involves the geometric series  $\sum_{j=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^j$ . In order to bound this series, we must consider three cases:  $a/b^c > 1$ ,  $a/b^c = 1$ , and  $a/b^c < 1$ . This is equivalent to considering the cases  $c < \log_b a$ ,  $c = \log_b a$ , and  $c > \log_b a$ .

**Case 1:**  $c < \log_b a \Leftrightarrow a/b^c > 1$ .

If  $a/b^c > 1$ , we then have

$$\sum_{j=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^j = \frac{(a/b^c)^{\log_b n} - 1}{(a/b^c) - 1} = \Theta((a/b^c)^{\log_b n}) = \Theta\left(\frac{n^{\log_b a}}{n^c}\right).$$

From this we may conclude that

$$\begin{aligned}
 T(n) &= n^c \sum_{j=0}^{\log_b n-1} \left(\frac{a}{b^c}\right)^j + \Theta(n^{\log_b a}) \\
 &= n^c \cdot \Theta\left(\frac{n^{\log_b a}}{n^c}\right) + \Theta(n^{\log_b a}) \\
 &= \Theta(n^{\log_b a}).
 \end{aligned}$$

**Case 2:**  $c = \log_b a \Leftrightarrow a/b^c = 1$ .

If  $a/b^c = 1$ , we then have

$$\sum_{j=0}^{\log_b n-1} \left(\frac{a}{b^c}\right)^j = \sum_{j=0}^{\log_b n-1} 1^j = \log_b n.$$

Noting that  $c = \log_b a$ , we may then conclude that

$$\begin{aligned}
 T(n) &= n^c \sum_{j=0}^{\log_b n-1} \left(\frac{a}{b^c}\right)^j + \Theta(n^{\log_b a}) \\
 &= n^c \cdot \log_b n + \Theta(n^{\log_b a}) \\
 &= \Theta(n^c \log n) = \Theta(n^{\log_b a} \log n)
 \end{aligned}$$

**Case 3:**  $c > \log_b a \Leftrightarrow a/b^c < 1$ .

If  $a/b^c < 1$ , we then have

$$\sum_{j=0}^{\log_b n-1} \left(\frac{a}{b^c}\right)^j \geq (a/b^c)^0 = 1 = \Omega(1)$$

and

$$\sum_{j=0}^{\log_b n-1} \left(\frac{a}{b^c}\right)^j \leq \sum_{j=0}^{\infty} \left(\frac{a}{b^c}\right)^j = \frac{1}{1 - a/b^c} = O(1)$$

which yields

$$\sum_{j=0}^{\log_b n-1} \left(\frac{a}{b^c}\right)^j = \Theta(1).$$

Noting that  $c > \log_b a$ , we may then conclude that

$$\begin{aligned}
 T(n) &= n^c \sum_{j=0}^{\log_b n-1} \left(\frac{a}{b^c}\right)^j + \Theta(n^{\log_b a}) \\
 &= n^c \cdot \Theta(1) + \Theta(n^{\log_b a}) \\
 &= \Theta(n^c)
 \end{aligned}$$

Note that in each case, either  $c$  or  $\log_b a$  appears in the exponent of the solution, and it is the *larger* of these two values which appears. If these terms are equal, then an extra log factor appears as well. In summary, we have

<b>Case 1:</b>	$c < \log_b a$	$T(n) = \Theta(n^{\log_b a})$
<b>Case 2:</b>	$c = \log_b a$	$T(n) = \Theta(n^c \log n) = \Theta(n^{\log_b a} \log n)$
<b>Case 3:</b>	$c > \log_b a$	$T(n) = \Theta(n^c)$