

Lecture 2: Recurrences MIT

Arithmetic Sum/Series

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

Quad Series

$$\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$$

Geometric Series
base x $x \neq 1$

$$x^0 + x^1 + x^2 + \dots + x^n = \frac{x^{n+1} - 1}{x - 1}$$

Harmonic Series $H_n = \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} \approx \ln(n) + \text{const}$

\Leftrightarrow def $e =$ base of nat log.

$$\log_b x = \log_a x \cdot \log_b a \quad : \quad x = b^y \quad y = \log_a b^y = \log_a a^{\log_b x} = \log_b x \cdot \log_a a$$

$$a^{\log_b n} = n^{\log_b a}$$

$$: n = b^x$$

$$a^x = (b^{\log_b a})^x = (b^{\log_b a})^x = (b^{\log_b a})^x$$

$\log(n!) \stackrel{?}{=} \Theta(n \log n)$
 $\log(abc) = \log a + \log b + \log c$

$C_1 n \log n \leq \log(n!) \leq C_2 n \log n$

$C_1 n \log n \leq \log 1 + \log 2 + \dots + \log n \leq C_2 n \log n$

$\log n + \log n + \dots + \log n$

$C_2 (\log n + \log n + \dots + \log n)$

$C_2 = 1$

~~$\frac{1}{2} \log(\frac{n}{2})$~~

~~$C_1 n \log n$~~

$C_1 \log n$

~~quant~~
 $\leq \frac{1}{2} (\log n - \log 2)$
 $2C_1 \log n \leq \log n - \log 2$

$2C_1 \leq \frac{\log n - \log 2}{\log n}$

$2C_1 < 1$
 $C_1 < \frac{1}{2}$

$f(n) \rightarrow \infty$
denominator $\rightarrow \infty$

$$\frac{f(n) - \text{const}}{f(n)} \rightarrow 1$$

~~$$\frac{1/f - 1}{1/f} \rightarrow 1$$~~

$$\frac{3n^2 - 5n + 1}{2n^2 + 7n + 2} \rightarrow \frac{3}{2}$$

$$\frac{n}{n^2} \rightarrow$$

$$\frac{n^2}{n} \rightarrow \infty$$

Last Time

Mergesort $T(n) = 2T(n/2) + \Theta(n)$

Binary Search $T(n) = T(n/2) + \Theta(1)$

$$T(n) = 4T(n/2) + n$$

guess $O(n^3)$

ind
step

$$T(n/2) \leq c\left(\frac{n}{2}\right)^3$$

hyp

\implies

$$T(n) \leq cn^3$$

concl

proof

$$T(n) = 4T(n/2) + n \leq 4c\left(\frac{n}{2}\right)^3 + n$$

$$= \frac{cn^3}{2} + n \stackrel{\text{WANT}}{\leq} cn^3$$

$$\frac{c}{2} + \frac{1}{n^2} \stackrel{\text{WANT}}{\leq} c$$

$$\frac{1}{2} + \overset{\text{für } C=1}{\circlearrowleft} 0 \leq 1 \quad \checkmark$$

Better guess

$$T(n) \leq cn^2$$

$O(n^2)$

ind step $T(n/2) \leq c(n/2)^2 \implies T(n) \leq cn^2$

proof: $T(n) = 4T(n/2) + n \leq 4c(n/2)^2 + n$

$$= cn^2 + n \stackrel{\text{WANT}}{\leq} cn^2 \quad \text{impossible}$$

better proof: include lower-degree terms

$$T(n/2) \leq c(n/2)^2 - d(n/2) \implies T(n) \leq cn^2 - dn$$

proof $T(n) = 4T(n/2) + n \leq 4[c(n/2)^2 - d(n/2)] + n$

$$= cn^2 - 2dn + n \stackrel{\text{WANT}}{\leq} cn^2 - dn$$

$$cn^2 - 2dn + n \stackrel{\text{WANT}}{\geq} \cancel{cn^2 - dn}$$

$$-dn + n \leq 0 \quad d=2$$

Lower Bound $\rightarrow \Omega(n^2)$?

ind step $T(n/2) \stackrel{\text{hyp}}{\geq} c\left(\frac{n}{2}\right)^2 \Rightarrow T(n) \geq cn^2$

proof $T(n) = 4T(n/2) + n \geq 4c\left(\frac{n}{2}\right)^2 + n$

$$= cn^2 + n \stackrel{\text{WANT}}{\geq} cn^2$$

$$T(n) = \Theta(n^2)$$

$\forall c > 0$

worst $T(n) \leq c f(n)$

Better/tighter ineq $T(n) \leq c f(n) - d g(n)$
 g lower than f $g = o(f)$

$$n^2 - n = \Theta(n^2)$$

$$T(n) = 4T\left(\frac{n}{2}\right) + n$$

$$k=2 = 4\left[4T\left(\frac{n}{4}\right) + \frac{n}{2}\right] + n = 4^2 T\left(\frac{n}{2^2}\right) + n + 2n$$

$$k=3 = 4^3 T\left(\frac{n}{2^3}\right) + n + 2n + 4n$$

$$k=4 = 4^4 T\left(\frac{n}{2^4}\right) + n + 2n + 4n + 8n$$

general
k

$$4^k T\left(\frac{n}{2^k}\right) + n(1 + 2 + \dots + 2^{k-1})$$

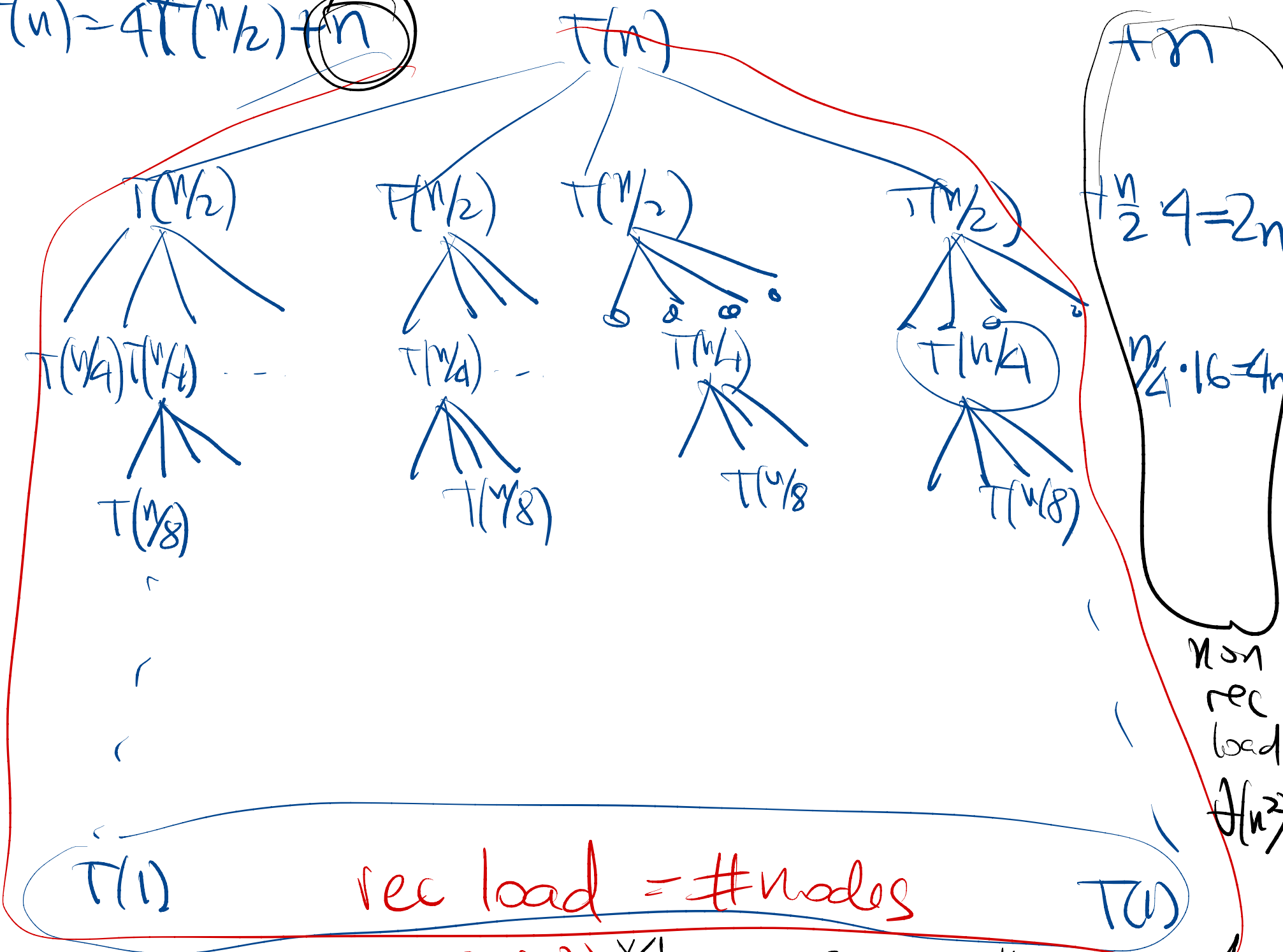
k+1

$$4^{k+1} T\left(\frac{n}{2^{k+1}}\right) + n(1 + 2 + \dots + 2^k)$$

last k $T\left(\frac{n}{2^k}\right) \approx T(1) \iff k \approx \log_2 n \quad \Theta(n^2)$

$$4^{\log_2 n} T(1) + n \cdot 2^{\log_2 n} = n^{\log_2 4} T(1) + n^2$$

$$T(n) = 4T(n/2) + n$$



$$1 + x + x^2 + \dots + x^k + \dots = \frac{1}{1-x}$$

$$T(n) = T(n/2) + T(n/4) + n^2$$

$$= n^2 + \left[\left(\frac{n}{2}\right)^2 + T\left(\frac{n}{4}\right) + T\left(\frac{n}{8}\right) \right] + \left[\left(\frac{n}{4}\right)^2 + T\left(\frac{n}{8}\right) + T\left(\frac{n}{16}\right) \right]$$

$$= n^2 + \frac{5}{16}n^2 \quad T\left(\frac{n}{4}\right) + 2T\left(\frac{n}{8}\right) + T\left(\frac{n}{16}\right)$$

$$= n^2 + \frac{5}{16}n^2 + \left[\left(\frac{n}{4}\right)^2 + T\left(\frac{n}{8}\right) + T\left(\frac{n}{16}\right) \right] +$$

$$\left[2\left(\frac{n}{8}\right)^2 + 2T\left(\frac{n}{16}\right) + 2T\left(\frac{n}{32}\right) \right] +$$

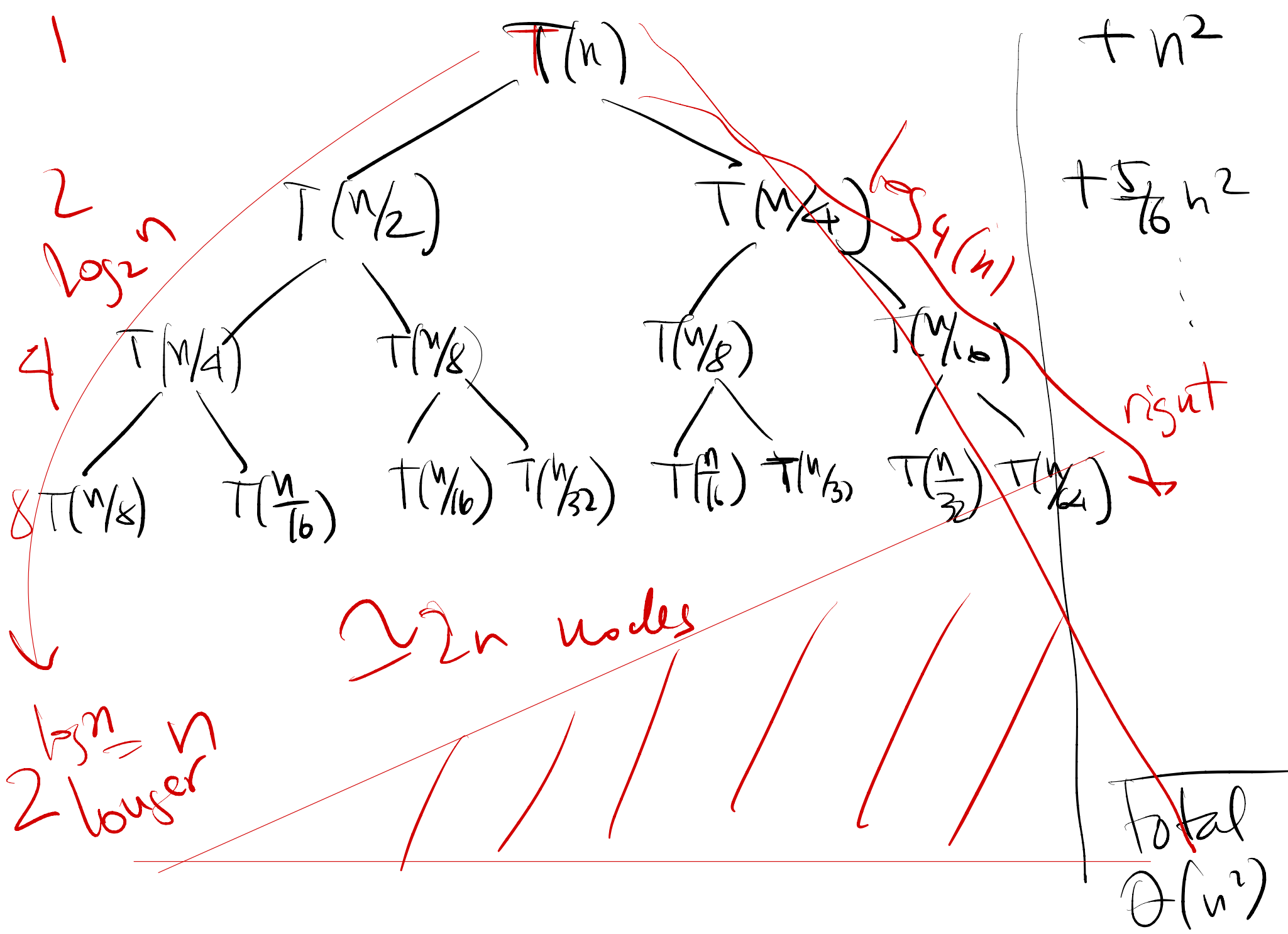
$$\left[\left(\frac{n}{16}\right)^2 + T\left(\frac{n}{32}\right) + T\left(\frac{n}{64}\right) \right]$$

$$= n^2 + \frac{5}{16}n^2 + \left(\frac{5}{16}\right)^2 n^2 \quad T\left(\frac{n}{8}\right) + 3T\left(\frac{n}{16}\right) + 3T\left(\frac{n}{32}\right) + T\left(\frac{n}{64}\right)$$

$$x = \frac{5}{16}$$

$$n^2 (1 + x + x^2 + x^3 + \dots)$$

1 4 6 4 1



Guess $T(n) = \Theta(n^2)$

$$T(n) = n^2 + T\left(\frac{n}{2}\right) + T\left(\frac{n}{4}\right)$$

$$c_1 n^2 \leq T(n) \leq c_2 n^2$$

$$c_1 = 1 \quad n^2 \leq n^2 \quad \checkmark$$

ind step

$$\left. \begin{aligned} T\left(\frac{n}{2}\right) &\leq c_2 \left(\frac{n}{2}\right)^2 \\ T\left(\frac{n}{4}\right) &\leq c_2 \left(\frac{n}{4}\right)^2 \end{aligned} \right\}$$

$$\xrightarrow{?} T(n) \leq c_2 n^2$$

proof $T(n) = n^2 + T\left(\frac{n}{2}\right) + T\left(\frac{n}{4}\right) \leq n^2 + c_2 \left(\frac{n}{2}\right)^2 + c_2 \left(\frac{n}{4}\right)^2$

~~$n^2 \left(1 + \frac{c_2}{4} + \frac{c_2}{16}\right)$~~ WANT ~~$c_2 n^2$~~

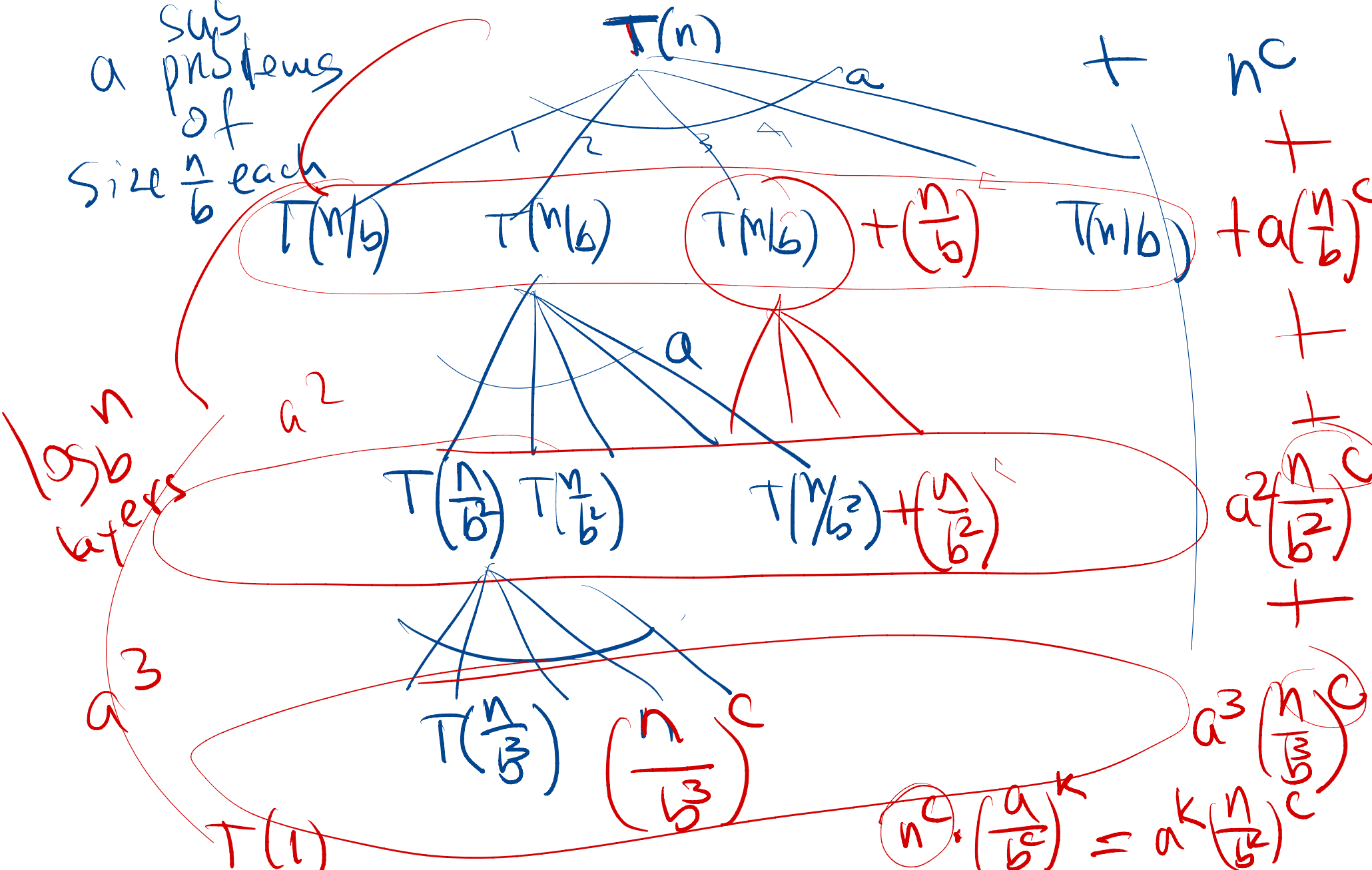
$$16 + 4c_2 + c_2 \leq 16c_2 \quad c_2 = 2$$

$$T(n) = aT(n/b) + \Theta(nc)$$

a branches of load ratio $\frac{1}{b}$ + $n \cdot \sqrt[n]{n}$
 nc

a sub
 problems
 size $\frac{n}{b}$ each

$\log_b n$
 levels



$$nc \cdot \left(\frac{a}{b}\right)^k = n^c \cdot \left(\frac{n}{b^k}\right)^c = a^k \cdot \left(\frac{n}{b^k}\right)^c$$

branches at bottom
 $a^{\log_b n} \cdot T(1)$

$$\Theta(n^{\log_b a})$$

$$n^c \left[1 + \left(\frac{a}{b^c}\right) + \left(\frac{a}{b^c}\right)^2 + \left(\frac{a}{b^c}\right)^3 + \dots + \left(\frac{a}{b^c}\right)^k \right]$$

$$n^c (1 + x + x^2 + \dots + x^k)$$

$$x \neq 1$$

$$n^c \left[\sum_{i=0}^{\log_b n - 1} \left(\frac{a}{b^c}\right)^i \right]$$

$$\frac{n^c \left(\frac{a}{b^c}^{\log_b n} - 1 \right)}{x - 1}$$

3 cases

- $x < 1$
- $x = 1$
- $x > 1$

$$\left(\frac{a}{b^c}\right)^x = \frac{a^x}{b^{cx}}$$

$$\log_b n = n$$

Case 1 $x = \frac{a}{bc} \geq 1 \iff c < \log_b a$

tree
 $\Theta(n^{\log_b a}) + n^c$

~~$\frac{x^{\log_b n}}{x-1}$~~

$x = \frac{a}{bc}$

$\Theta(n^{\log_b a}) + \Theta\left(n^c \cdot \frac{a^{\log_b n}}{(bc)^{\log_b n}}\right)$

$\Theta(n^{\log_b a}) + \Theta\left(\frac{n^c \cdot a^{\log_b n}}{n^{\log_b a}}\right)$

$\Theta(n^{\log_b a})$

Case 2 $c = \log_b a \iff \kappa = \frac{a}{bc} = 1$

$$n^c \sum_{i=0}^{\log_b n - 1} (1)^i + \Theta(n^{\log_b a})$$

$$n^c \cdot \log_b n + \Theta(n^{\log_b a})$$

$$\boxed{n^{\log_b a} \cdot \log_b n + \Theta(n^{\log_b a})}$$

$$\Theta(n^{\log_b a} \log_b n)$$

$$\Theta(n^c \cdot \log_b n)$$

case 3 $c > \log_b a \iff x = \frac{a}{bc} < 1$

$$n^c \left(\frac{x^{\text{power}} - 1}{x - 1} \right) + \Theta(n^{\log_b a})$$

Constant

$$\Theta(n^c) + \Theta(n^{\log_b a})$$

$$\Theta(n^c)$$

Simpler MT

$$c < \log_b a$$

$$T(n) = \Theta(n^{\log_b a})$$

$$c = \log_b a$$

$$T(n) = \Theta(n^c \log n) = \Theta(n^{\log_b a} \log n)$$

$$c > \log_b a$$

$$T(n) = \Theta(n^c)$$

MIT-book

$$T(n) = aT(n/b) + f(n)$$

• $f(n) \leq d \cdot n^{\log_b a - \epsilon} \Rightarrow T(n) = \Theta(\underline{n^{\log_b a}})$

ours: $n^c < n^{\log_b a}$

• $f(n) = \Theta(n^{\log_b a} \log^k n) \Rightarrow T(n) = \Theta\left(\begin{matrix} n^{\log_b a} \\ \log^{k+1}(n) \end{matrix}\right)$

ours: $c = \log_b a$ ($k=0$)

• $f(n) \geq d \cdot n^{\log_b a + \epsilon}$ + Regularity
 $a f(n/b) < f(n)$

ours: $n^c > n^{\log_b a}$

$$\Rightarrow T(n) = \Theta(f(n))$$

$$T(n) = \frac{n^2}{\log n} + 4T(n/2)$$

$$= \frac{n^2}{\log n} + 4 \left[\frac{(n/2)^2}{\log^2 n/2} + 4T(n/4) \right]$$

$$= \frac{n^2}{\log n} + \frac{n^2}{\log^2 n/2} + 4^2 T(n/2^2)$$

$$= \frac{n^2}{\log n} + \frac{n^2}{\log^2 n/2} + 4^2 \left[\frac{(n/4)^2}{\log^2 n/4} + 4T(n/2^3) \right]$$

$$= \frac{n^2}{\log n} + \frac{n^2}{\log^2 n/2} + \frac{n^2}{\log^2 n/4} + 4^3 T(n/2^3)$$

$$\star \frac{n^2}{\log n} + \frac{n^2}{\log^2(n/2)} + \frac{n^2}{\log^2(n/2^2)} + \dots + \frac{n^2}{\log^2(n/2^k)} + 4^{k+1} T\left(\frac{n}{2^{k+1}}\right)$$

$$K \frac{n^2}{\log n} + \frac{n^2}{\log(n/2)} + \frac{n^2}{\log(n/2^2)} + \dots + \frac{n^2}{\log(n/2^k)} + 4^{k+1} T\left(\frac{n}{2^{k+1}}\right)$$

$$n^2 \left[\frac{1}{\log n} + \frac{1}{\log n - 1} + \frac{1}{\log n - 2} + \frac{1}{\log n - 3} + \dots \right]$$

$$\text{last } k \quad \frac{n}{2^{k+1}} \approx 1 \Leftrightarrow k \approx \log n - 1$$

$$n^2 \left[\frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{\log n} \right]$$

$$= n^2 H_{(\log n)} \approx n^2 \log(\log n)$$