

# MAXIMUM FLOW: THE PREFLOW/PUSH METHOD

Goldberg and Tarjan (87)

## Motivation

- Find a maximal flow over a directed graph
- Source and sink vertices are given

## Some definitions (just a reminder)

- A flow network  $\rightarrow G = (V, E)$  a directed graph
- Two vertices  $\{s, t\}$  the source and the sink
- Each edge  $(u, v) \in E$  has some positive capacity  $c(u, v)$ , if  $(u, v) \notin E$   $c(u, v) = 0$ .
- The flow function  $f$  maps a value for each edge where:
  - $f(u, v) \leq c(u, v)$
  - $f(v, u) = -f(u, v)$  ( skew symmetry )
- Saturated edge  $(u, v) \Leftrightarrow c(u, v) = f(u, v)$

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## Some definitions, contd.

- $r(u, v) = c(u, v) - f(u, v)$
- Residual graph  $R(V, E')$  where  $E'$  is all the edges  $(u, v)$  where  $r(u, v) \geq 0$
- Augmenting path  $p$  is a path from the source to the sink over the residual graph
- $f$  is a maxflow  $\Leftrightarrow$  there is no augmenting path

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## Just as Dinic but...

- We use the residual network
- We don't look for augmenting paths
- Instead we saturate all outgoing edges of the source and strive to make this “preflow” reach the sink
- Otherwise we'll have to flow it back.

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## Preflow

- Flow constrains:

$$\forall (u,v) \in V \quad f(u,v) \leq c(u,v)$$

$$\forall (u,v) \in V \quad f(v,u) = -f(u,v)$$

$$\forall v \in V - \{s\} \quad \sum f(u,v) \geq 0$$



- Every vertex  $v$  may keep some “excess” flow  $e(v)$  inside the vertex

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## Excess handling

- We strive to push this excess toward the sink
- If the sink is not reachable on the residual network the algorithm pushes the excess toward the source
- When no vertices with  $e(v) > 0$  are left the algorithm halts, and the resulting flow (!) is the max -flow

## Valid distance labeling

- A mapping function  $d(v) \rightarrow \mathbb{N} + \{ \infty \}$
- $d(s) = n, d(t) = 0$
- $r(u,v) > 0 \rightarrow d(u) \leq d(v) + 1$
- $d(v) < n \rightarrow d(v)$  is the lower bound on the distance from  $v$  to the sink (residual graph)
  - Let  $p = v, v_1, v_2, v_3, \dots, v_k, t$  be the s.p  $v \rightarrow t$
  - $d(v) \leq d(v_1) + 1 \leq d(v_2) + 2 \dots \leq d(t) + k = k$
- Same way  $d(v) \geq n \rightarrow d(v) - n$  is the lower bound on the distance from  $v$  to the source

# Active vertex

- Active vertex:
  - $v \in V - \{s, t\}$  is active if
    - $d(v) < \infty$
    - $e(v) > 0$
  - Eventually, I'll show that  $d(v)$  is always finite and therefore only the  $e(v) > 0$  part is relevant

# Basic operations

- Applied on active vertices only
- Push  $(u, v)$ 
  - Requires:  $r(u, v) > 0, d(u) = d(v) + 1$
  - Action:
    - $\delta = \min( e(v), r(u, v) )$
    - $f(u, v) += \delta, f(v, u) -= \delta$
    - $e(u) -= \delta, e(v) += \delta$

## Basic operations , contd.

- Relabel (u)
  - Requires:  $\forall (u,v) \in V \ r(u,v) > 0 \rightarrow d(u) \leq d(v)$
  - Action:
    - $d(u) = \min \{ d(v) + 1 \mid r(u,v) > 0 \}$
- One of the basic operations is applicable on a active vertex:
  - PUSH: Any residual edge (u,v) with  $d(u) = d(v) + 1$
  - Otherwise:  $d(u) \leq d(v)$  for all residual edges, allows relabel

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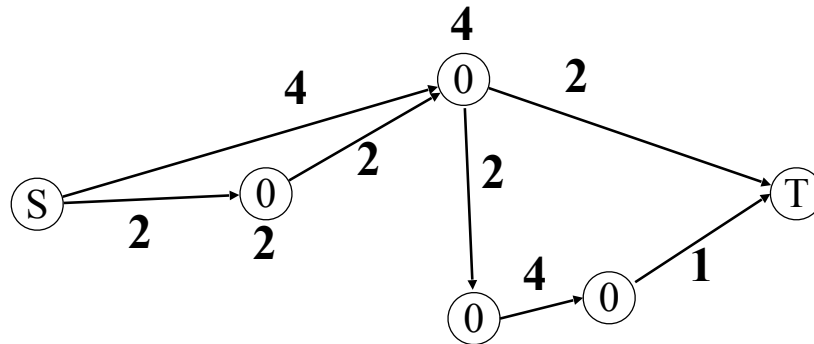
## The algorithm

- Initialize:  $d(s) = n, v \in V - \{s\} \ d(v) = 0$
- Saturate the outgoing edges of s
- While there are active vertices apply one of the basic actions on the vertex
- Simple, isn't it?
- Let's see an example

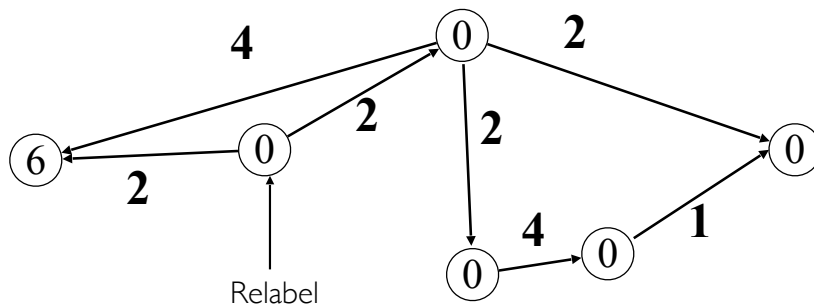
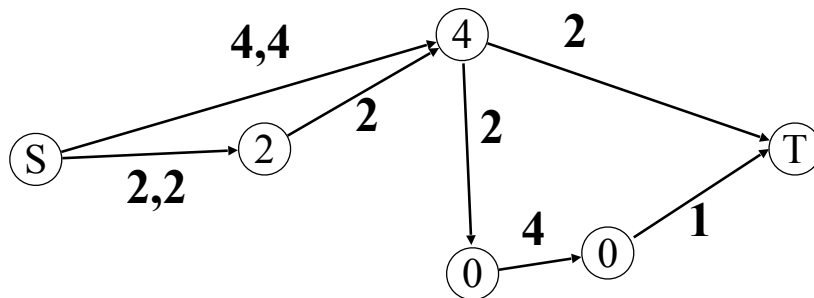
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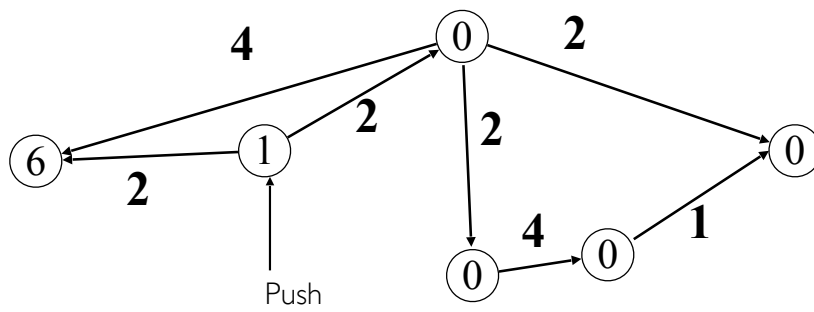
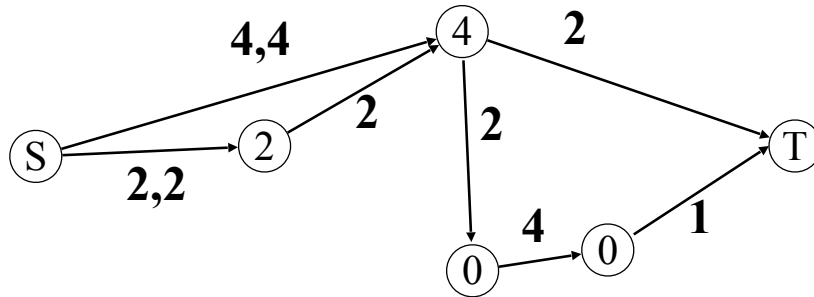
# Example - Saturate all source edges



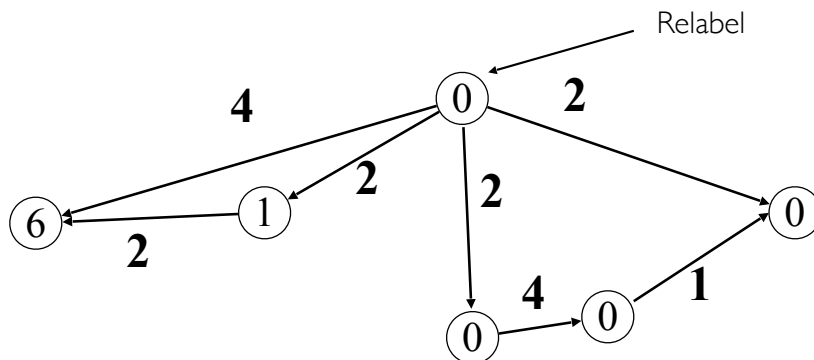
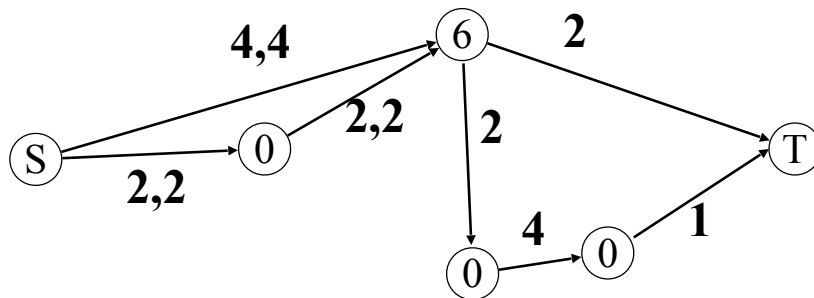
# Example – contd.



## Example – contd.

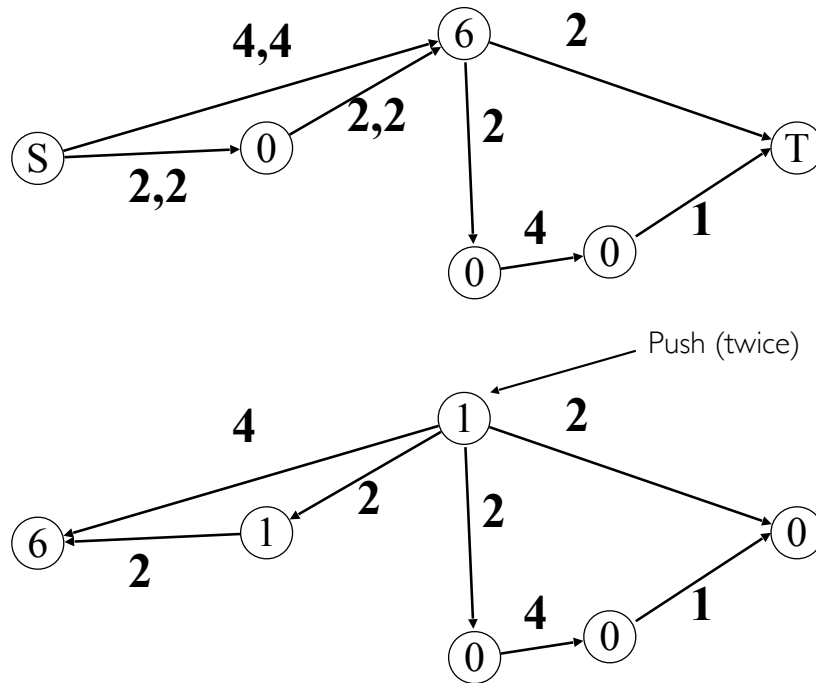


## Example – contd.

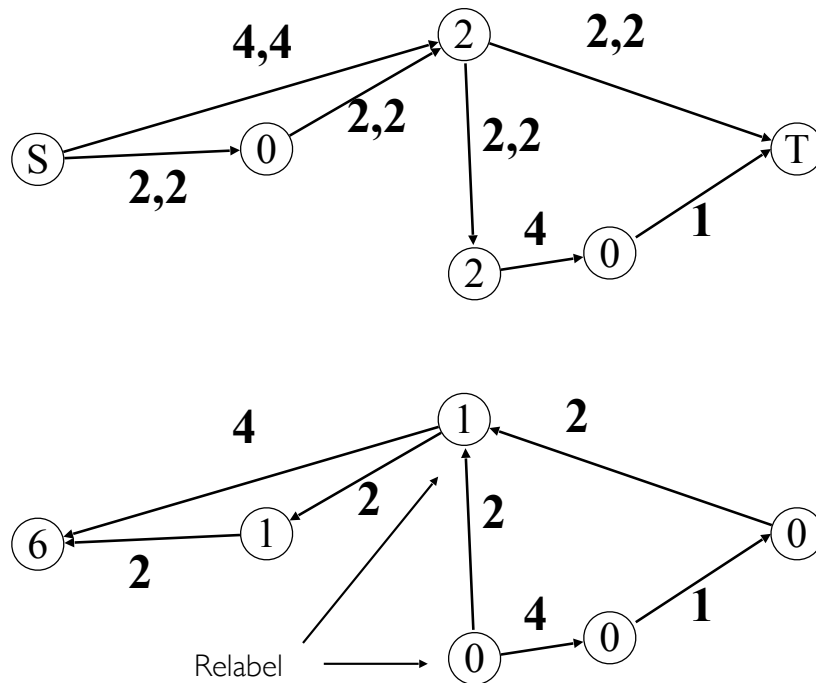




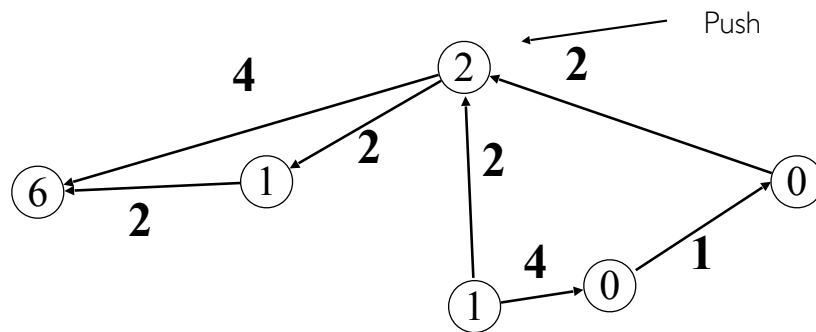
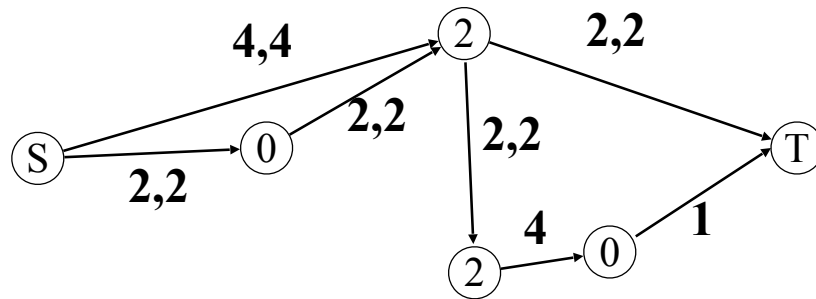
## Example – contd.



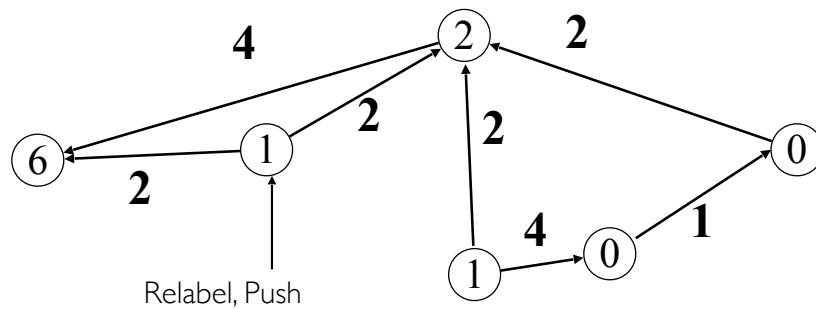
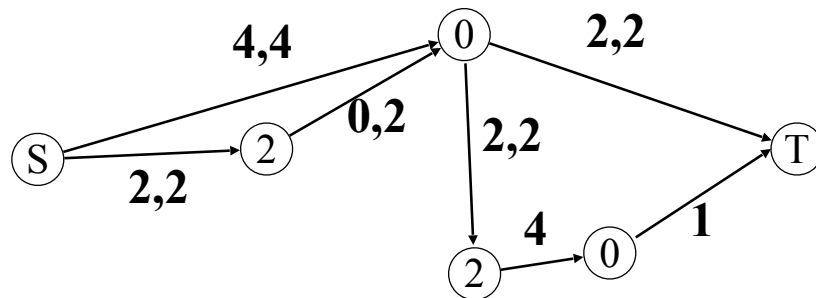
## Example – contd.



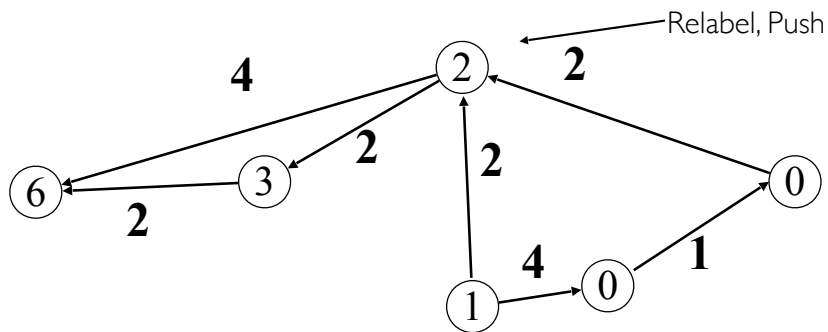
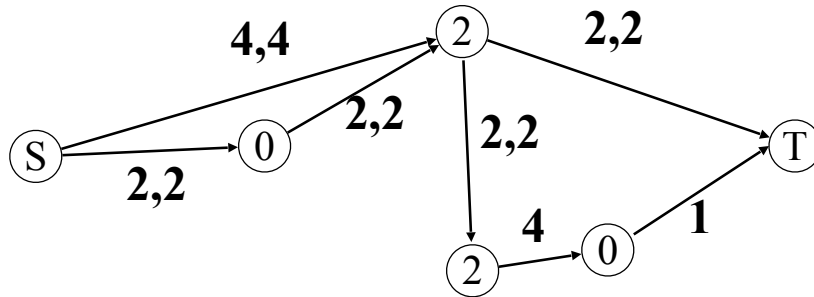
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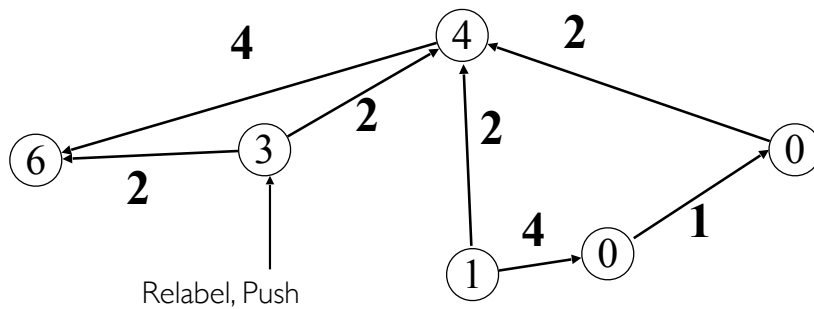
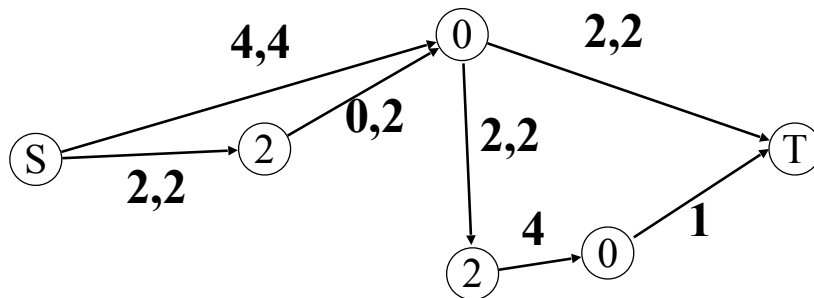
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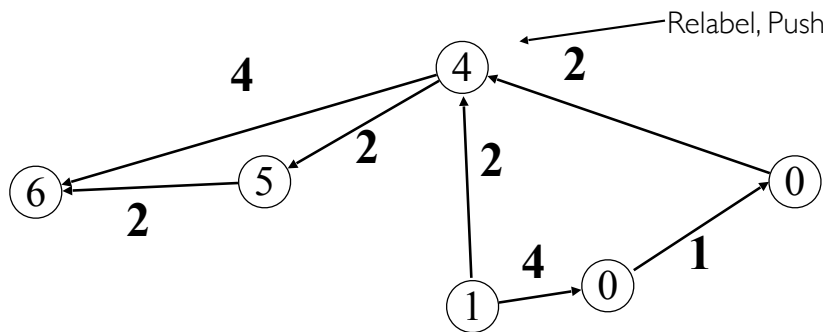
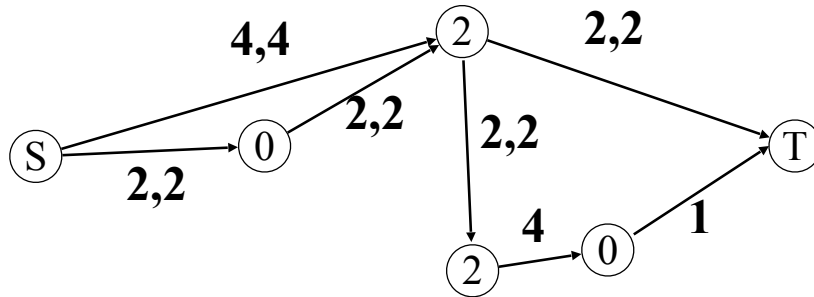
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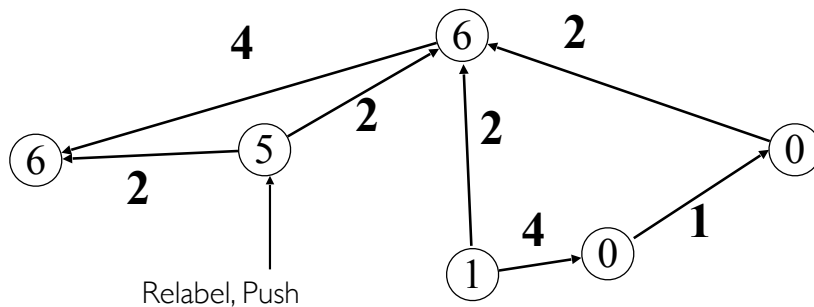
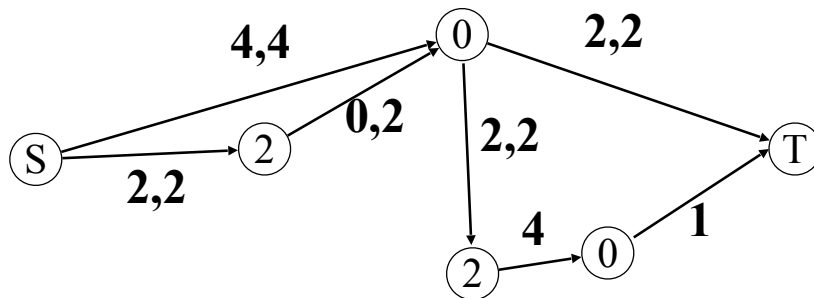
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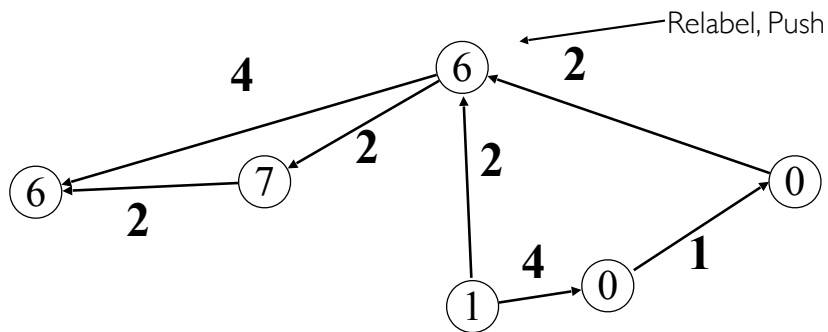
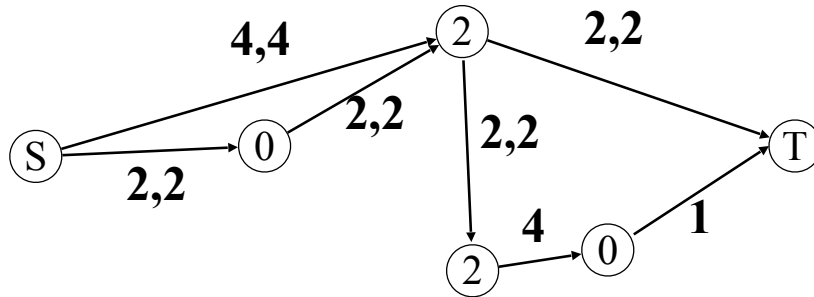
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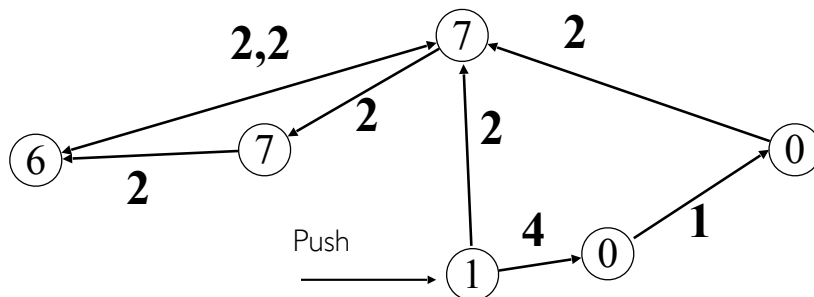
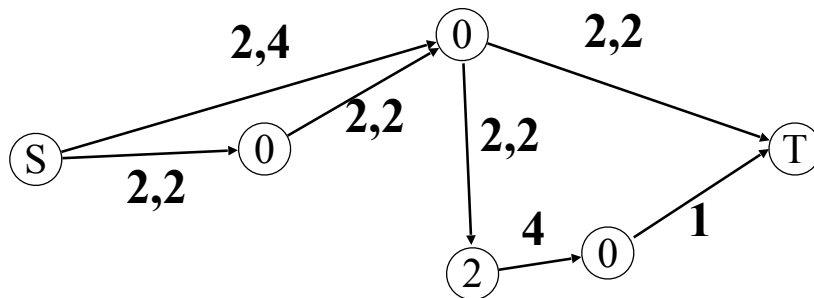
## Example – contd.



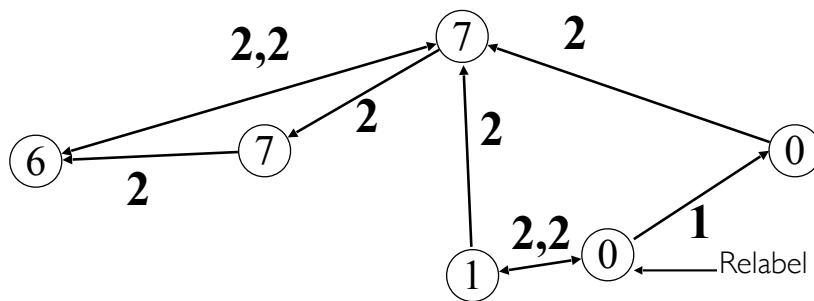
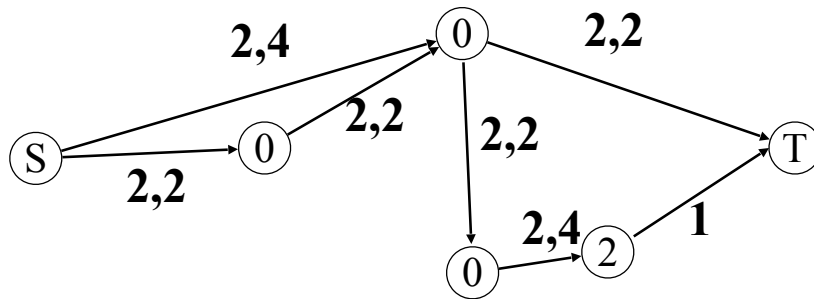
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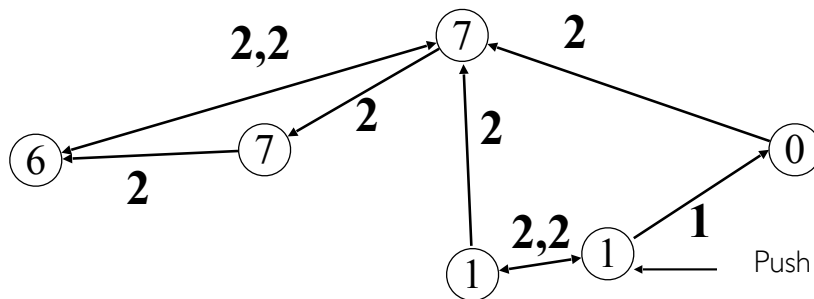
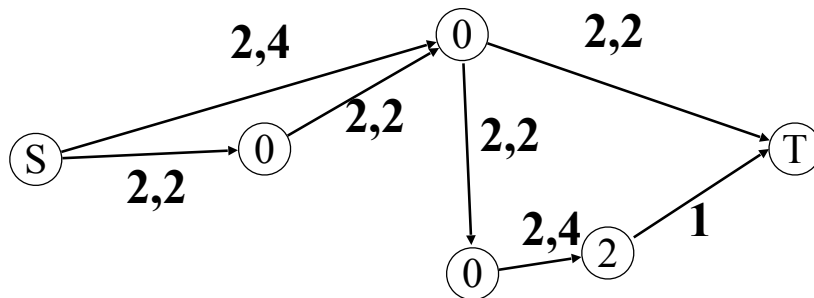
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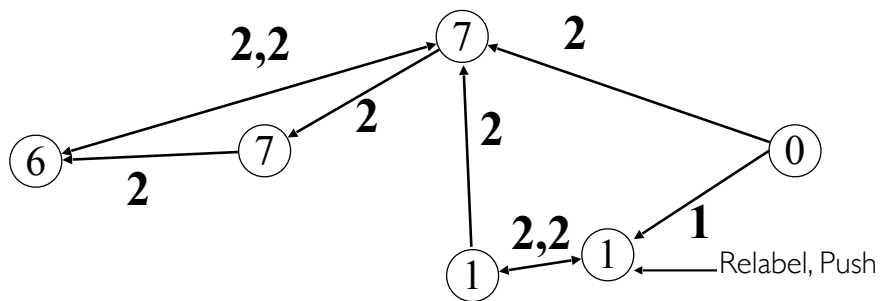
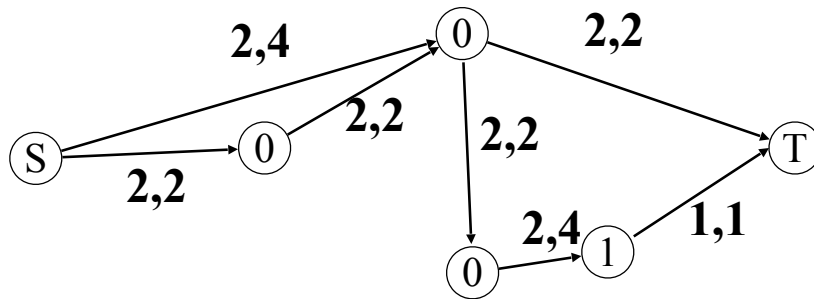
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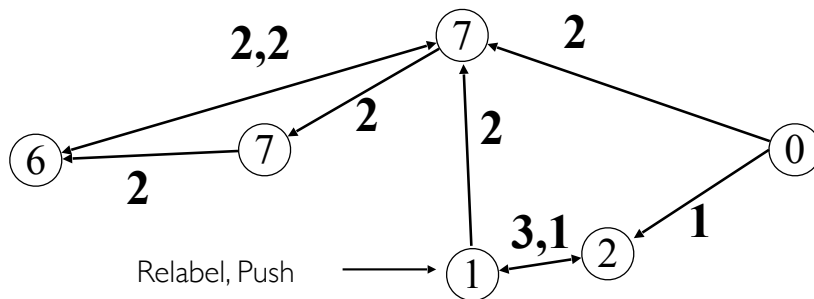
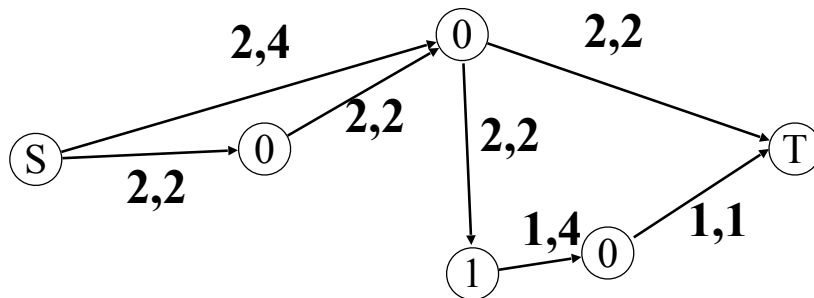
## Example – contd.



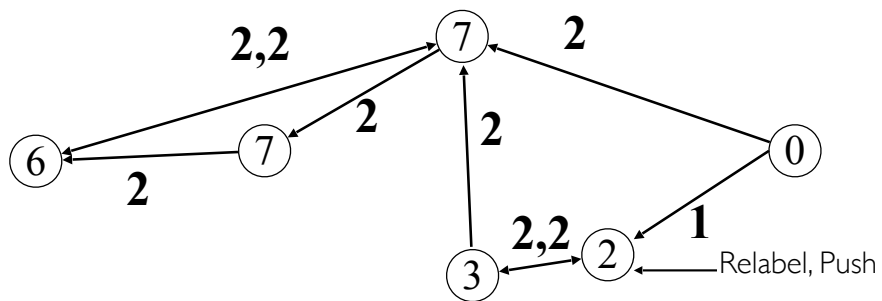
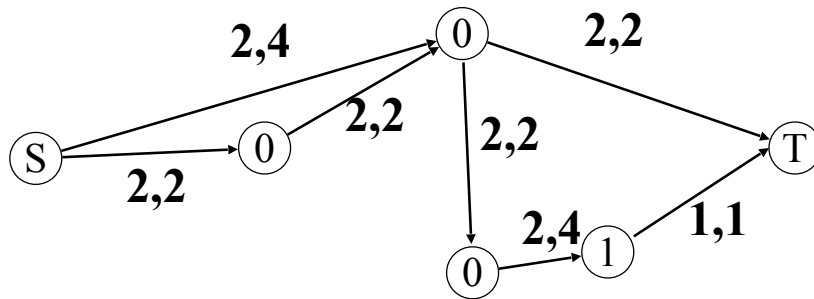
## Example – contd.



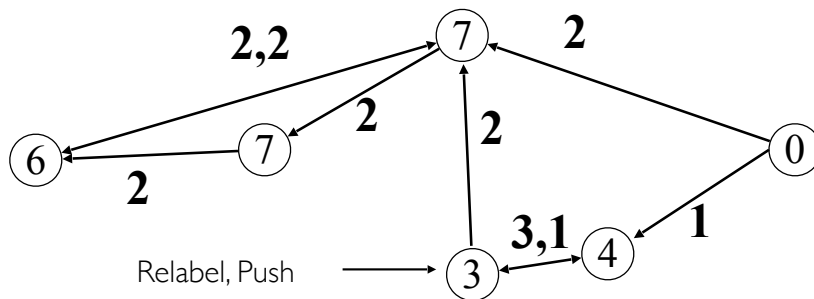
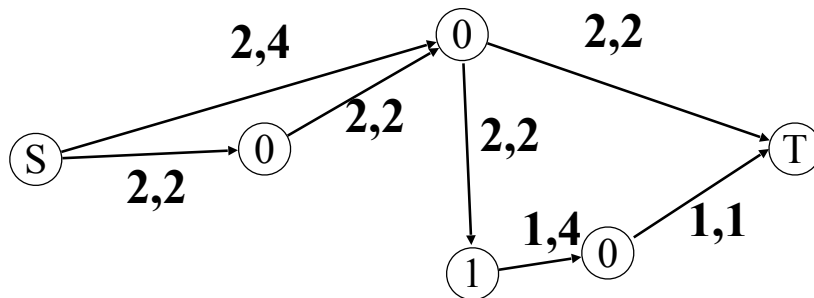
## Example – contd.



## Example – contd.

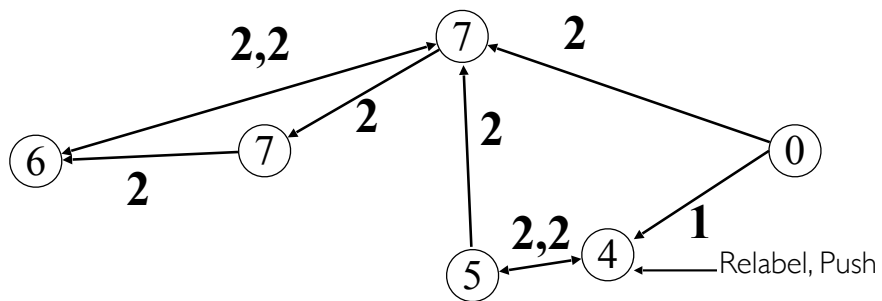
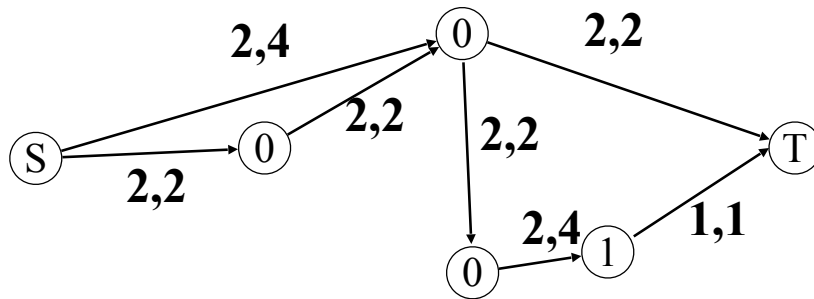


## Example – contd.

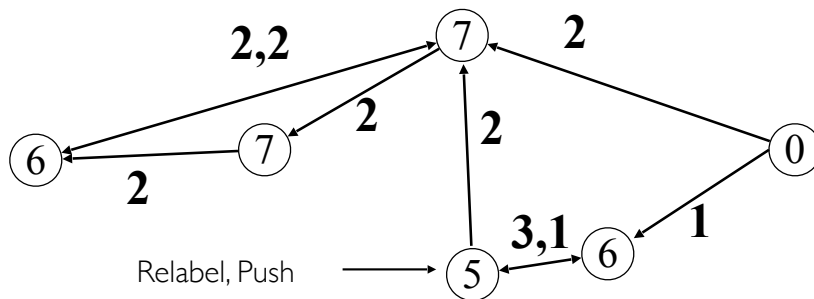
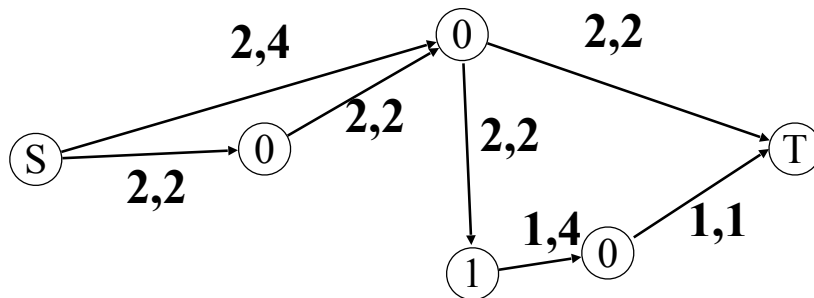




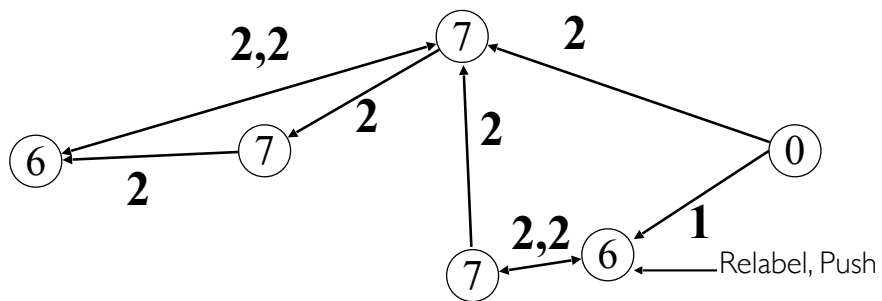
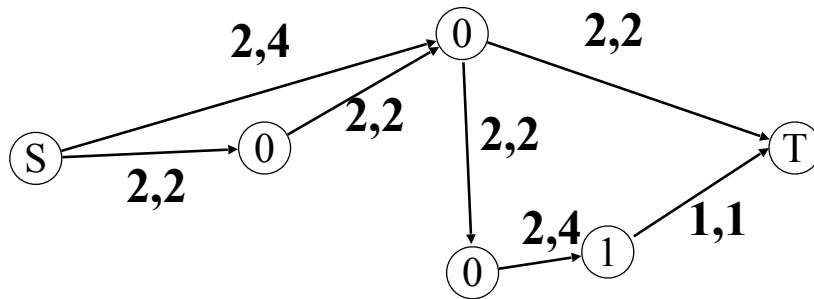
## Example – contd.



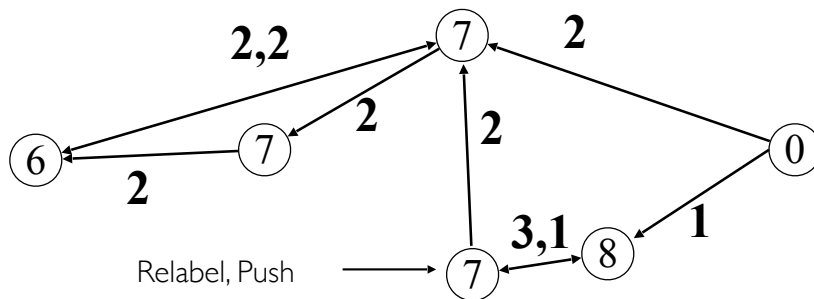
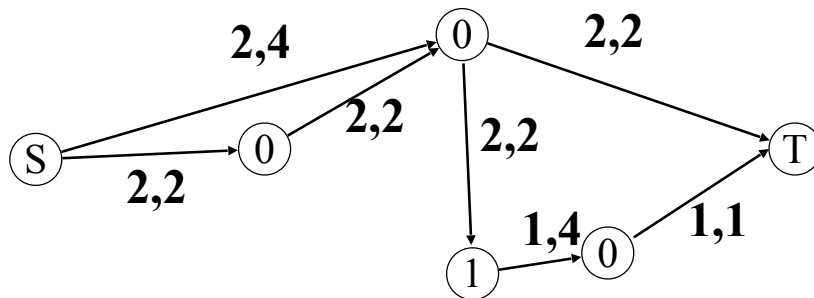
## Example – contd.



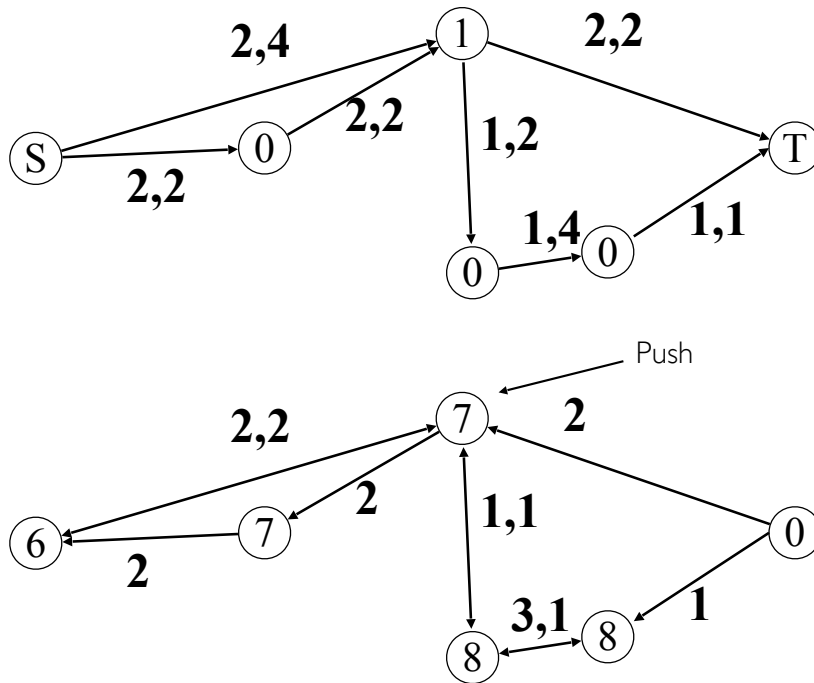
## Example – contd.



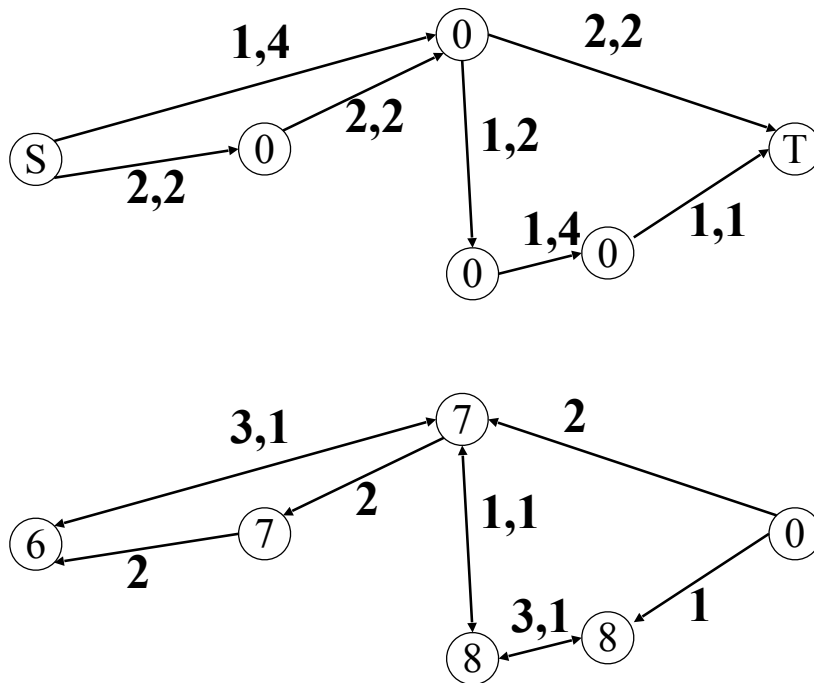
## Example – contd.



## Example – contd.



## Example – contd.



## Correctness

- For an active vertex  $v$ , there must be a residual path  $v \rightarrow \dots \rightarrow s$ 
  - Otherwise, no flow enters  $v$ , and it is clearly not active
- So, every active vertex  $v$  has an outgoing edge
  - And this means, that if the distance labels are valid,  $v$  can be either relabeled or pushed

## Correctness of $d(v)$

- $r(u,v) > 0 \rightarrow d(u) \leq d(v) + 1$
- By induction on the basic operations
- We begin with a valid labeling
- Relabel keeps the invariant
  - By definition for the outgoing edges
  - Only grows, so holds for all the incoming ones
- Push
  - Can only introduce  $(v,u)$  – back edge, but since  $d(u) = d(v) + 1$  the correctness is kept

## Correctness of $d(v)$ – contd.

- For any active vertex  $v$ ,  $d(v) < 2n$ 
  - Let  $p = v, v_1, v_2, v_3, \dots, v_k, s$  be a path  $v \rightarrow s$
  - $d(v) \leq d(v_1) + 1 \leq d(v_2) + 2 \dots \leq d(s) + k = n + k$
  - The length of the path is  $\leq n - 1$ , so  $k \leq n - 1$
  - $\rightarrow d(v) \leq 2n - 1$
- For a non active, it is kept when the vertex is active, or it is 0.
- $\rightarrow d(v)$  is finite for any  $v$  during the run of the algorithm

## Correctness contd.

- At the end, for all the vertices besides  $\{s, t\}$  no excess is left in the vertices
  - $\rightarrow$  Our preflow is a flow
- The sink is not reachable from the source on the augmenting graph
  - Let  $p = s, v_1, v_2, v_3, \dots, v_k, t$  be a path  $s \rightarrow t$
  - Notice  $k \leq n - 2$
  - $n = d(s) \leq d(v_1) + 1 \leq d(v_2) + 2 \dots \leq d(t) + k + 1 = k + 1$
  - Implies that  $n \leq k + 1$  in contradiction to above

# Complexity analysis

- $d(v) \leq 2n-1$ , and can only grow during the execution, and only by relabel operation
- $n-2$  vertices are relabeled
  - $\rightarrow$  At most  $(n-2)(2n-1) < 2n^2 = O(n^2)$  relabels.

## Complexity analysis –

Saturating push

- First saturating push  $1 \leq d(u) + d(v)$
- Last saturating push  $d(u) + d(v) \leq 4n - 3$
- Must grow by 2 between 2 adjacent pushes
- $\rightarrow$   $2n-1$  saturating pushes on  $(u,v)$  [or  $(v,u)$ ].
- $\rightarrow m(2n-1) = O(nm)$  saturating pushes at all

## Complexity analysis –

Non Saturating push

- $\Phi = \sum d(v) \mid v \text{ is active}$ 
  - $\Phi$  is 0 in the beginning and in the end
- A saturating push increases  $\Phi$  by  $\leq 2n-1$ 
  - All saturating pushes worth  $O(mn^2)$
- All relabelings increase  $\Phi$  by  $\leq (2n-1)(n-2)$
- Each non saturating push decreases  $\Phi$  by at least 1
- There are up to  $O(mn^2)$  non saturating pushes

## Complexity analysis

- Any reasonable sequential implementation will provide us a polynomial algorithm
  - How much a relabel operation cost?
  - How much a push operation cost?
  - How much cost to hold the active vertices?
- How will we improve this?

# Implementation

- For an edge in  $\{e = (u,v) \mid (u,v) \in E \text{ or } (v,u) \in E\}$  hold a struct of 3 values:
  - $c(u,v)$  &  $c(v,u)$
  - $f(u,v)$
- For a vertex  $v \in V$  we hold a list of all incident edges in some fixed order
  - Each edge appears in two lists.
- We also hold an “current edge” pointer for each vertex

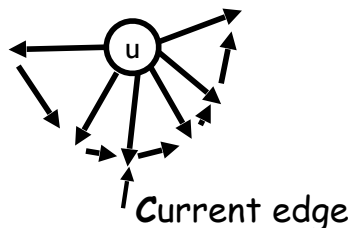
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## Implementation – contd.

Admissible arc in the residual graph

$$d(u) = d(v) + 1$$



- Push/relabel operation:
  - If the current edge is admissible perform push on the current edge and return
  - If the current edge is the last one, relabel the node and set the current edge to the first one in the list
  - Otherwise, just advance the current edge to the next one in line

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## Is this correct?

- When we relabel a node we'll have no admissible edges:
  - Any of the other edges  $(u,v)$  wasn't admissible before and  $d(v)$  can only grow
  - If it had  $r(u,v) = 0$  before and now it is positive we had  $d(u) = d(v) + 1$ , and so  $d(v) < d(u)$
- Hold a list of all active nodes –  $O(1)$  extra cost per push/relabel operation

## And it costs

- Number of relabelings –  $2n-1$  per vertex
- Each relabeling causes a pass over all the edges of the vertex –  $m$  for all the vertices
- Besides that we have  $o(1)$  per push performed (recall  $O(mn^2)$  non saturating pushes).
- Total –  $O(mn + mn^2) = O(n^2m)$

## Use FIFO ordering

- $\text{discharge}(v) = \text{perform push/relabel}(v)$  until  $e(v) = 0$  or the vertex is relabeled
- Hold two queues – one is the active, the other is for the next iteration
- Iteration:
  - While the active queue is not empty
    - Discharge the vertex in the front
  - Any vertex that becomes active is inserted to the other queue

## Use FIFO ordering - complexity

- $\Phi = \max d(v) \mid v \text{ is active}$ 
  - $\Phi$  is 0 in the beginning and in the end
- A relabel during an iteration can increase  $\Phi$  by the delta of the relabel or keep  $\Phi$ .
- No relabel during an iteration will cause  $\Phi$  to decrease by at least 1.
- There are up to  $2n^2$  relabels during the run -  $2n^2$  iteration of the first kind.

## Use FIFO ordering - complexity

- As each node can add up to  $2n-1$  to  $\Phi$ ,  $\Phi$  grows by up to  $(2n-1)(n-2)$  during the entire run
- $O(2n^2)$  iteration of the second kind as well
- $2n^2 + 2n^2$  iterations  $\rightarrow O(n^2)$  iterations
- Each iteration will have up to  $1$  non saturating push per vertex
- $O(n^3)$  non saturating pushes at all
- $\rightarrow O(n^3)$  total run time

## Dynamic tree operations

- FindRoot( $v$ )
- FindSize( $v$ )
- FindValue( $v$ )
- FindMin( $v$ )
- ChangeValue( $v$ , delta)
- Link( $v,w$ ) –  $v$  becomes the child of  $w$ , must be a root before that.
- Cut( $v$ ) – cuts the link between  $v$  and its' parent

## The algorithm using dynamic trees

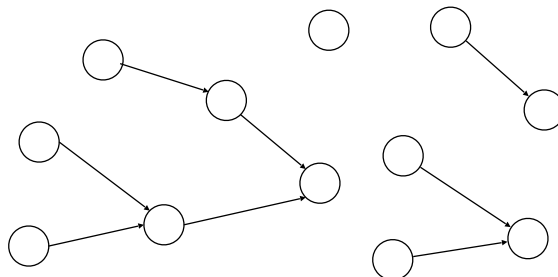
- All that said before holds, but we also add dynamic trees
- Initially every vertex is a one node dynamic tree.
- The edges  $(u,v)$  that are eligible to be in the trees are those that hold
  - $d(u) = d(v)+1$  (admissible)
  - $r(u,v) > 0$
  - $(u,v)$  is the “current edge” of the vertex  $u$

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## The algorithm using dynamic trees

- Yet, not all eligible edges are tree edges
- If an edge  $(u,v)$  is in the tree  $v = p(u)$  and  $\text{value}(v) = r(u,v)$
- For the roots of the trees  $\text{value}(v) = \infty$



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# The Send operation

- Requires:  $u$  is active
  - Action:
    - while  $\text{FindRoot}(u) \neq u \ \&\& \ e(u) > 0$
    - $\delta = \min( e(u), \text{FindValue}(\text{FindMin}(u)) )$
    - $\text{ChangeValue}(u, -\delta)$
    - while  $\text{FindValue}(\text{FindMin}(u)) == 0$ 
      - $v = \text{FindMin}(u)$
      - $\text{Cut}(v)$
      - $\text{ChangeValue}(v, \infty)$
- } Add the maximal possible flow on the path to the path to the root
- } Remove all the edges saturated by the addition

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# The Send operation – contd.

- The send operation will either cause  $e(v)$  become 0, or it will make it the root
- This implies  $v$  will not be active unless it is a root of a tree

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## And the algorithm is...

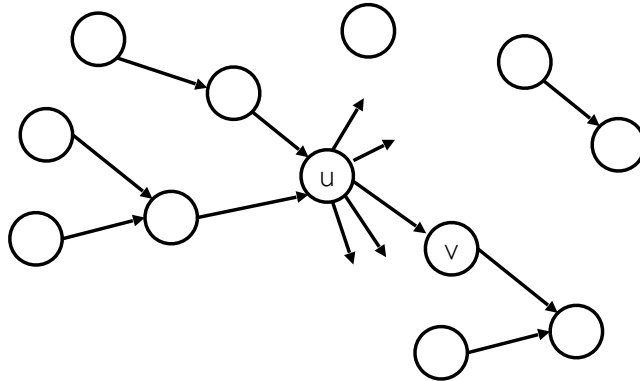
- As before – two queues etc.
- Discharge the vertex in the front
  - Use tree-Push/ Relabel instead of Push/ Relabel
- We'll set some constant –  $k$  – to be the upper limit of the size of a tree during the algorithm execution

## Tree-Push/Relabel operation

- Applied on an active vertex  $u$
- If the current edge  $(u,v)$  is admissible
  - If  $(\text{FindSize}(u) + \text{FindSize}(v) \leq k)$ 
    - Link  $(u,v)$ , Send  $(u)$
  - Else
    - Push  $(u,v)$ , Send  $(v)$
- Else
  - Advance the current edge
  - If  $(u,v)$  was the last one cut all the children of  $u$  & Relabel( $u$ )

## Tree-Push/Relabel operation – contd.

- The operation insures that all vertices with positive excess are the roots of some tree



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## Why is this correct?

- Since inside the tree the  $d$  values strictly growing no linking inside the tree can occur
- A vertex  $v$  will not have positive excess unless it is a root of a tree
  - Link operation is valid if required
- The rest is just as before

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# Complexity Tree-Push/Relabel

- Each dynamic tree operation is  $O(\log(k))$
- Each Tree-Push/Relabel operation takes
  - $O(l)$  operations
  - $O(l)$  tree operations
  - Relabeling time
  - $O(l)$  tree operations per cut performed

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# Complexity – contd.

- The total relabeling time is  $O(mn)$
- Total number of cut operations  $O(mn)$ :
  - Due to relabeling –  $O(mn)$
  - Due to saturating push –  $O(mn)$
- Total number of link operations  $<$  Number of cut operations  $+ n \rightarrow O(mn)$
- So we reach  $O(mn)$  tree operations  $+ O(l)$  tree operations per vertex entering  $Q$ .

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## How many times will a vertex become active?

- Due to increase of  $d(v) - O(n^2)$
- Due to Send operation,  $e(v)$  grows from 0
  - Any cut performed – total  $(mn)$
  - One more per send operation
    - Link case –  $O(mn)$
    - Push case - Need to split to saturating and not
  - There can be up to  $O(mn)$  such saturating pushes

## Non saturating push analysis

- For a non saturating push  $(u,v)$  either  $T_u$  or  $T_v$  must be large - contain more than  $k/2$  vertices
- For a single iteration, only 1 such push is possible per vertex
- Charge it to the link or cut creating the large tree if it did not exist at the beginning of the phase –  $O(mn)$
- Otherwise charge it to the tree itself

## Non saturating push analysis – contd.

- There are up to  $2n/k$  large trees at the beginning of the iteration
- Total of  $O(n^3/k)$  for all  $O(n^2)$  iterations
- $\rightarrow$  A vertex enters the Queue  $O(mn + n^3/k)$  times due to a non saturating push

## Total complexity

- Total of  $O(mn + n^3/k)$  tree operations with tree size of  $k$ .
- We reach total of  $O(\log(k) (mn + n^3/k))$  runtime complexity
- Choose  $k = n^2/m$
- We reach  $O(\log(n^2/m) (mn))$  runtime complexity

## Conclusion

- We've seen an algorithm that finds a max flow over a network with  $O(\log(n^2/m) (mn))$  runtime complexity
- The algorithm uses a different approach – a preflow instead of flow
- While providing same asymptotical result as Dinic, has better coefficients and therefore often used in time demanding applications

## Questions?

- Thank you for listening