

# The complexity of distributions

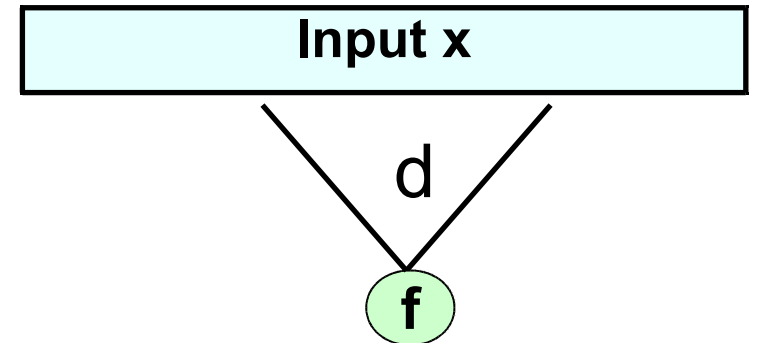
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# Local functions ( $NC^0$ )

- $f : \{0,1\}^n \rightarrow \{0,1\}$  **d-local** :  
output depends on d input bits



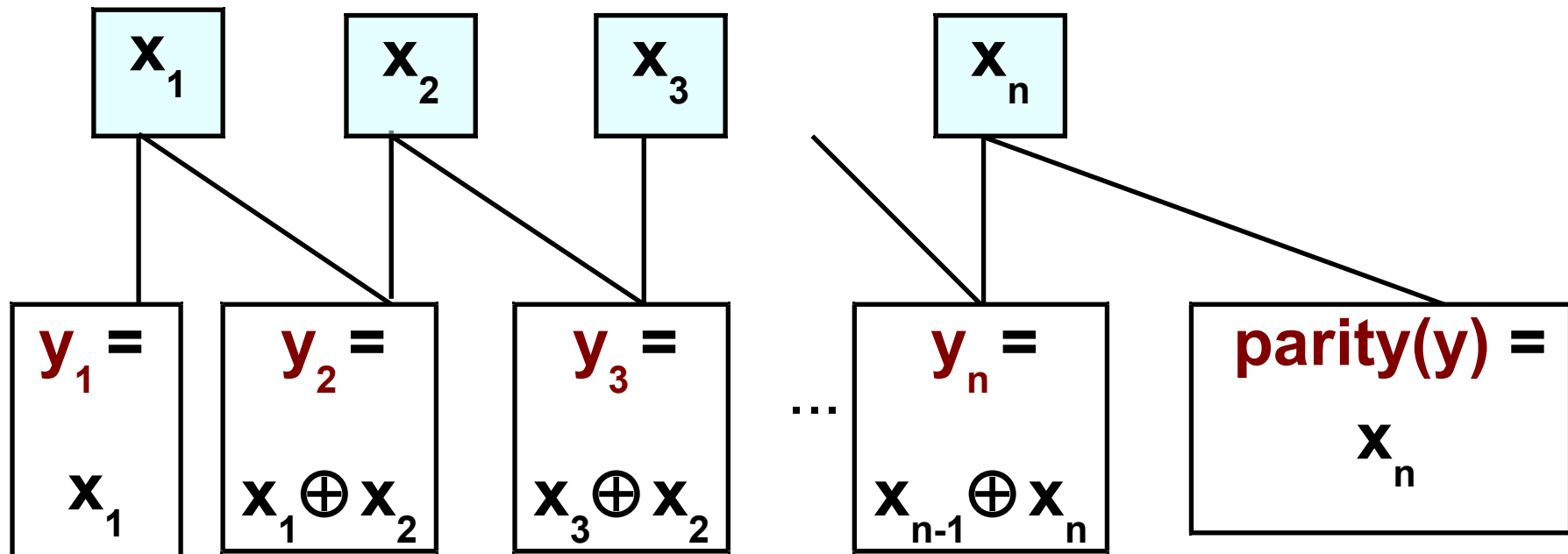
- **Fact:**  $\text{Parity}(x) = 1 \Leftrightarrow \sum x_i = 1 \pmod{2}$   
is not  $n-1$  local
- Proof: Flip any input bit  $\Rightarrow$  output flips  $\blacklozenge$

# Local generation of $(Y, \text{parity}(Y))$

- Theorem** [Babai ; Boppana Lagarias '87]

There is  $f : \{0,1\}^n \rightarrow \{0,1\}^{n+1}$ , each bit **2**-local

Distribution  $f(X) \equiv (Y, \text{parity}(Y))$  ( $X, Y \in \{0,1\}^n$  uniform)

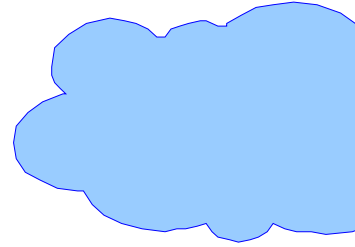
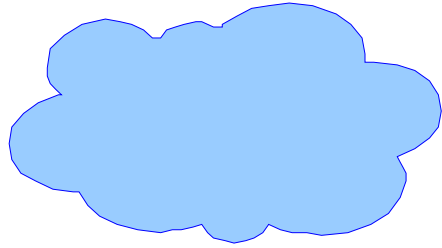


# Our message

- Complexity theory of **distributions** (as opposed to functions)

How hard is it to generate (a.k.a. sample)  
distribution **D** given random bits ?

E.g., **D** = (  $Y$ , parity( $Y$ ) ),    **D** =  $W_k :=$  uniform  $n$ -bit with  $k$  1's



- This work:

**DNF**

**AC<sup>0</sup>**



**NC<sup>0</sup>**

**local**

# Rest of talk

- Generating  $W_k :=$  uniform  $n$ -bit with  $k$  1's
  - Local ( $NC^0$ )
  - Decision tree
- Results for  $( Y, b(Y) )$
- Proof of local lower bound for  $W_{n/2}$

# Our results: local

- Theorem

$f : \{0, 1\}^n \rightarrow \{0, 1\}^n$       0.1 log n - local



$f(X)$  at Statistical Distance  $> 1 - n^{-\Omega(1)}$   
from  $W_{n/2}$  = uniform w/ weight  $n/2$

- Tight up to  $\Omega()$ :  $f(x) = x$
- Extends to  $W_k$ ,  $k \neq n/2$ , tight?

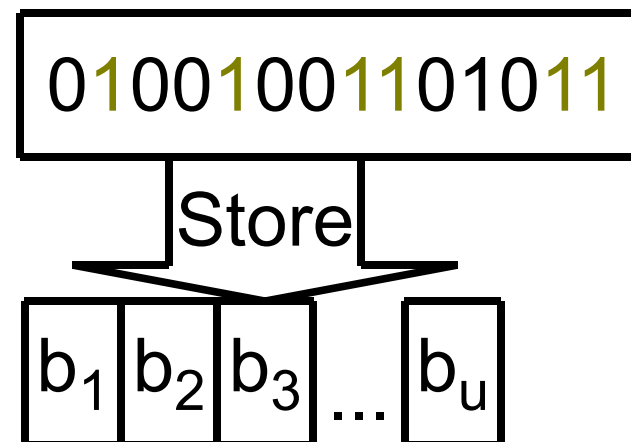
# Our results: succinct data structures

- **Problem:**

Store  $k$ -subset  $S \subseteq \{1, 2, \dots, n\}$

in  $u = \text{optimal} + r$  bits,

answer “ $i \in S?$ ” probing  $d$  bits.



- **Connection:**

Solution  $\Rightarrow$  generate  $W_{|S|=k}$   $d$ -local, Stat. Distance  $< 1 - 2^{-r}$

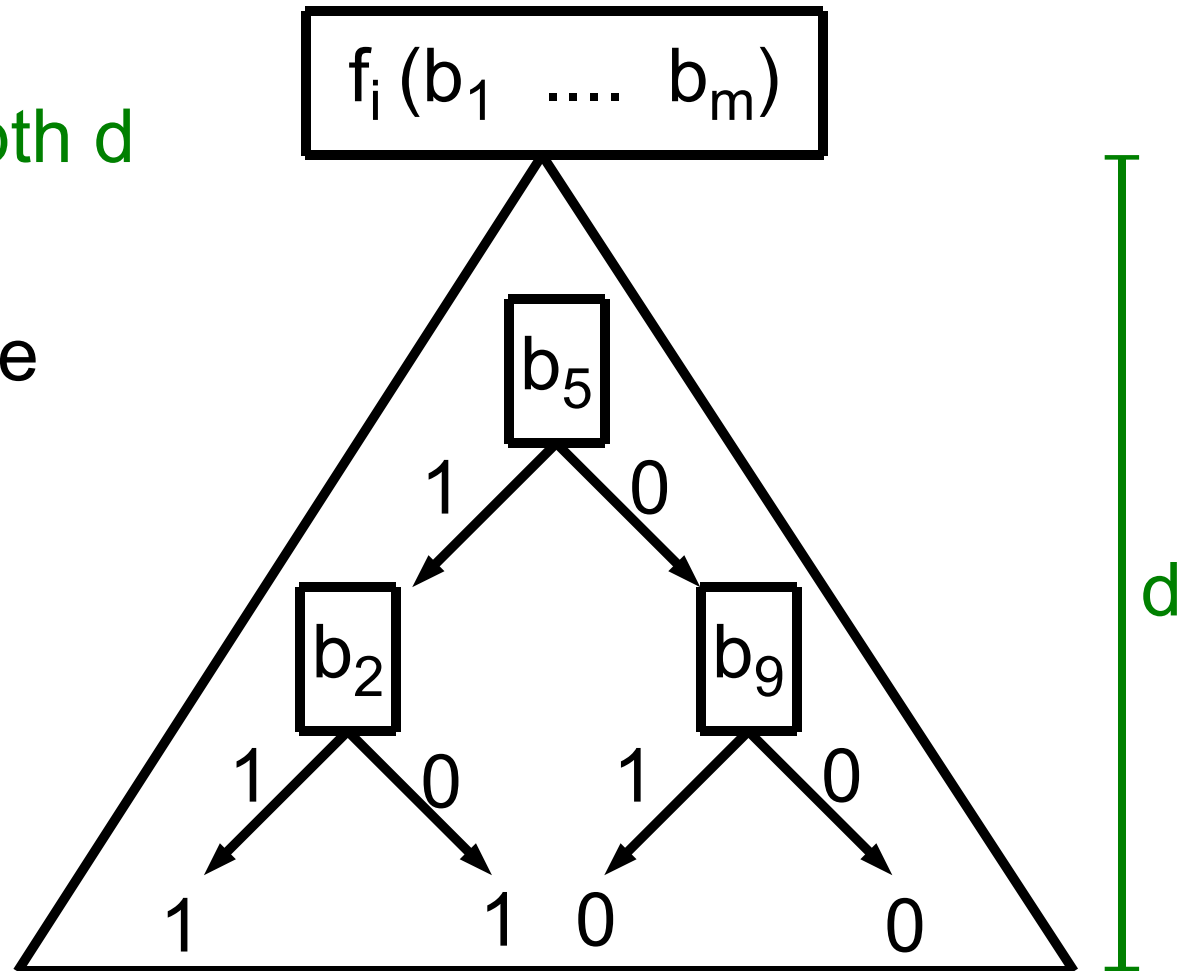
- **Corollary:** Need  $r > \Omega(\log n)$  if  $d = 0.1 \log n$

First lower bound for  $|S| = n/2, n/4, \dots$



# Decision tree model

- $f : \{0,1\}^m \rightarrow \{0,1\}^n$  depth  $d$   
each output bit  $f_i$   
is depth- $d$  decision tree



- Depth  $d \subseteq 2^d$  local

# Our results: decision trees

- **Theorem**  $f : \{0,1\}^* \rightarrow \{0,1\}^n$  depth  $< 0.1 \log n$   
 $\Rightarrow$  Distance(  $f(X)$ ,  $W_{n/2}$  )  $> 1/n$
- Worse than  $1 - n^{-\Omega(1)}$  lower bound for local
- **Fact** building on [Czumaj Kanarek Lorys Kutylowski]  
 $\exists f : \text{depth } O(\log n)$  and Distance( $f(X)$ ,  $W_{n/2}$  )  $< 1/n$

# Rest of talk

- Generating  $W_k :=$  uniform  $n$ -bit with  $k$  1's
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# Our results for $(Y, b(Y))$

- **Theorem:**  $f : \{0,1\}^n \rightarrow \{0,1\}^{n+1}$   
 $0.1 \log n$ -**local**  $\Rightarrow$  Distance  $(f(X), (Y, Y \bmod p > p/2)) > 0.49$   
 $0.1 \log n$ -**depth**  $\Rightarrow$  Distance  $(f(X), (Y, \text{majority } Y)) > 1/n$
- **Theorem** building on [Matias Vishkin, Hagerup]  
 $\exists$   $f$  bounded-depth circuit  $AC^0$  :  
Distance  $(f(X), (Y, \text{majority } Y)) < 2^{-n}$
- **Challenge:** explicit boolean  $b : AC^0$  can't generate  $(Y, b(Y))$

# Rest of talk

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# Local lower bound

- **Theorem:** Let  $f : \{0,1\}^n \rightarrow \{0,1\}^n$  :  $d = 0.1 \log n$ -local.

$$\Rightarrow \exists T \subseteq \{0,1\}^n : \left| \Pr[f(x) \in T] - \Pr[W_{n/2} \in T] \right| > 1 - n^{-\Omega(1)}$$

- **Warm-up** scenarios:

- $f(x) = 000111$  **Low-entropy**  $T := \{000111\}$

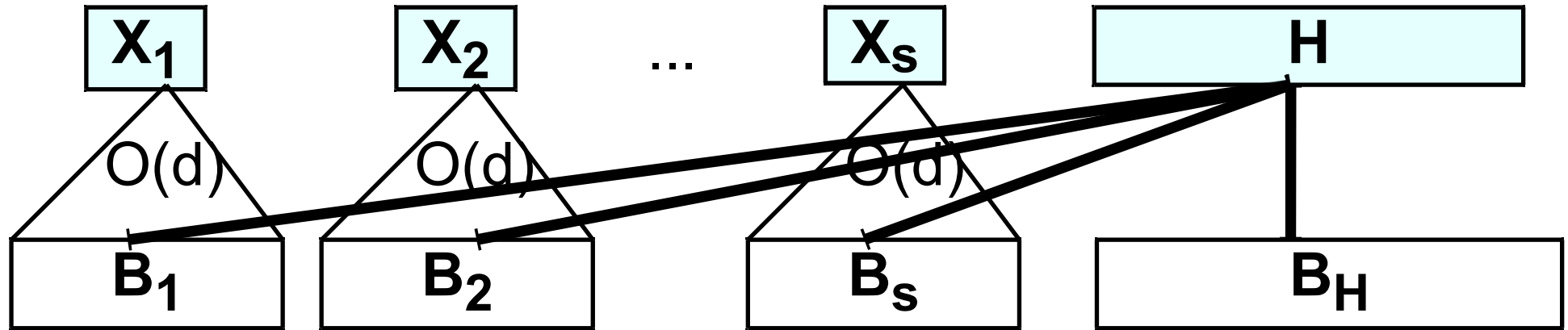
$$\left| \Pr[f(x) \in T] - \Pr[W_{n/2} \in T] \right| = \left| 1 - |T| / \binom{n}{n/2} \right|$$

- $f(x) = x$  **“Anti-concentration”**  $T := \{z : \sum_i z_i \neq n/2\}$

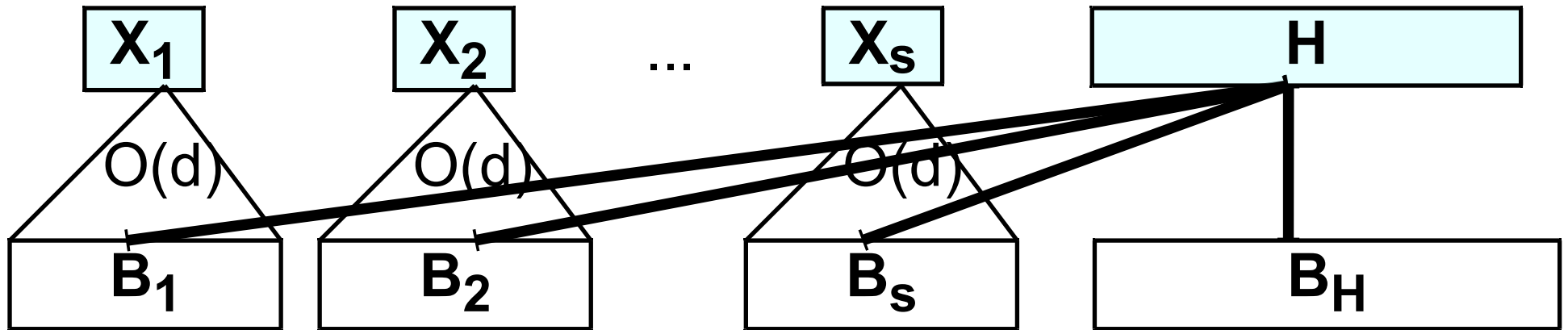
$$\left| \Pr[f(x) \in T] - \Pr[W_{n/2} \in T] \right| = \left| 1 - \Theta(1)/\sqrt{n} - 0 \right|$$

# Proof

- Input  $X = (X_1, X_2, \dots, X_s, H)$



- Fix  $H$ . Output block  $B_i$  depends only on bit  $X_i$
  - Many  $B_i$  constant (  $B_i(0,H) = B_i(1,H)$  )  $\Rightarrow$  **low-entropy**
  - Many  $B_i$  depend on  $X_i$  (  $B_i(0,H) \neq B_i(1,H)$  )
- Idea: Independent  $\Rightarrow$  anti-concentration: sum  $\neq n/2$  w.h.p.**



- If many  $\text{weight}(B_i(0,H)) \neq \text{weight}(B_i(1,H))$ , use

**Anti-concentration Lemma** [ Littlewood Offord ]

For  $a_1, a_2, \dots, a_s \neq 0$ , any  $c$ ,  $\Pr_{X \in \{0,1\}^s} [\sum_i a_i X_i = c] < 1/\sqrt{n}$

- **Problem:**  $B_i(0,H) = 100$ ,  $B_i(1,H) = 010$   
high entropy but no anti-concentration
- **Fix:** want many blocks  $000$  : high entropy  $\Rightarrow$  different weight



# Conclusion

- Complexity of distributions = uncharted territory
- Lower bounds for  $W_k$  := uniform n-bit with k 1's
  - Local  $\Rightarrow$  lower bound for storing sets efficiently
  - Decision tree
- Lower bounds for  $(Y, b(Y))$ , e.g.  $(Y, \text{majority } Y)$

# Rest of talk

- Generating  $W_k :=$  uniform  $n$ -bit with  $k$  1's
  - Local ( $NC^0$ )
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# Our results: decision trees

- **Theorem**  $f : \{0,1\}^* \rightarrow \{0,1\}^n$  depth  $< 0.1 \log n$   
 $\Rightarrow$  Distance(  $f(X)$ ,  $W_{n/2}$  )  $> 1/n$

- **Proof:** Is  $f(X)$  4-wise independent?

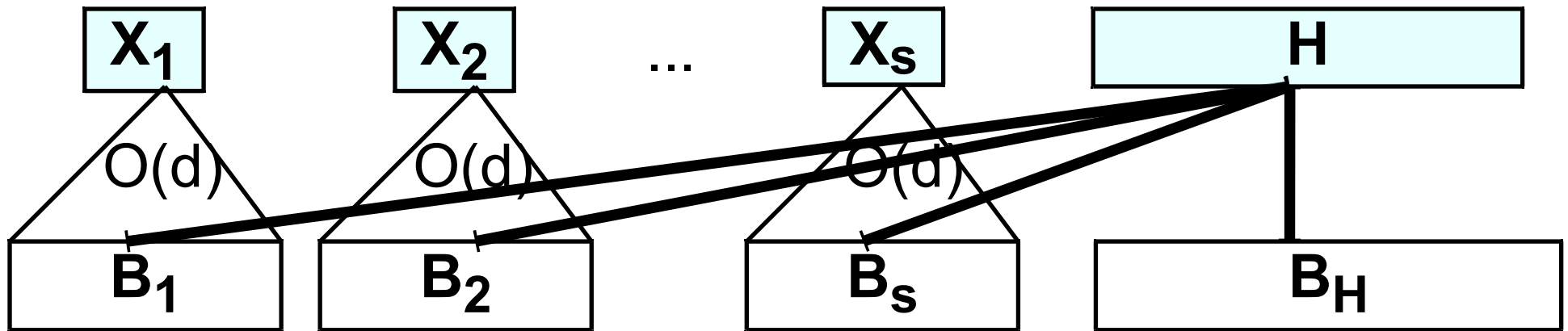
YES: [Paley Zygmund]  $\sum f(x)_i$  anti-concentrated,  $\neq n/2$  w.h.p.

NO: Let  $Q :=$  biased 4 bits of  $f(X)$

Distance (  $f(X) |_Q$ ,  $W_{n/2} |_Q \approx$  uniform )  $> 2^{-4}$  (  $0.1 \log n$  )

by granularity of decision-tree probability





- Test  $T \subseteq \{0, 1\}^n$  :  $\Pr[f(X_1, \dots, X_s, H) \in T] \approx 1$  ;  $\Pr[W_{n/2} \in T] \approx 0$

$z \in T \Leftrightarrow$

$\exists H : \exists X_1, \dots, X_s$  w/ many blocks  $B_i$  fixed :  $f(X_1, \dots, X_s, H) = z$

OR

Few blocks  $z|_{B_i}$  are 000

OR

$\sum_i z_i \neq n/2$

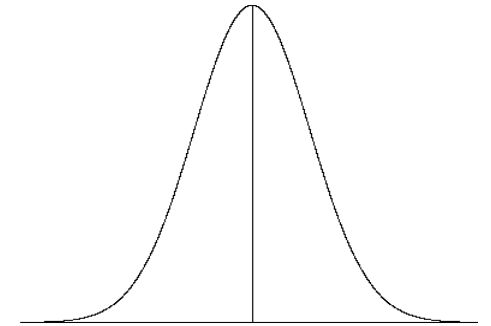
# Rest of this talk

- Connection with succinct data structures
- Lower bound for locally generating  $W_{n/2} =$  n-bit with n/2 1's
- Decision tree model
- Bounded-depth circuit model

# Tool for lower bound proof

- Central limit theorem:

$$x_1, x_2, \dots, x_n \text{ independent} \Rightarrow \sum x_i \approx \text{normal}$$



- Bounded-independence central limit theorem

[Diakonikolas Gopalan Jaiswal Servedio V.]

$$x_1, x_2, \dots, x_n \text{ k-wise independent} \Rightarrow \sum x_i \approx \text{normal}$$

- Note: For next result, Paley–Zygmund inequality enough

# Proof

- **Theorem[V.]**  $f : \{0,1\}^* \rightarrow \{0,1\}^n$  : each bit depth  $< 0.1 \log n$

$$\text{Distance}(f(X), W_{n/2}) > n^{-\Omega(1)}$$

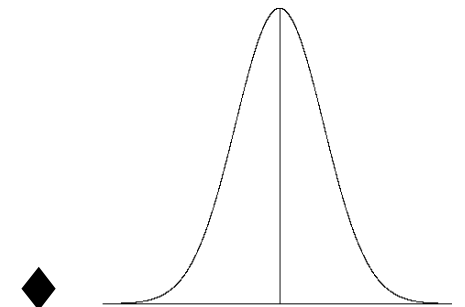
- **Proof:** Is output distribution  $f(X)$  ( $k = 10$ )-wise independent?

**NO**  $\Rightarrow W_{n/2} \approx k$ -wise independent

Distance(those  $k$  bits, uniform on  $\{0,1\}^k$ )  $> 2^{-k(0.1 \log n)}$   
(granularity of decision tree probability)

**YES**  $\Rightarrow$  by prev. theorem  $\sum f(X)_i \approx$  normal

so often  $\sum f(X)_i \neq n/2$



# Rest of this talk

- Connection with succinct data structures
- Lower bound for locally generating  $W_{n/2} =$  n-bit with n/2 1's
- Decision tree model
- Bounded-depth circuit model



# Lower bound for codes

- **Code**  $C \subseteq \{0,1\}^n$  of size  $|C| = 2^k = \Omega(n)$   
 $x \neq y \in C \Rightarrow x, y$  **far** : hamming distance  $\Omega(n)$
- **Theorem** [Lovett V.]  $f : \{0,1\}^* \rightarrow \{0,1\}^n$ ,  $f \in AC^0$   
Distance( $f(X)$ , uniform over  $C$ )  $> 1 - n^{-\Omega(1)}$
- Consequences for data structures for codewords,  
complexity of pseudorand. generators against  $AC^0$  [Nisan]

# Warm-up

- **Fact:**  $f : \{0,1\}^k \rightarrow \{0,1\}^n$ ,  $f \in AC^0$   
f cannot **compute encoding** function of C,  
mapping message  $m \in \{0,1\}^k$  to codeword
- **Proof:**
- [Linial Mansour Nisan, Boppana] **low sensitivity of  $AC^0$ :**  
m, m' random at hamming distance 1  
 $\Rightarrow f(m), f(m')$  **close** in hamming distance.
- But  $f(m) \neq f(m') \in C \Rightarrow$  **far** in hamming distance   ◆

# Lower bound for codes

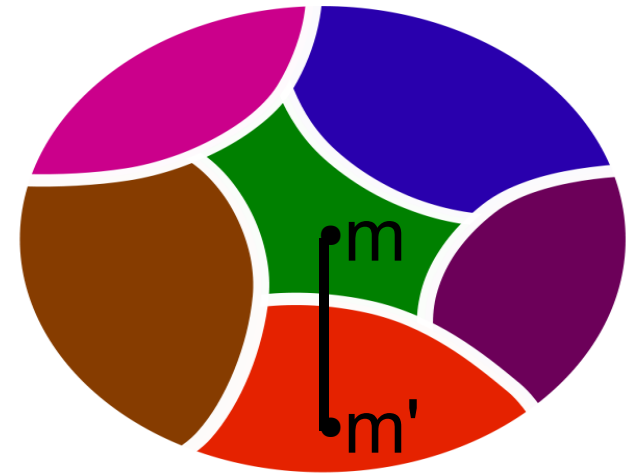
- **Theorem** [Lovett V.]  $f : \{0,1\}^L \gg k \rightarrow \{0,1\}^n$ ,  $f \in AC^0$

Distance( $f(X)$ , uniform over  $C$ )  $> 1 - n^{-\Omega(1)}$

**Problem:**  $f$  needs not compute encoding function.

Input length  $\gg$  message length

- **Idea:** Input  $\{0,1\}^L$  to  $f$  partitioned in  $|C|$  sets



- **Isoperimetric inequality** [Harper, Hart]:

Random  $m, m'$  at distance 1 often in  $\neq$  sets  $\Rightarrow$  low sensitivity

# Lower bound for codes

- **Theorem** [Lovett V.]  $f : \{0,1\}^L \gg k \rightarrow \{0,1\}^n$ ,  $f \in AC^0$

Distance( $f(X)$ , uniform over  $C$ )  $> 1 - n^{-\Omega(1)}$

- **Note:** to get

Need isoperimetric inequality for  $m, m'$  at distance  $\gg 1$

**Fact**[thanks to Samorodnitsky]  $\forall A \subseteq \{0,1\}^L$  of density  $\alpha$   
random  $m, m'$  obtained flipping bits w/ probability  $p$  :

$$\alpha^2 \leq \Pr[\text{both } m \in A \text{ and } m' \in A] \leq \alpha^{1/(1-p)}$$

- $\Sigma \Pi \sqrt{\cup} \neq \cup \supseteq \supset \subset \subseteq \in \Downarrow \Rightarrow \Uparrow \Leftarrow \Leftrightarrow \vee \wedge \geq \leq \forall \exists \Omega \alpha \beta \epsilon \gamma \delta \rightarrow$
- $\neq \approx \top \Theta \Omega \theta$
- 
- Recall: edit style changes ALL settings.
- Click on “line” for just the one you highlight

# More connections

- More uses of generating  $W_k :=$  uniform  $n$ -bit string with  $k$  1's
- McEliece cryptosystem
- Switching networks, ...

# Previous results

- Store  $S \subseteq \{1, 2, \dots, n\}$ ,  $|S| = k$ , in bits, answer “ $i \in S$ ?”
- [Minsky Papert '69] Average-case study
- [Buhrman Miltersen Radhakrishnan Venkatesh; Pagh '00]  
Space  $O(\text{optimal})$ , probe  $O(1)$  when  $k = \Theta(n)$   
Lower bounds for  $k < n^{1-\epsilon}$
- [..., Pagh, Pătraşcu] space = optimal +  $o(n)$ , probe  $O(\log n)$
- [V. '09] lower bounds for  $k = \Omega(n)$ , **except**  $k = n / 2^a$

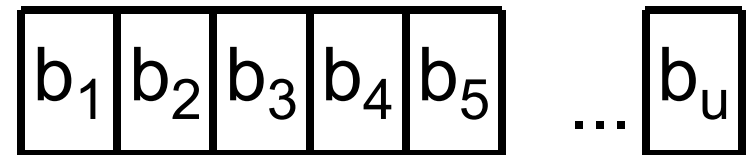
# Succinct data structures for sets

- Store  $S \subseteq \{1, 2, \dots, n\}$  of size  $|S| = k$



Store

In  $u$  bits  $b_1, \dots, b_u \in \{0,1\}$



- Want:

Small space  $u$  (optimal =  $\lceil \lg_2 \binom{n}{k} \rceil$ )

Answer “ $i \in S$ ?” by probing few bits (optimal = 1)

- In combinatorics: Nešetřil Pultr, ..., Körner Monti



# Previous results

- Store  $S \subseteq \{1, 2, \dots, n\}$ ,  $|S| = k$ , in bits, answer “ $i \in S?$ ”
- [Minsky Papert '69, Buhrman Miltersen Radhakrishnan Venkatesh; Pagh; ...; Pătrașcu; V. '09]
- Surprising upper bounds  
space = optimal +  $o(n)$ , probe  $O(\log n)$
- No lower bounds for  $k = n / 2^a$

# Rest of this talk

- Local ( $NC^0$ )

Lower bound for  $W_{n/2}$  = n-bit with n/2 1's

Succinct data structures

- Decision tree

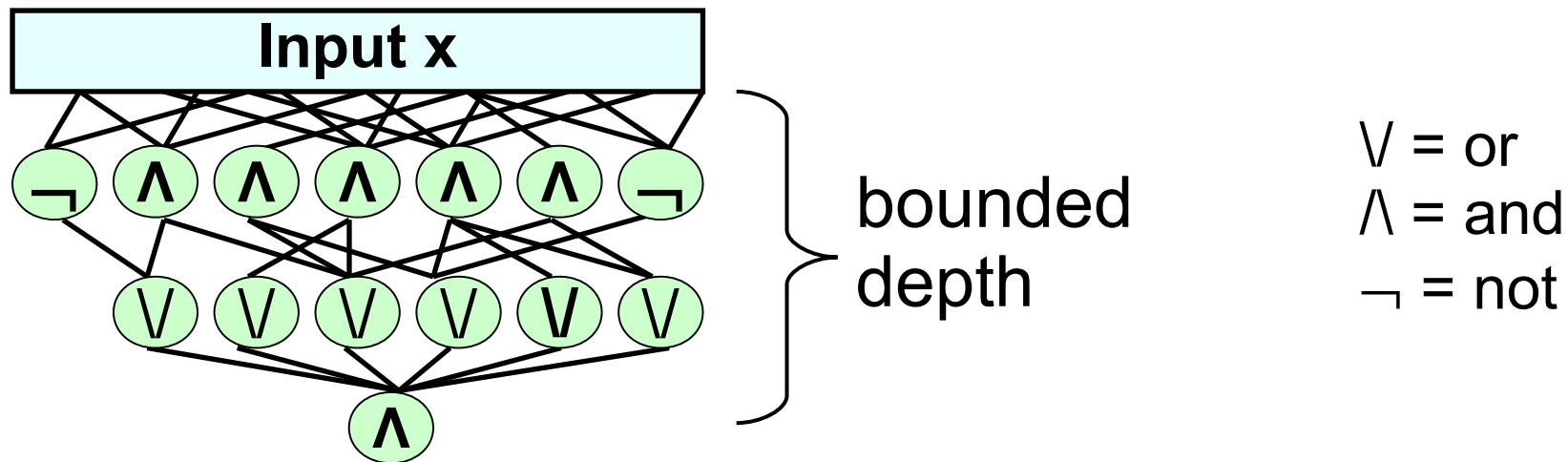
Lower bound for  $W_{n/2}$

- Bounded-depth circuit ( $AC^0$ )

- Proof of local lower bound

# Bounded-depth circuits ( $AC^0$ )

- $O(\log n)$ -local  $\subseteq$  depth  $O(\log n) \subseteq AC^0$



- Theorem** [Matias Vishkin, Hagerup, [this work](#)]  
Can generate  $W_k$ , exp. small error
- Theorem** [Lovett V.] **Cannot** generate error-correcting **code**
- Challenge:**  $\exists$  explicit boolean  $f$  : cannot generate  $(Y, f(Y))$  ?

# Our results: pseudorandomness for $AC^0$

- Pseudorandom distribution against circuit of depth  $d$   
(want: reduce randomness w/ minimum overhead)
- **Direct implementation** of Nisan's generator: depth  $\geq d$   
circuit + generator  $\rightarrow$  depth  $2d$
- **Generator in depth 2**      circuit + generator  $\rightarrow$  depth  $d+1$   
[Braverman] + [Guruswami Umans Vadhan]