

## Sample Solution to Problem Set 5

### 1. Weighted activity selection

Consider a weighted version of the activity selection problem, in which each activity has a *weight*, in addition to the start and finish times. (For example, the weight may signify the importance of the activity.) The goal is to select a maximum-weight set of mutually compatible activities, where the weight of a set of activities is the sum of the weights of the activities in the set.

- (a) **(5 points)** Consider a greedy algorithm that repeatedly performs the following step until no more activities can be selected: select an activity that has the maximum ratio of weight over length among all activities that do not overlap with the activities selected thus far.

Give a counterexample to show that the above greedy algorithm will not yield an optimal solution for the weighed activity selection problem.

**Answer:** Consider the example with just two overlapping activities: one with weight 2 and length 3, and another with weight 1 and length 1. The above greedy algorithm will pick the activity with weight 1, while optimal is to pick the activity with weight 2.

- (b) **(15 points)** Use dynamic programming to solve the weighted activity selection problem. Analyze the running time of your algorithm.

**Answer:** We first sort all the activities according to their finish times. If two activities have the same finish times, we break ties arbitrarily. Let the sorted set of activities be  $S$  and the activities in  $S$  be numbered 1 through  $n$  in the sorted order. Thus, if  $i > j$ , we have  $f_i \geq f_j$ .

For any  $i$  in 1 through  $n$ , we now give a recurrence relation for  $S[i]$ , a maximum-weight set of mutually compatible activities with  $i$  as the last activity to finish.

$$S[i] = \max \{ \{i\}, \max \{ S[j] \cup \{i\} : j < i \text{ and } i \text{ is compatible with } j \} \},$$

where the maximum is taken over the total weight of the sets.

We prove the correctness of the above recurrence relation by induction on  $i$ . For  $i$  equal to 1, the proof is trivial. Consider  $i > 1$ . Let  $S^*$  be any set of mutually compatible activities with  $i$  as the last activity to finish. Let  $j$  be the activity with the largest finish time in  $S^* \setminus \{i\}$ . (If no such  $j$  exists, then  $S^* = \{i\}$  and has weight at most the weight of  $S[i]$  since  $S[i]$  includes  $i$ .) Since  $i$  is the last activity to finish in  $S^*$ , we have  $j < i$ . Therefore, by the induction hypothesis, the weight of  $S^* \setminus \{i\}$  is at most the weight of  $S[j]$ . Since  $j$  is compatible with  $i$ , the recurrence relation guarantees that the weight of  $S[i]$  is at least the weight of  $S[j]$  plus the weight of  $i$ , which is at least the weight of  $S^*$ . Therefore,  $S[i]$  is a maximum-weight set of mutually compatible activities with  $i$  as the last activity to finish.

So the algorithm computes  $S[i]$  for all  $i$  going from 1 to  $n$ . We then return the maximum-weight set among these  $n$  sets. The running time of the algorithm is  $O(n^2)$  since the calculation of  $S[i]$  takes  $O(i)$  time ( $i$  compatibility checks and then finding the maximum over a set of  $i$  elements).

## 2. (15 points) Edit distance

Part (a) of Problem 15–3, pages 364–366.

**Answer:** Given strings  $x[1..m]$  and  $y[1..n]$ , we define two matrices  $C[0..m, 0..n]$  and  $O[0..m, 0..n]$ . We first consider all the operations but the *kill* operation. The entry  $C[i, j]$  is the optimal cost of transforming  $x[1..i]$  to  $y[1..j]$ , while  $O[i, j]$  is the last operation performed in an optimal transformation of  $x[1..i]$  to  $y[1..j]$ . (If  $i$  equals 0, then  $x[1..i]$  is the empty string.) We can now compute  $C[i, j]$  and  $O[i, j]$  using the following procedure, which we refer to as  $\text{COMPUTE}(i, j)$ .

1. If  $i = 0$  and  $j = 0$ , then set  $C[i, j]$  to 0 and return  $C[i, j]$ .
2. Initialize  $C[i, j]$  to  $\infty$ .
3. *copy*: If  $i > 0$  and  $j > 0$  then if  $x[i] = y[j]$  and  $C[i - 1, j - 1] + \text{cost}(\text{copy}) < C[i, j]$ , then set  $C[i, j]$  to  $C[i - 1, j - 1] + \text{cost}(\text{copy})$  and  $O[i, j]$  to *copy*.
4. *insert*: If  $j > 0$  then if  $C[i, j - 1] + \text{cost}(\text{insert}) < C[i, j]$ , then set  $C[i, j]$  to  $C[i, j - 1] + \text{cost}(\text{insert})$  and  $O[i, j]$  to *insert*.
5. *delete*: If  $i > 0$ , then if  $C[i - 1, j] + \text{cost}(\text{delete}) < C[i, j]$ , then set  $C[i, j]$  to  $C[i - 1, j] + \text{cost}(\text{delete})$  and  $O[i, j]$  to *delete*.
6. *replace*: If  $i > 0$  and  $j > 0$ , then if  $C[i - 1, j - 1] + \text{cost}(\text{replace}) < C[i, j]$ , then set  $C[i, j]$  to  $C[i - 1, j - 1] + \text{cost}(\text{replace})$  and  $O[i, j]$  to *replace*.
7. *twiddle*: If  $i > 1$  and  $j > 1$ , then if  $x[i] = y[j - 1]$ ,  $x[i - 1] = y[j]$ , and  $C[i - 1, j - 1] + \text{cost}(\text{copy}) < C[i, j]$ , then set  $C[i, j]$  to  $C[i - 2, j - 2] + \text{cost}(\text{twiddle})$  and  $O[i, j]$  to *twiddle*.
8. Return  $C[i, j]$ .

We now prove the correctness of our computation above. The proof is by induction on the ordered pair  $(i, j)$ . For the induction basis, we note that  $C[0, 0]$  is indeed zero. For the induction step, we first make the hypothesis that we have correctly computed  $C[i', j']$  for all  $(i', j')$  such that either  $i' \leq i - 1$  or  $j' \leq j - 1$ . Consider the computation of  $C[i, j]$ . Let  $C^*$  be the optimum cost for transforming  $x[1..i]$  to  $y[1..j]$  without using the *kill* operation, and let  $op$  be the last operation in this optimal transformation. We prove that  $C[i, j]$  equals  $C^*$  by considering different cases depending on the operation  $op$ . Here we only prove for the cases  $op = \text{copy}$  and  $op = \text{twiddle}$ . Similar proofs can be given for all other operations save *kill*.

If  $op$  is *copy*, then the optimal solution would have first transformed  $x[1..i - 1]$  to  $y[1..j - 1]$  and then performed the *copy* operation. Therefore, we have  $x[i] = y[j]$  and  $C^* \geq C[i - 1, j - 1] + \text{cost}(\text{copy})$  since the induction hypothesis says that  $C[i - 1, j - 1]$  is the optimal cost of transforming  $x[1..i - 1]$  to  $y[1..j - 1]$ . In the computation of  $C[i, j]$ , step 3 guarantees that  $C[i, j] \leq C[i - 1, j - 1] + \text{cost}(\text{copy}) \leq C^*$ . Thus,  $C[i, j]$  is indeed the cost of an optimal transformation from  $x[1..i]$  to  $y[1..j]$ .

If  $op$  is *twiddle*, then the optimal solution would have first transformed  $x[1..i - 2]$  to  $y[1..j - 2]$  and then performed the *twiddle* operation. Therefore, we have  $x[i] = y[j - 1]$ ,  $x[i - 1] = y[j]$  and  $C^* \geq C[i - 2, j - 2] + \text{cost}(\text{twiddle})$  since the induction hypothesis says that  $C[i - 2, j - 2]$  is the optimal cost of transforming  $x[1..i - 2]$  to  $y[1..j - 2]$ . In the computation of  $C[i, j]$ , step 7 guarantees

that  $C[i, j] \leq C[i - 2, j - 2] + \text{cost}(\text{twiddle}) \leq C^*$ . Thus,  $C[i, j]$  is indeed the cost of an optimal transformation from  $x[1..i]$  to  $y[1..j]$ .

So our algorithm for computing the optimal costs for transformations not using the *kill* operation is the following:

1. **for**  $i \leftarrow 0$  to  $m$
2.     **for**  $j \leftarrow 0$  to  $n$
3.         COMPUTE( $i, j$ )

After running the above algorithm, we consider the *kill* operation by running the following algorithm:

- for  $i$  from 1 to  $n$ , if  $C[i, n] + \text{cost}(\text{kill}) < C[m, n]$ , then set  $C[m, n]$  to  $C[i, n] + \text{cost}(\text{kill})$  and  $O[m, n]$  to “*kill* from  $i$  onwards”.

To prove the optimality of  $C[m, n]$ , we note that an optimal transformation of  $x[1..m]$  to  $y[1..n]$  that ends with a “*kill* from  $i$  onwards” consists of an optimal transformation of  $x[1..i]$  to  $y[1..n]$  followed by the “*kill* from  $i$  onwards” operation. Since we consider all possible values of  $i$  in the above algorithm and pick the best one, the optimality of  $C[m, n]$  holds.

In order to print the optimal transformation, we retrace the computation using the operation matrix  $O$  that we have computed. We run PRINT( $m, n$ ) using the following recursive algorithm:

```
PRINT(i, j)
1. if  $i = 0$  and  $j = 0$  then return
2. if  $O[i, j]$  is copy or replace then PRINT( $i - 1, j - 1$ )
3. if  $O[i, j]$  is insert then PRINT( $i, j - 1$ )
4. if  $O[i, j]$  is delete then PRINT( $i - 1, j$ )
5. if  $O[i, j]$  is twiddle then PRINT( $i - 2, j - 2$ )
5. if  $O[i, j]$  is “kill at  $k$ ” then PRINT( $k, j$ )
6. print  $O[i, j]$ 
```

### 3. (10 points) Depth-first search

Exercise 22.3-2, page 547.

**Answer:** See figure at the end of the document.

### 4. (10 points) Connected components

Exercise 22.3-11, page 549.

**Answer:** In depth-first search, we start a new component whenever we call DFS-VISIT from within DFS. Refer to the pseudocode on page 541 of the textbook. So we can maintain a component counter  $c$  in the DFS subroutine, initialized to 0. Just before calling DFS-VISIT, we increment  $c$  and pass it to DFS-VISIT (by calling DFS-VISIT( $u, c$ ) at line 7). In DFS-VISIT( $u, c$ ), whenever we color a node  $u$  GRAY, we will set  $cc[u]$  to be  $c$ .

The value of  $c$  at termination of  $\text{DFS}(G)$  yields the number of connected components in  $G$ .

## 5. (10 points) Topological sort

Exercise 22.4-5, page 552.

**Answer:** For each vertex  $v$ , we maintain a field  $\text{in}(v)$  that represents the current in-degree of the vertex. We also maintain a set  $Z$  of vertices that have in-degree 0 and have not been removed from the graph.

The initialization phase is the following. We set  $\text{in}(v)$  to be the in-degree of  $v$  in the original graph  $G$ . The set  $Z$  is a linked list of vertices in  $V$  that have zero in-degree. All of these steps can be done in  $\Theta(V + E)$  time.

In the iterative routine, we repeatedly remove a vertex  $u$  from  $Z$ . For every edge  $(u, v)$  coming out of  $u$ , we decrement  $\text{in}(v)$  by 1. If in this process, any  $\text{in}(v)$  becomes zero, we add the vertex to  $Z$ . We repeat this process until  $Z$  is empty, which is when the procedure terminates.

If  $G$  has cycles, then  $Z$  may become empty, yet all of the vertices will not be output. This is because we may reach a state in which there are vertices in the graph and none of them have a zero in-degree. In this situation, the algorithm will terminate without outputting all of the vertices. An example of this case is when  $G$  is a directed ring.

## 6. (10 points) Minimum spanning tree

Let  $G$  be an undirected weighted graph. Show the weight of the maximum-weight edge of any MST of  $G$  is minimum among all spanning trees of  $G$ .

(*Hint:* Consider two trees  $T_1$  and  $T_2$ , where  $T_1$  is an MST. If the maximum-weight edge of  $T_1$  has higher weight than the maximum-weight edge of  $T_2$ , then argue that you can replace this edge of  $T_1$  with another edge of  $T_2$ , thus yielding a contradiction.)

**Answer:** Consider two trees  $T_1$  and  $T_2$ , where  $T_1$  is an MST. Let  $e$  be a maximum-weight edge in  $T_1$ . We now show that there is at least one edge in  $T_2$  that has weight at least  $w(e)$ . It then follows that the largest edge weight of  $T_1$  is at most the largest edge weight among all spanning trees.

The edge  $e$  partitions  $T_1$  into two subsets of vertices, say  $S$  and  $V - S$ . Since  $T_2$  is a spanning tree of  $G$ , there is an edge  $e'$  in  $T_2$  that crosses the cut  $(S, V - S)$ . We now show that  $w(e) \leq w(e')$ . The proof is by contradiction. If  $w(e) > w(e')$ , then  $T_3 = T_1 - e + e'$  is a spanning of lesser weight than  $T_1$ , contradicting the fact that  $T_1$  is an MST. Thus  $w(e) \leq w(e')$ , hence completing the proof.

22.3-2

