CSG111 March 13-14, 2007 Dr. Wand Readings: EOPL3, Chapter 5

Lecture 6: Types

Key Concepts:

type analysis
type error
sound analysis, conservative analysis
value v is of type t, value v has type texpression e is assigned type ttype checking
type environment
type inference
type variable
type equation
substitution
unification
no-occurrence invariant
occurrence check

6.1 Overview

Goal: analyze a program to predict whether evaluation of a program is *safe*, that is whether the evaluation will proceed without certain kinds of errors.

For us: an evaluation is *safe* iff:

- 1. for every evaluation of a variable *var*, the variable is bound.
- 2. for every evaluation of a difference expression (diff-exp e_1 e_2), the values of e_1 and e_2 are both num-vals.
- 3. For every evaluation of an expression of the form (zero?-exp e_1), the value of e_1 is a num-val.
- 4. For every evaluation of a conditional expression (if-exp e_1 e_2 e_3), the value of e_1 is a bool-val.
- 5. for every evaluation of a procedure call (proc-call e_1 e_2), the value of e_1 is a proc-val.

We call violations of these conditions type errors.

Definition of what constitutes a type error may differ from language to language.

If we had multiple arguments, calling a procedure on the wrong number of arguments would be a type error.

A safe evaluation may:

- fail for other reasons: division by zero, taking the car of an empty list, etc. (too hard to analyze for these).
- run infinitely (too hard; undecidable in general).

Goal: write a procedure that looks at the program text and either accepts or rejects it.

- If the analysis accepts the program, then we can be sure evaluation of the program is safe. If the analysis cannot be sure that evaluation will be safe, it must reject the program. In this case, we say that the analysis is *sound* (or *conservative*).
- We'd like the analysis to accept enough programs to be useful.

Examples:

```
reject: non-boolean test
if 3 then 88 else 99
proc (x) (3 x)
                              reject: non-proc-val rator
proc (x) (x 3)
                              accept
proc (f) proc (x) (f x)
                              accept
let x = 4 in (x 3)
                              reject: non-proc-val rator
(proc (x) (x 3)
                              reject: same as preceding example
4)
                              reject: non-integer argument to a diff-exp
let x = iszero?(0)
in - (3, x)
(proc (x) - (3,x))
                              reject: same as preceding example
iszero?(0))
let f = 3
in proc (x) (f x)
                              reject: non-proc-val rator
(proc (f) proc (x) (f x) reject: same as preceding example
3)
letrec f(x) = (f - (x, -1)) accept: non-terminating but safe
in (f 1)
```

6.2 Values and their types

Since the safety conditions only talk about num-val, bool-val, and proc-val, one might think that it would be enough to keep track of these three types. But that is not enough: if all we know is that f is bound to a proc-val, then we cannot draw any conclusions whatsoever about the value of (f 1). From this argument, we learn that we need to keep track of finer information about procedures. This finer information is called the *type structure* of the language.

Our languages will have a very simple type structure. For the moment, consider the expressed values of LETREC. These values include only 1-argument procedures, but dealing with multi-argument procedures requires some additional work but does not require any new ideas.

Grammar for Types

The value of 3 has type int.

The value of -(33,22) has type int.

The value of zero? (11) has type bool.

The value of proc (x) - (x,11) has type (int -> int). When given an integer, it returns an integer.

The value of proc (x) let y = -(x,11) in -(x,y) has type (int -> int).

When given an integer, it returns an integer.

The value of proc (x) if x then 11 else 22 has type (bool -> int).

When given a boolean, it returns an integer.

The value of proc (x) if x then 11 else zero?(11) has no type in our type system.

When given a boolean it might return either an integer or a boolean, and we have no type that describes this behavior.

The value of proc (x) proc (y) if y then x else 11 has type (int -> (bool -> int)).

When given a boolean, it returns a procedure from booleans to integers.

The value of proc (f) if (f 3) then 11 else 22 has type ((int -> bool) -> int).

When given a procedure from integers to booleans, it returns an integer.

The value of proc (f) (f 3) has type ((int $\rightarrow t$) $\rightarrow t$) for any type t.

When given a procedure of type (int \rightarrow t), it returns a value of type t.

The value of proc(f) proc (x) (f (f x)) has type $((t \rightarrow t) \rightarrow (t \rightarrow t))$ for any type t.

When given a procedure of type $(t \rightarrow t)$, it returns another procedure which, when given an argument of type t, returns a value of type t.

Let's write down a definition that captures these examples. It will be defined by induction on t. (See, we are following the Design Recipe!)

Definition 1 The property of an expressed value v being of type t is defined by induction on t:

- An expressed value is of type int iff it is a num-val.
- It is of type bool iff it is a bool-val.
- It is of type $(t_1 \rightarrow t_2)$ iff it is a proc-val with the property that if it is given an argument of type t_1 , then one of the following things happen:
 - 1. it returns a value of type t_2
 - 2. it fails to terminate
 - 3. it fails with an error other than a type error.

We occasionally say "v has type t" instead of "v is of type t."

Puzzle: in this system can a value val have more than one type?

Puzzle: For the language LETREC, is it decidable whether an expression e has a value that is of type t?

6.3 Assigning a type to an expression

Requirement: Write a procedure type-of which, given an expression (call it exp) and a type environment (call it tenv) mapping each variable to a type, assigns to exp a type t with the property that:

Specification of type-of

Whenever *exp* is evaluated in an environment in which each variable has the type specified for it by *tenv*, one of the following happens:

- the resulting value has type t,
- the evaluation does not terminate, or
- the evaluation fails on an error other than a type error.

Another way of writing the permissible outcomes:

The evaluation does not cause a type error, and if it terminates, its value is of type t.

Our analysis will be based on the principle that if we can predict the types of the values of each of the subexpressions in an expression, we can predict the type of the value of the expression.

We'll use this idea to write a specification for type-of. We will write this specification as a set of inference rules, as we have done elsewhere. Assume that *tenv* is a *type environment* mapping each variable to its type. Then we should have:

Simple typing rules

```
(type-of (const-exp num) tenv) = int

(type-of (var-exp var) tenv) = tenv(var)

\frac{(\text{type-of } e_1 \text{ tenv}) = \text{int}}{(\text{type-of } (\text{zero?-exp } e_1) \text{ tenv}) = \text{bool}}
\frac{(\text{type-of } e_1 \text{ tenv}) = t_1}{(\text{type-of } body \text{ [var=}t_1\text{] tenv}) = t_2}
\frac{(\text{type-of } e_1 \text{ tenv}) = \text{bool}}{(\text{type-of } e_2 \text{ tenv}) = t}
\frac{(\text{type-of } e_2 \text{ tenv}) = t}{(\text{type-of } (\text{if-exp } e_1 \text{ } e_2 \text{ } e_3) \text{ tenv}) = t}
\frac{(\text{type-of } rator \text{ tenv}) = (t_1 \rightarrow t_2)}{(\text{type-of } rand \text{ tenv}) = t_1}
\frac{(\text{type-of } (\text{call-exp } rator \text{ rand}) \text{ tenv}) = t_2}{(\text{type-of } (\text{call-exp } rator \text{ rand}) \text{ tenv}) = t_2}
```

What about procedures?

If proc (x) e has type $(t_1 \rightarrow t_2)$, then it is intended to be called on an argument of type t_1 . When its body e is evaluated, the variable x will be bound to a value of type t_1 .

This suggests the following rule:

$$\frac{\text{(type-of }body\ [var=t_1]tenv)=t_2}{\text{(type-of (proc-exp }var\ body)\ tenv)=(t_{var}\to t_2)}$$

There's only one problem: if we are trying to compute the type of a proc expression, how are we going to find the type t_1 for the bound variable? It is nowhere to be found.

There are two standard designs for rectifying this situation:

- *Type Checking*: In this approach the programmer is required to supply the missing information about the types of bound variables, and the type-checker deduces the types of the other expressions and checks them for consistency.
- *Type Inference*: In this approach the type-checker attempts to *infer* the types for the bound variables based on how the variables are used in the program. If the language is carefully designed, the type-checker can infer all or most of the types of the bound variables.

We will study each of these in turn.

6.4 CHECKED: A Type-Checked Language

New language will be the same as LETREC, except that we require the programmer to include the types of all bound variables (except for let). For letrec-bound variables, we also require the programmer to specify the result type of the procedure as well.

The result type of double is int, but the type of double itself is (int \rightarrow int). In Java or C one might write something more like

```
proc (int x) -(x,1)

letrec
  int double(int x) = if zero?(x) ...
in ...
```

This is confusing:

- it confuses the result type of double (which is int), with the type of double (which is (int -> int).
- If the types are long, it's hard to read, as in the 3rd example above:

```
proc ((bool -> int) f) proc (int n) (f zero?(n))
```

This is not much of a factor in Java, but it's a problem in C, and we'll see even more complicated types when we get to chapter 6 (modules).

Changed productions for CHECKED

Rule for proc-exps:

$$\frac{(\text{type-of }body \ [var = t_{var}]tenv) = t_{res}}{(\text{type-of }(\text{proc-exp }var \ t_{var} \ body) \ tenv) = (t_{var} \rightarrow t_{res})}$$

What about letrec? A typical letrec looks like

letrec
$$t_{res} p (var : t_{var}) = e_{proc-body}$$
 in $e_{letrec-body}$

This expression declares a procedure named p, with formal parameter var of type t_{var} and body $e_{proc-body}$. Hence the type of p should be $t_{var} \rightarrow t_{res}$.

Each of the expressions in the letrec, $e_{proc\text{-}body}$ and $e_{letrec\text{-}body}$, must be checked in a type environment where each variable is given its correct type. We can use our scoping rules to determine what variables are in scope, and hence what types should be associated with them.

In $e_{letrec-body}$, the procedure name p is in scope. As suggested above, p is declared to have type $t_{var} \rightarrow t_{res}$. Hence $e_{letrec-body}$ should be checked in the type environment

$$tenv_{letrec-body} = [p = (t_{var} \rightarrow t_{res})]tenv$$

What about $e_{proc\text{-}body}$? In $e_{proc\text{-}body}$, the variable p is in scope, with type $t_{var} \rightarrow t_{res}$, and the variable var is in scope, with type t_{var} . Hence the type environment for $e_{proc\text{-}body}$ should be

$$tenv_{proc-body} = [var = t_{var}]tenv_{letrec-body}$$

Furthermore, in this type environment, $e_{proc\text{-}body}$ should have result type t_{res} . Writing this down as a rule, we get:

6.4.1 Implementing the Checker

We will need to compare types for equality. We do this with the procedure check-equal-type!, compares two types and reports an error unless they are equal.

```
(define check-equal-type!
  (lambda (ty1 ty2 exp)
    (if (not (equal? ty1 ty2))
        (eopl:error 'check-equal-type!
        "Types didn't match: "s != "s in"%"s"
        (type-to-external-form ty1)
        (type-to-external-form ty2)
        exp))))
```

This uses type-to-external-form, which converts a type back into a list that is easy to read.

Now we can transcribe the rules into a program, just as we've been doing all along.

```
(define type-of-program
  (lambda (pgm)
    (cases program pgm
      (a-program (exp1) (type-of exp1 (init-tenv))))))
(define type-of
  (lambda (exp tenv)
    (cases expression exp
      (type-of num tenv) = int
      (const-exp (num) (int-type))
      (type-of var tenv) = tenv(var)
      (var-exp (var) (apply-tenv tenv var))
      (type-of e_1 tenv) = int
                               (type-of e_2 tenv) = int
            (type-of (diff-exp e_1 e_2) tenv) = int
      (diff-exp (exp1 exp2)
        (let ((ty1 (type-of exp1 tenv))
              (ty2 (type-of exp2 tenv)))
          (check-equal-type! ty1 (int-type) exp1)
          (check-equal-type! ty2 (int-type) exp2)
          (int-type)))
             (type-of e_1 tenv) = int
      (type-of (zero?-exp e_1) tenv) = bool
      (zero?-exp (exp1)
        (let ((ty1 (type-of exp1 tenv)))
          (check-equal-type! ty1 (int-type) exp1)
          (bool-type)))
```

```
(type-of e_1 tenv) = bool
       (type-of e_2 tenv) = t
       (type-of e_3 tenv) = t
 (type-of (if-exp e_1 e_2 e_3) tenv) = t
  (if-exp (exp1 exp2 exp3)
    (let ((ty1 (type-of-expression exp1 tenv))
           (ty2 (type-of-expression exp2 tenv))
           (ty3 (type-of-expression exp3 tenv)))
      (check-equal-type! ty1 (bool-type) exp1)
      (check-equal-type! ty2 ty3 exp)
      ty2))
                          (type-of body [var=t_1]tenv) = t_2
(type-of e_1 tenv) = t_1
        (type-of (let-exp var e_1 body) tenv) = t_2
(let-exp (var exp1 body)
  (let ((exp1-type (type-of exp1 tenv)))
    (type-of body
      (extend-tenv var exp1-type tenv))))
         (type-of body [var = t_{var}] tenv) = t_{res}
(type-of (proc-exp var\ t_{var}\ body)\ tenv) = (t_{var} \rightarrow t_{res})
(proc-exp (var-type var body)
  (let ((result-type
           (type-of body
             (extend-tenv var var-type tenv))))
    (proc-type var-type result-type)))
```

```
\frac{(\text{type-of } \textit{rator } \textit{tenv}) = (t_1 \rightarrow t_2) \qquad (\text{type-of } \textit{rand } \textit{tenv}) = t_1}{(\text{type-of } (\text{call-exp } \textit{rator } \textit{rand}) \; \textit{tenv}) = t_2}
(\text{call-exp } (\text{rator } \text{rand})
(\text{let } ((\text{rator-type } (\text{type-of-expression } \text{rator } \text{tenv})))
(\text{rand-type } (\text{type-of-expression } \text{rand } \text{tenv})))
(\text{cases } \text{type } \text{rator-type}
(\text{proc-type } (\text{arg-type } \text{result-type}))
(\text{begin } \quad (\text{check-equal-type! } \text{arg-type } \text{rand-type } \text{rand})
\text{result-type}))
(\text{else } \quad (\text{eopl:error } \text{'type-of-expression } \quad \text{"Rator } \text{not } \text{a } \text{proc } \text{type:} \text{``%} \text{``s"} \text{`had } \text{rator } \text{type } \text{``s"}
\text{rator } (\text{type-to-external-form } \text{rator-type})))))))
```

```
(type-of e_{proc\text{-}body} [var=t_{var}] [p=(t_{var} \rightarrow t_{res})] tenv) = t_{res}
       (type-of e_{letrec-body} [p = (t_{var} \rightarrow t_{res})] tenv) = t
 (type-of (letrec-exp t_{res} p(var: t_{var}) = e_{proc-body} e_{letrec-body}) tenv) = t
(letrec-exp (proc-result-type proc-name
                bound-var bound-var-type
                proc-body
                letrec-body)
  (let ((tenv-for-letrec-body
           (extend-tenv
              proc-name
              (proc-type
                bound-var-type proc-result-type)
              tenv)))
    (let ((proc-body-type
              (type-of proc-body
                (extend-tenv
                  bound-var
                  bound-var-type
                  tenv-for-letrec-body))))
       (check-equal-type!
         proc-body-type proc-result-type proc-body)
       (type-of letrec-body tenv-for-letrec-body)))))))
```

6.5 INFERRED: a Language with Type Inference

Writing down the types in the program may be helpful for design and documentation, but it can be time-consuming. Another design is to have the compiler figure out the types of all the variables, based on observing how they are used, and utilizing any hints the programmer might give. Surprisingly, for a carefully-designed language, the compiler can *always* infer the types of the variables. This strategy is called *type inference*. We can do it for languages like LETREC, and it scales up to reasonably-sized languages.

For our case study in type inference, we start with the language of CHECKED. We then change the language so that all the types are optional. In place of a missing type expression, we use the marker? Hence a typical program looks like

```
letrec ? foo (x : ?) = if zero?(x) then 1 else -(x, (foo -(x,1))) in foo
```

Each question mark (except, of course, for the one in zero?) indicates a place where a type must be inferred.

Since types are optional, we may also fill in some of the ?'s with types, as in

```
letrec
  ? even (x : int) = if zero?(x) then 1 else (odd -(x,1))
  bool odd (x : ?) = if zero?(x) then 0 else (even -(x,1))
in (odd 13)
```

To specify this syntax, we add a new non-terminal, *Optional-type*, and we modify the productions for proc and letrec to use optional types instead of types.

The omitted types will be treated as *unknowns* that we need to find. We do this by traversing the abstract syntax tree and generating equations between these types, possibly including these unknowns. We then solve the equations for the unknown types.

To see how this works, we need names for the unknown types. For each expression e or bound variable var, let t_e or t_{var} denote the type of the expression or bound variable.

For each node in the abstract syntax tree of the expression, the type rules dictate some equations that must hold between these types.

For our PROC language, the equations are:

```
(\text{diff-exp } e_1 \ e_2) \qquad : \ t_{e_I} = \text{int} \\ t_{e_2} = \text{int} \\ t_{(\text{diff-exp } e_1 \ e_2)} = \text{int} (\text{zero?-exp } e_1) \qquad : \ t_{e_I} = \text{int} \\ t_{(\text{zero?-exp } e_I)} = \text{bool} (\text{proc-exp } \textit{var } \textit{body}) \ : \ t_{(\text{proc-exp } \textit{var } \textit{body})} = (t_{\textit{var}} \rightarrow t_{\textit{body}}) (\text{call-exp } \textit{rator } \textit{rand}) \ : \ t_{\textit{rator}} = (t_{\textit{rand}} \rightarrow t_{(\text{call-exp } \textit{rator } \textit{rand})}) (\text{if-exp } e_1 \ e_2 \ e_3) \qquad : \ t_{e_I} = \text{bool} \\ t_{e_2} = t_{(\text{if-exp } e_1 \ e_2 \ e_3)} \\ t_{e_3} = t_{(\text{if-exp } e_1 \ e_2 \ e_3)}
```

- The first rule says that the arguments and the result of a diff-exp must all be of type int.
- The second rule says that the argument of a zero?-exp must be an int, and its result is a bool.
- The third rule says that the type of a proc expression is that of a procedure whose argument type is given by the type of its bound variable, and whose result type is given by the type of its body.
- The fourth rule says that in a procedure call, the operator must have the type of a procedure that accepts arguments of the same type as that of the operand, and that produces results of the same type as that of the calling expression.
- The last rule says that in an if expression, the test must be of type bool, and that the types of the two alternatives must be the same as the type of the entire if expression.

If we had multiargument procedures and abstractions, the equations for procedures and procedure calls would be

$$(\operatorname{proc}(var_1 \ldots var_n) e) : t_{\operatorname{proc}(var_1 \ldots var_n) e} = (t_{var_1} * \ldots * t_{var_n}) \rightarrow t_e$$

(call-exp
$$e_0$$
 e_1 ... e_n) : $t_{e_0} = (t_{e_1} * ... * t_{e_n}) \rightarrow t_{(call-exp e_0 e_1 ... e_n)}$

To infer the type of an expression, we'll introduce a type variable for every subexpression and every bound variable, generate the constraints for each subexpression, and then solve the resulting equations. To see how this works, we will infer the types of several sample expressions.

Let us start with the expression proc(f)proc(x)-((f 3),(f x)). We begin by making a table of all the bound variables and applications in this expression, and assigning a type variable to each one.

Expression	Type Variable
f	t_f
X	t_{χ}
proc(f)proc(x)-((f 3),(f x))	t_0
proc(x)-((f 3),(f x))	t_1
-((f 3),(f x))	t_2
(f 3)	t_3
(f x)	t_4

Now, for each compound expression, we can write down a type equation according to the rules above.

Expression	Equations
proc(f)proc(x)-((f 3),(f x))	$1. t_0 = t_f \to t_1$
proc(x)-((f 3),(f x))	$2. t_1 = t_x \rightarrow t_2$
-((f 3),(f x))	3. $t_3 = int$
	4. $t_4 = int$
	5. $t_2 = int$
(f 3)	6. $t_f = \text{int} \rightarrow t_3$
(f x)	7. $t_f = t_x \rightarrow t_4$

- Equation 1 says that the entire expression produces a procedure that takes an argument of type t_f and produces a value of the same type as that of proc(x)-((f 3),(f x)).
- Equation 2 says that proc(x)-((f 3), (f x)) produces a procedure that takes an argument of type t_x and produces a value of the same type as that of -((f 3), (f x)).
- Equations 3-5 say that the arguments and the result of the subtraction in -((f 3),(f x)) are all integers.

- Equation 6 says that f expects an argument of type int and returns a value of the same type as that of (f 3)
- Similarly equation 7 says that f expects an argument of the same type as that of x and returns a value of the same type as that of (f x).

We can fill in t_f , t_x , t_0 , t_1 , t_2 , t_3 , and t_4 in any way we like, so long as they satisfy the equations

$$t_0 = t_f \rightarrow t_1$$

$$t_1 = t_x \rightarrow t_2$$

$$t_3 = \text{int}$$

$$t_4 = \text{int}$$

$$t_2 = \text{int}$$

$$t_f = \text{int} \rightarrow t_3$$

$$t_f = t_x \rightarrow t_4$$

Our goal is to find values for the variables that make all the equations true. We can express such a solution as a set of equations where the left-hand sides are all variables. We call such a set of equations a *substitution*. The variables that occur on the left-hand side of some equation in the substitution are said to be *bound* in the substitution.

We can solve such equations systematically. This process is called *unification*.

We separate the state of our calculation into the set of equations still to be solved and the substitution found so far. Initially, all of the equations are to be solved, and the substitution found is empty.

Equations	Substitution
$t_0 = t_f \to t_1$	
$t_1 = t_x \rightarrow t_2$	
$t_3 = \mathtt{int}$	
$t_4 = \mathtt{int}$	
$t_2 = \mathtt{int}$	
$t_f = \text{int} \rightarrow t_3$	
$t_f = t_x \rightarrow t_4$	

We consider each equation in turn. If the equation's left-hand side is a variable, we add it to the substitution.

Equations	Substitution
$t_1 = t_x \rightarrow t_2$	$t_0 = t_f \rightarrow t_1$
$t_3 = \mathtt{int}$	•
$t_4 = \mathtt{int}$	
$t_2 = \mathtt{int}$	
$t_f = \mathtt{int} o t_3$	
$t_f = t_x \rightarrow t_4$	

However, doing this may change the substitution. For example, our next equation gives a value for t_1 . We need to propagate that information into the value for t_0 , which contains t_1 on its right-hand side. So we substitute the right-hand side for each occurrence of t_1 in the substitution. This gets us:

EquationsSubstitution
$$t_3 = \text{int}$$
 $t_0 = t_f \rightarrow (t_x \rightarrow t_2)$ $t_4 = \text{int}$ $t_1 = t_x \rightarrow t_2$ $t_2 = \text{int}$ $t_f = \text{int} \rightarrow t_3$ $t_f = t_x \rightarrow t_4$

If the right-hand side were a variable, we'd switch the sides and do the same thing. We can continue in this manner for the next three equations.

Equations	Substitution
$t_4 = \mathtt{int}$	$t_0 = t_f \to (t_x \to t_2)$
$t_2 = \mathtt{int}$	$t_1 = t_x \rightarrow t_2$
$t_f = \mathtt{int} o t_3$	$t_3 = \mathtt{int}$
$t_f = t_x \rightarrow t_4$	

EquationsSubstitution
$$t_2 = \text{int}$$
 $t_0 = t_f \rightarrow (t_x \rightarrow t_2)$ $t_f = \text{int} \rightarrow t_3$ $t_1 = t_x \rightarrow t_2$ $t_f = t_x \rightarrow t_4$ $t_3 = \text{int}$ $t_4 = \text{int}$

EquationsSubstitution
$$t_f = \text{int} \rightarrow t_3$$
 $t_0 = t_f \rightarrow (t_x \rightarrow \text{int})$ $t_f = t_x \rightarrow t_4$ $t_1 = t_x \rightarrow \text{int}$ $t_3 = \text{int}$ $t_4 = \text{int}$ $t_2 = \text{int}$

Now, the next equation to be considered contains t_3 , which is already bound to int in the substitution. So we substitute int for t_3 in the equation. We would do the same thing for any other type variables in the equation. We call this *applying* the substitution to the equation.

EquationsSubstitution
$$t_f = \text{int} \rightarrow \text{int}$$
 $t_0 = t_f \rightarrow (t_x \rightarrow \text{int})$ $t_f = t_x \rightarrow t_4$ $t_1 = t_x \rightarrow \text{int}$ $t_3 = \text{int}$ $t_4 = \text{int}$ $t_2 = \text{int}$

We move the resulting equation into the substitution and update the substitution as necessary; this time no updating takes place since t_f does not occur in the substitution.

Equations Substitution
$$t_f = t_x \rightarrow t_4$$

$$t_0 = (\operatorname{int} \rightarrow \operatorname{int}) \rightarrow (t_x \rightarrow \operatorname{int})$$

$$t_1 = t_x \rightarrow \operatorname{int}$$

$$t_3 = \operatorname{int}$$

$$t_4 = \operatorname{int}$$

$$t_2 = \operatorname{int}$$

$$t_f = \operatorname{int} \rightarrow \operatorname{int}$$

The next equation, $t_f = t_x \rightarrow t_4$, contains t_f and t_4 , which are bound in the substitution, so we apply the substitution to this equation. This gets

If neither side of the equation is a variable, we can simplify, yielding two new equations.

We can process these as usual: We switch the sides of the first equation, add it to the substitution, and update the substitution, as we did before.

The final equation, int = int, is always true, so we can discard it.

We have no more equations, so we are done. We conclude from this calculation that our original expression proc(f)proc(x)-((f 3),(f x)) should be assigned the type

$$(int \rightarrow int) \rightarrow (int \rightarrow int)$$

This is reasonable: The first argument f must take an int argument because it is given 3 as an argument. It must produce an int, because its value is used as an argument to the subtraction operator. And x must be an int, because it is also supplied as an argument to f.

Let us consider another example: proc(f) (f 11). Again, we start by assigning type variables:

Expression	Type Variable
f	t_f
proc(f)(f 11)	t_0
(f 11)	t_1

Next we write down the equations

Expression	Equations
proc(f)(f 11)	$t_0 = t_f \rightarrow t_1$
(f 11)	$t_f = int \rightarrow t_1$

And next we solve:

EquationsSubstitution
$$t_f = \text{int} \rightarrow t_1$$
 $t_0 = t_f \rightarrow t_1$

This means that we can assign proc(f)(f 11) the type $(int \rightarrow t_1) \rightarrow t_1$, for any choice of t_1 . Again, this is reasonable: we can infer that f must be capable of taking an int argument, but we have no information about the result type of f, and indeed for any t_1 , this code will work for any f that takes an int argument and returns a value of type t_1 . We say it is *polymorphic* in t_1 .

Let's try a third example. Consider if x then -(x,1) else 0. Again, let's assign type variables to each subexpression that is not a constant.

Expression	Type Variable
x	t_{x}
if x then $-(x,1)$ else 0	t_0
-(x,1)	t_1

We then generate the equations

Expression	Equations
if x then $-(x,1)$ else 0	$t_x = bool$
	$t_1 = t_0$
	$int = t_0$
-(x,1)	$t_{x} = int$
	t_1 = int

Processing these equations as we did before, we get

Equations	Substitution
$t_x = bool$	
$t_1 = t_0$	
$\mathtt{int} = t_0$	
$t_{\scriptscriptstyle X}={ t int}$	
$t_1 = \mathtt{int}$	

EquationsSubstitution
$$t_1 = t_0$$
 $t_x = bool$ $int = t_0$ $t_x = int$ $t_1 = int$ $t_x = t_0$

Equations	Substitution
$int = t_0$	$t_{x} = bool$
$t_{x} = \mathtt{int}$	$t_1 = t_0$
$t_1 = \mathtt{int}$	

Equations	Substitution
$t_0 = \mathtt{int}$	$t_{x} = bool$
$t_{\scriptscriptstyle \mathcal{X}} = \mathtt{int}$	$t_1 = t_0$
$t_1 = \mathtt{int}$	

Equations	Substitution
$t_{x} = int$	$t_{x} = bool$
$t_1 = \mathtt{int}$	$t_1 = \mathtt{int}$
	$t_0 = \mathtt{int}$

Since t_x is already bound in the substitution, we apply the current substitution to the next equation, getting

Equations	Substitution
bool = int	$t_{x} = bool$
$t_1 = \mathtt{int}$	$t_1 = \mathtt{int}$
	$t_0 = \mathtt{int}$

Oops! We have inferred from these equations that bool = int. So in any solution of these equations, bool = int. But bool and int cannot be equal. Therefore there is no solution to these equations. Therefore it is impossible to assign a type to this expression. This is reasonable, since the expression if x then -(x,1) else 0 uses x as both a boolean and an integer, which is illegal in our type system.

Let us do one more example. Consider proc(f)zero?((f f)). We proceed as before.

Expression	Type Variable
proc (f) zero?((f f))	t_0
f	t_f
zero?((f f))	$t_1^{'}$
(f f)	t_2
Expression	Equations
Expression proc (f) zero?((f f))	Equations $t_0 = t_f \rightarrow t_1$
proc (f) zero?((f f))	$t_0 = t_f \rightarrow t_1$

And we solve as usual:

Equations	Substitution
$t_0 = t_f \rightarrow t_1$	
$t_1 = bool$	
$t_2 = \mathtt{int}$	
$t_f = t_f \rightarrow t_2$	

EquationsSubstitution
$$t_2 = \text{int}$$
 $t_0 = t_f \rightarrow \text{bool}$ $t_f = t_f \rightarrow t_2$ $t_1 = \text{bool}$

EquationsSubstitution
$$t_f = t_f \rightarrow t_2$$
 $t_0 = t_f \rightarrow \text{bool}$ $t_1 = \text{bool}$ $t_2 = \text{int}$

EquationsSubstitution
$$t_f = t_f \rightarrow \text{ int}$$
 $t_0 = t_f \rightarrow \text{ bool}$ $t_1 = \text{bool}$ $t_2 = \text{int}$

Now we have a problem. We've now inferred that $t_f = t_f \rightarrow Int$. But there is no type with this property, because the right-hand side of this equation is always larger than the left: If the syntax tree for t_f contains k nodes, then the right hand side will always contain k+2 nodes.

So if we ever deduce an equation of the form tv = t where the type variable tv occurs in the type t, we must again conclude that there is no solution to the original equations. This extra condition is called the *occurrence check*.

This condition also means that the substitutions we build will satisfy the following invariant:

The no-occurrence invariant

No variable bound in the substitution occurs in any of the right-hand sides of the substitution.

Our code for solving equations will depend critically on this invariant.

6.5.1 Substitutions

We will build the implementation in a bottom-up fashion. We first consider substitutions.

We represent unknown types (sometimes called *type variables*) as an additional variant of the type datatype. We do this using the same technique that we to add lexical addresses to our SLLGEN grammars. We add to the grammar the additional production

```
Type ::= %tvar-type Number \\ tvar-type (serial-number)
```

We call these extended types *type expressions*. A basic operation on type expressions is substitution of a type for a type variable, defined by

This procedure deals with substituting for a single type variable. It doesn't deal with full-fledged substitutions like those we had in the preceding section.

A substitution is a list of equations between type variables and types. Equivalently, we can think of this list as a function from type variables to types. We say a type variable is *bound* in the substitution if and only if it occurs on the left-hand side of one of the equations in the substitution.

We represent a substitution as a list of (type variable, type) pairs. The basic observer for substitutions is apply-subst-to-type. This walks through the type, replacing each type variable by its binding in the substitution. If a variable is not bound in the substitution, then it is left unchanged. The implementation uses the Scheme procedure assoc to look up the type variable in the substitution. assoc returns either the matching (type variable, type) pair or #f if the given type variable is not the car of any pair in the list.

The constructors for substitutions are empty-subst and extend-subst. (empty-subst) produces a representation of the empty substitution. (extend-subst σ tv t) takes the substitution σ and adds the equation tv = t to it, as we did in the preceding section. We write $\sigma[tv = t]$ for the resulting substitution. This was a two-step operation: first we substituted t for tv in each of the right-hand sides of the equations in the substitution, and then we added the equation tv = t to the list. Pictorially,

$$\begin{pmatrix} tv_1 = t_1 \\ \vdots \\ tv_n = t_n \end{pmatrix} [tv = t] = \begin{pmatrix} tv_1 = t_1[tv = t] \\ \vdots \\ tv_n = t_n[tv = t] \\ tv = t \end{pmatrix}$$

This definition has the property that for any type t,

$$t(\sigma[tv=t']) = (t\sigma)[tv=t']$$

The implementation of extend-subst follows the picture above. It substitutes t_0 for tv_0 in all of the existing bindings in σ_0 , and then adds the binding for t_0 .

This implementation does not depend on, nor does it attempt to enforce, the no-occurrence invariant. That is the job of the unifier, in the next section.

6.5.2 The Unifier

The main procedure of the unifier is unifier. The unifier performs one step of the inference procedure outlined above: It takes two types, ty1 and ty2, a substitution subst that satisfies the no-occurrence invariant, and an expression exp. It returns the substitution that results from adding ty1 = ty2 to subst. This substitution will also satisfy the no-occurrence invariant. If adding ty1 = ty2 yields an inconsistency, then it reports an error, and blames the expression exp. This is typically the expression that gave rise to the equation ty1 = ty2.

Think of the substitution as a *store*, and an unknown type is a *reference* into that store. unifier produces the new store that is obtained by adding ty1 = ty2 to the store.

This is an algorithm for which cases gives awkward code, so we use simple predicates and extractors on types instead.

```
unifier : type * type * subst * exp -> subst
usage: finds the smallest extension of subst
   that unifies ty1[subst] and ty2[subst].
   Raises an error if there is no such unifier.
(define unifier
  (lambda (ty1 ty2 subst exp)
    (let ((ty1 (apply-subst-to-type ty1 subst))
          (ty2 (apply-subst-to-type ty2 subst)))
      (cond
        ((equal? ty1 ty2) subst)
        ((tvar-type? ty1)
         (if (no-occurrence? ty1 ty2)
           (extend-subst subst ty1 ty2)
           (raise-occurrence-check! ty1 ty2 exp)))
        ((tvar-type? ty2)
         (if (no-occurrence? ty2 ty1)
           (extend-subst subst ty2 ty1)
           (raise-occurrence-check! ty2 ty1 exp)))
        ((and (proc-type? ty1) (proc-type? ty2))
         (let ((subst (unifier
                         (proc-type->arg-type ty1)
                        (proc-type->arg-type ty2)
                        subst exp)))
           (let ((subst (unifier
                          (proc-type->result-type ty1)
                           (proc-type->result-type ty2)
                          subst exp)))
             subst)))
        (else (raise-type-error! ty1 ty2 exp))))))
```

- First, as we did above, we apply the substitution to each of the types ty1 and ty2.
- If the resulting types are the same, we return immediately. This corresponds to the step of deleting a trivial equation above.
- If ty1 is an unknown type, then the no-occurrence invariant tells us that it is not bound in the substitution. Hence it must be unbound, so we propose to add ty1 = ty2 to the substitution. But we need to perform the occurrence check, so that the no-occurrence invariant is preserved.
- If ty2 is an unknown type, we do the same thing, reversing the roles of ty1 and ty2.
- If neither ty1 nor ty2 is a type variable, then we can analyze further.

 If they are both proc types, then we simplify by equating the argument types, and then equating the result types in the resulting substitution.
 - Otherwise, either one of ty1 and ty2 is int and the other is bool, or one is a proc type and the other is int or bool. In any of these cases, there is no solution to the equation, so an error is reported.

Last, we must implement the occurrence check. This is a straightforward recursion on the type

6.5.3 Building the Type Inferencer

We convert optional types to types with unknowns by creating a fresh type variable for each ?, using otype->type.

When we convert to external form, we represent a type variable by a symbol containing its serial number.

Now we can write type-of. It takes an expression, a type environment mapping program variables to type expressions, and a substitution satisfying the no-occurrence invariant, and it returns a type and a new no-occurrence substitution.

The type environment associates a type expression with each program variable. The substitution explains the meaning of each type variable in the type expressions. It is useful to think of the substitution as a *store*, and a type variable as *reference* into that store. Therefore, type-of returns two values: a type expression, and a substitution in which to interpret the type variables in that expression.

We implement this by creating a new datatype that contains the two values, and using that as the return value.

For each kind of expression, we recur on the subexpressions, passing along the solution so far in the substitution argument. Then we generate the equations for the current expression, according to the specification, and record these in the substitution by calling unifier.

```
type-of : exp * tenv * subst -> answer
(define type-of
  (lambda (exp tenv subst)
    (cases expression exp
       (const-exp (num) (an-answer (int-type) subst))
       (zero?-exp e_1) : t_{e_1} = int
                            t_{(\text{zero?-exp }e_I)} = \text{bool}
       (zero?-exp (exp1)
         (cases answer (type-of exp1 tenv subst)
           (an-answer (ty1 subst1)
             (let ((subst2
                       (unifier ty1 (int-type) subst1 exp)))
                (an-answer (bool-type) subst2)))))
       (diff-exp e_1 e_2) : t_{e_1} = \text{int}
                              t_{e_2} = int
                              t_{(\text{diff-exp }e_1\ e_2)} = \text{int}
       (diff-exp (exp1 exp2)
         (cases answer (type-of exp1 tenv subst)
           (an-answer (ty1 subst1)
             (let ((subst1
                       (unifier ty1 (int-type) subst1 exp1)))
                (cases answer (type-of exp2 tenv subst1)
                  (an-answer (ty2 subst2)
                    (let ((subst2
                             (unifier ty2 (int-type)
                                subst2 exp2)))
                       (an-answer (int-type) subst2)))))))
```

```
(if-exp e_1 e_2 e_3) : t_{e_1} = bool
                       t_{e_2} = t_{(if-exp\ e_1\ e_2\ e_3)}
                       t_{e_3} = t_{(if-exp \ e_1 \ e_2 \ e_3)}
(if-exp (exp1 exp2 exp3)
  (cases answer (type-of exp1 tenv subst)
    (an-answer (ty1 subst)
      (let ((subst
               (unifier ty1 (bool-type) subst exp1)))
        (cases answer (type-of exp2 tenv subst)
           (an-answer (ty2 subst)
             (cases answer (type-of exp3 tenv subst)
               (an-answer (ty3 subst)
                 (let ((subst
                          (unifier ty2 ty3 subst exp)))
                    (an-answer ty2 subst)))))))))
(var-exp (var)
  (an-answer (apply-tenv tenv var) subst))
(let-exp (var exp1 body)
  (cases answer (type-of exp1 tenv subst)
    (an-answer (exp1-type subst)
      (type-of body
        (extend-tenv var exp1-type tenv)
        subst))))
```

```
(proc-exp var body)
                       t_{(proc-exp\ var\ body)} = (t_{var} \rightarrow t_{body})
(proc-exp (otype var body)
 (let ((var-type (otype->type otype)))
   (cases answer (type-of body
                   (extend-tenv var var-type tenv)
                   subst)
     (an-answer (result-type subst)
       (an-answer
         (proc-type var-type result-type)
         subst)))))
(call-exp (rator rand)
 (let ((result-type (fresh-tvar-type)))
   (cases answer (type-of rator tenv subst)
     (an-answer (rator-type subst)
       (cases answer (type-of rand tenv subst)
         (an-answer (rand-type subst)
           (let ((subst
                   (unifier
                     rator-type
                     (proc-type
                       rand-type result-type)
                     subst
                     exp)))
             (an-answer result-type subst)))))))
```

```
(letrec-exp p(var) = e_{proc-body} e_{letrec-body})
   t_p = t_{var} \rightarrow t_{e_{proc-body}}
   t_{e_{letrec-body}} = t_{(letrec-exp\ p\ (var) = e_{proc-body}\ e_{letrec-body})}
(letrec-exp (proc-result-otype proc-name
                bound-var bound-var-otype
                proc-body
                letrec-body)
  (let ((proc-result-type
            (otype->type proc-result-otype))
         (proc-var-type
            (otype->type bound-var-otype)))
     (let ((tenv-for-letrec-body
              (extend-tenv
                proc-name
                (proc-type
                  proc-var-type proc-result-type)
                tenv)))
       (cases answer (type-of proc-body
                          (extend-tenv
                            bound-var proc-var-type
                            tenv-for-letrec-body)
                          subst)
         (an-answer (proc-body-type subst)
            (let ((subst
                     (unifier
                       proc-body-type
                       proc-result-type
                       subst
                       proc-body)))
              (type-of letrec-body
                tenv-for-letrec-body
                subst)))))))
)))
```

Testing the inferencer is somewhat more subtle than testing our previous interpreters, because of the possibility of polymorphism. For example, if the inferencer is given proc (x) x, it might generate any of the external forms (ty1 -> ty1) or (ty2 -> ty2) or (ty3 -> ty3), and so on. These may be different every time through the inferencer, so we won't be able to anticipate them when we write our test items. So when we compare the produced type to the correct type, we'll fail. We need to accept all of the alternatives above, but reject (ty3 -> ty4) or (int -> ty17).

The inferencer produces its output in external form, so our solution must use that representation. To compare two types in external form, we standardize the names of the unknown types, by walking through each external form, renumbering all the type variables so that they are numbered starting with ty1. We can then compare the renumbered types with equal?.

To systematically rename each unknown type, we construct a substitution with canonical-subst. This is a straightforward recursion, with table playing the role of an accumulator. The length of table tells us how many distinct unknown types we have found, so we can use its length to give the number of the "next" ty symbol. This is similar to the way we used length in our "world's dumbest" store model.

Details are in the book.