

CS3000: Algorithms & Data

Jonathan Ullman

Lecture 5:

- Divide-and-Conquer: more examples

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Divide-and-Conquer: Binary Search

Binary Search

Is 28 in this list? If so, where.

2	3	8	11	15	17	28	42
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A

↑ Is this 28? No.
Is it bigger or smaller? Smaller.

15	17	28	42
----	----	----	----

↑

28	42
----	----

↑ Found it!
Return $A[7] = 28$

Binary Search

```
Search(A, t) :
```

```
// A[1:n] sorted in ascending order
```

```
Return BS(A, 1, n, t)
```

```
BS(A, ℓ, r, t) :
```

```
If(ℓ > r) : return FALSE 1
```

```
m ← ℓ + ⌊ $\frac{r-\ell}{2}$ ⌋ 1
```

```
If(A[m] = t) : Return m 1
```

```
ElseIf(A[m] > t) : Return BS(A, ℓ, m-1, t) }  $T(\frac{n}{2})$ 
```

```
Else: Return BS(A, m+1, r, t)
```

$T(n)$ = time to search list of size n

$$T(n) = T\left(\frac{n}{2}\right) + C \quad T(1) = C$$

Correctness of Binary Search

Clm: Binary Search is correct

$\forall n \in \mathbb{N} \quad \forall \text{ arrays } A \text{ of size } n \quad \forall t$

$$\text{Search}(A, t) = \begin{cases} i \text{ s.t. } A[i] = t \\ \perp \text{ if } t \notin A \end{cases}$$

↑ bot

$\forall \text{ arrays } A \quad \forall n \in \mathbb{N} \quad \forall l, r \text{ s.t. } r - l \leq n \quad \forall t$

$$\text{BS}(A, l, r, t) = \begin{cases} i \text{ s.t. } l \leq i \leq r \text{ and } A[i] = t \\ \perp \text{ if } t \notin A[l:r] \end{cases}$$

$H(n)$ Inductive Hypothesis

Base Case: $H(1)$ is correct

Inductive Step: Assume $H(n)$ let l, r s.t. $r-l = n+1$

$$m \leftarrow l + \lfloor \frac{r-l}{2} \rfloor$$

case 1: $A[m] = t$, $BS(A, l, r, t) = m$ which is correct

case 2: $A[m] < t$, we output $BS(A, m+1, r, t)$ correct by $H(n)$

- if we get i s.t. $A[i] = t$

- if we get \perp , then $t \notin A[m+1:r]$

we also know $t \notin A[l:m]$ because

A is sorted and $A[m] < t$

$\Rightarrow t \notin A[l:r]$ so we are correct

case 3: $A[m] > t$ is symmetric □

Ask the Audience

- What is the running time of binary search?
 - What is the recurrence?
 - What is the solution to the recurrence?

$$T(n) = T\left(\frac{n}{2}\right) + c$$

$$T(1) = c$$

$$T(n) = a \cdot T\left(\frac{n}{b}\right) + Cn^d$$

$$a = 1$$

$$b = 2$$

$$d = 0$$

$$\frac{a}{b^d} = \frac{1}{2^0} = 1$$

$$\begin{aligned} T(n) &= \Theta(n^d \log n) \\ &= \Theta(\log n) \end{aligned}$$

Binary Search Wrapup

- Search a sorted array in time $O(\log n)$
- Divide-and-conquer approach
 - Find the middle of the list, recursively search half the list
 - **Key Fact:** eliminate half the list each time
- Prove correctness via induction
- Analyze running time via recurrence
 - $T(n) = T(n/2) + C$

Selection (Median)

Selection

- Given an array of numbers $A[1, \dots, n]$, how quickly can I find the:
 - Smallest number? $O(n)$ time
 - Second smallest? $O(n)$
 - k -th smallest? $O(nk)$
 - median? $\lfloor \frac{n}{2} \rfloor + 1$ smallest number $\Theta(n^2)$

first
second

11	3	3	3	3	3	2	2
∅	11	11	11	11	8	3	<u>3</u>
11	3	42	28	17	8	2	15

A

Selection

$O(nk)$ or $O(n \log n)$
 $O(n \log k)$

- **Fact:** can select the k -th smallest in $O(n \log n)$ time
 - Sort the list and look up $A[k]$

11	3	42	28	17	8	2	15
----	---	----	----	----	---	---	----

A

2	3	8	11	15	17	28	42
---	---	---	----	----	----	----	----

- **Today:** select the k -th smallest in $O(n)$ time

Median Algorithm: Take I

17	3	42	11	28	8	2	15	13
----	---	----	----	----	---	---	----	----

 A

$r=7$ $A[r]=p$

11	3	5	13	2	8	17	28	42
----	---	---	----	---	---	----	----	----

partitioning: splitting into $\boxed{\leq p \mid p \mid > p}$

Select(A[1:n], k):

If (n = 1): return A[1]

Choose a **pivot** $p = A[1]$

Partition around the pivot, let $p = A[r]$ } $O(n)$ time

If (k = r): return A[r]

ElseIf (k < r): return Select(A[1:r-1], k)

ElseIf (k > r): return Select(A[r+1:n], k-r)

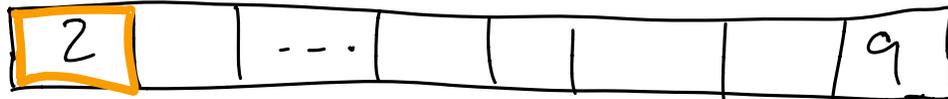
• $O(n^2)$

$$T(n) = T\left(\frac{n}{2}\right) + Cn$$

$$T(n) = O(n)$$

$$\begin{aligned} T(n) &= T\left(\frac{3n}{4}\right) + Cn \\ &= O(n) \end{aligned}$$

Median Algorithm: Take I



time to find k^{th} smallest

$$\sum_{i=0}^k n-i \approx nk - \frac{k^2}{2} = \Theta(nk)$$

$$T(n) = T(n-1) + C_n$$

Median Algorithm: Take II

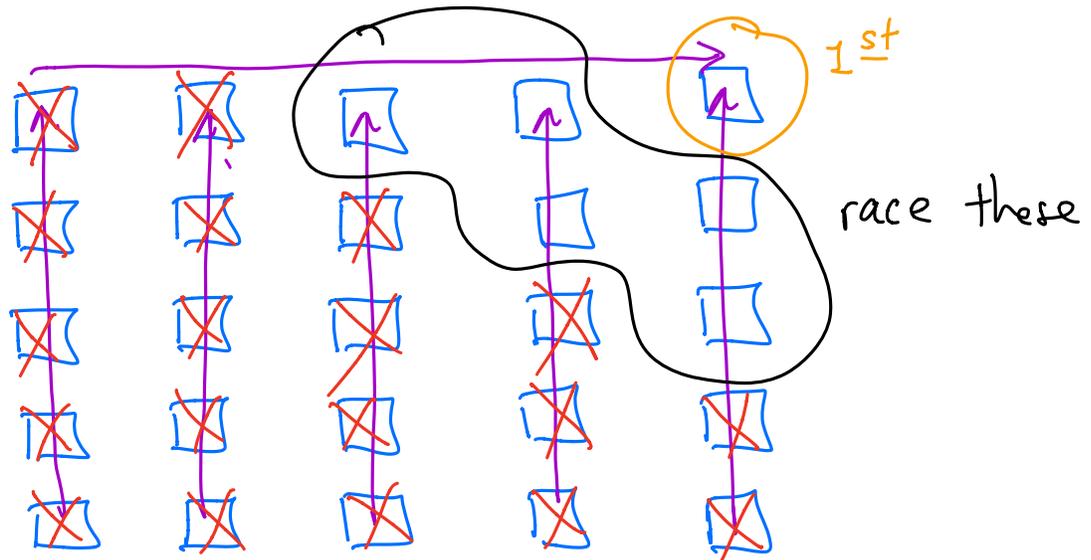
- **Problem:** we need to find a good pivot element
- Perfect pivot elt is the median
- Enough to have an elt in the middle half of the list



... as long as we can find it quickly

Warmup

- You have 25 horses and want to find the 3 fastest
- You have a racetrack where you can race 5 at a time
 - In: {1, 5, 6, 18, 22} Out: (6 > 5 > 18 > 22 > 1)
- **Problem:** find the 3 fastest with only seven races



Median of Medians

MOM(A[1:n]):

Let $m \leftarrow \lfloor n/5 \rfloor$

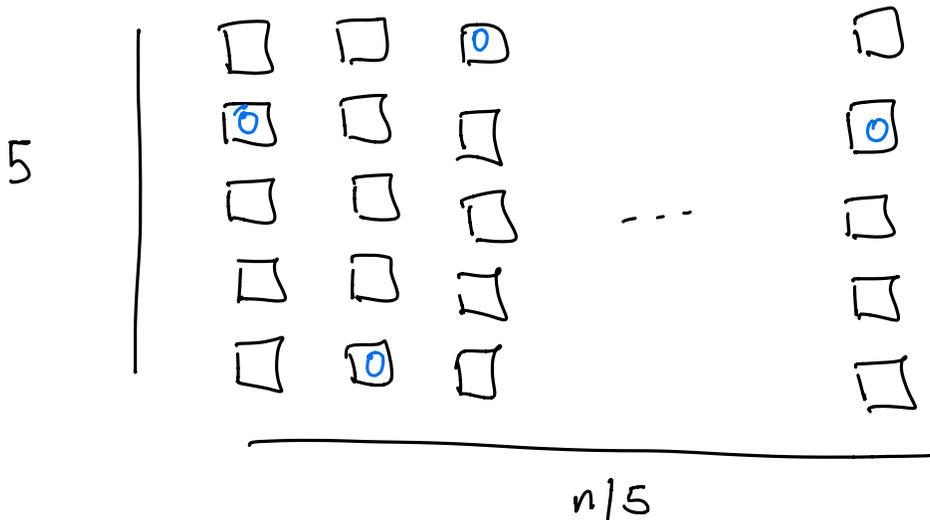
For $i = 1, \dots, m$:

$M[i] \leftarrow \text{median}\{A[5i-4], \dots, A[5i]\}$

$p \leftarrow \text{Select}(M[1:m], \lfloor m/2 \rfloor)$

$$\frac{2}{5} \times O(n) = O(n)$$

$$T\left(\frac{n}{5}\right)$$

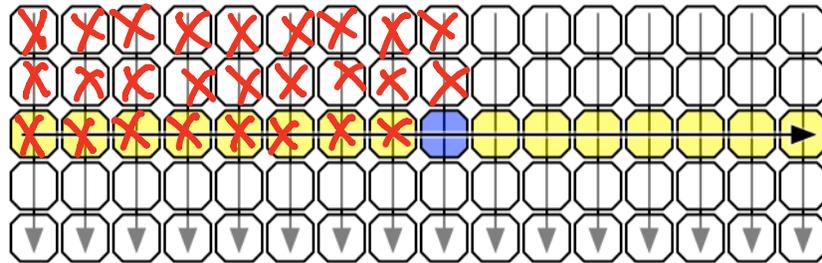


Median of Medians



- **Claim:** For every A here are at least $\frac{3n}{10}$ items that are smaller than **MOM**(A)

$$\left(3 \text{ rows of elts} \right) \times \left(\frac{n}{10} \text{ columns} \right) = \frac{3n}{10}$$



Visualizing the median of medians

- Also $\frac{3n}{10}$ are large than the MOM

Median Algorithm: Take II

17	3	42	11	28	8	2	15	13
----	---	----	----	----	---	---	----	----

 A

11	3	5	13	2	8	17	28	42
----	---	---	----	---	---	----	----	----

```
MOMSelect(A[1:n], k) :
```

```
  If( $n \leq 25$ ) : return median{A}
```

```
  Let  $p = \text{MOM}(A)$ 
```

```
  Partition around the pivot, let  $p = A[r]$ 
```

```
  If( $k = r$ ) : return  $A[r]$ 
```

```
  ElseIf( $k < r$ ) : return MOMSelect(A[1:r-1], k)
```

```
  ElseIf( $k > r$ ) : return MOMSelect(A[r+1:n], k-r)
```

Running Time Analysis

$T(n)$ = time to select with n elts

$$T(n) = T\left(\frac{7n}{10}\right) + [\text{time to find the pivot}] + C_n$$

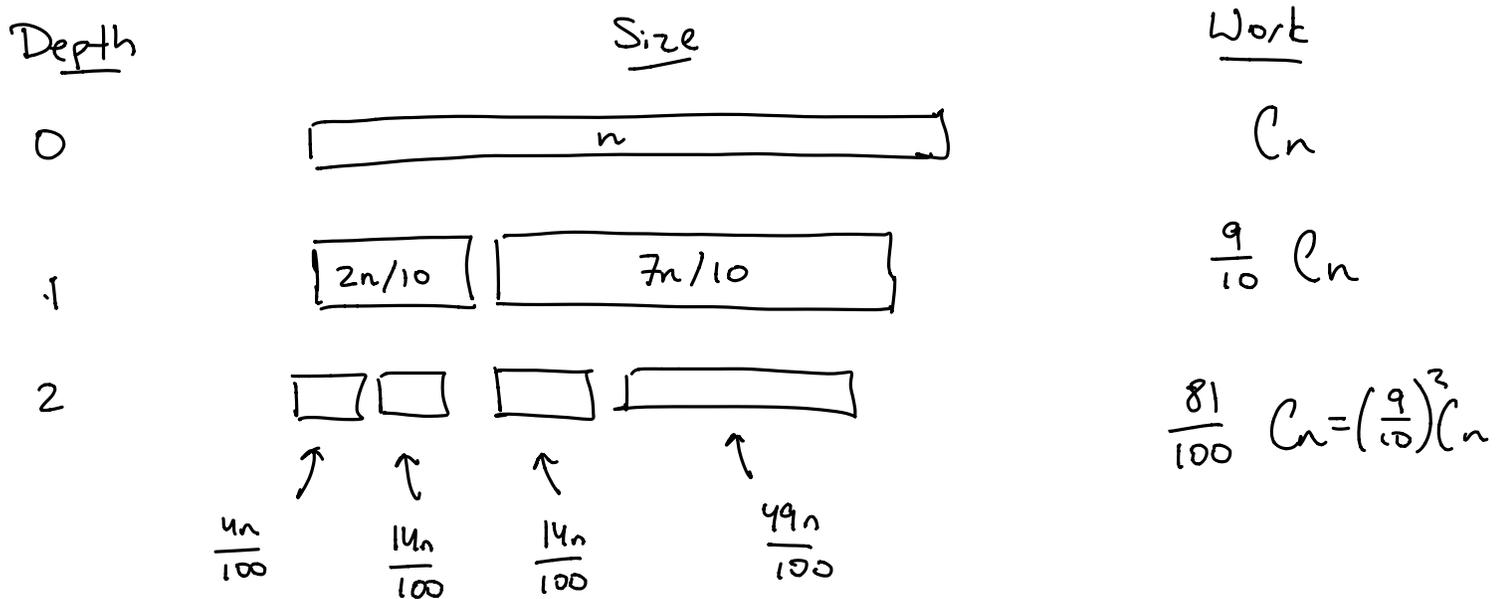
$$= T\left(\frac{7n}{10}\right) + \left[T\left(\frac{n}{5}\right) + C_n \right] + C_n$$

$$= T\left(\frac{7n}{10}\right) + T\left(\frac{n}{5}\right) + C_n$$

Recursion Tree

$$T(n) = T\left(\frac{7n}{10}\right) + T\left(\frac{2n}{10}\right) + Cn$$

$$T(1) = C$$

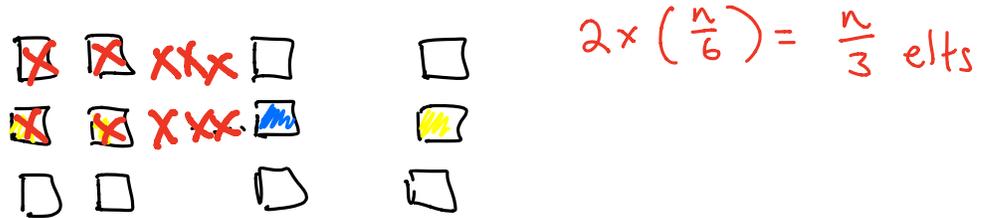


$$Cn \cdot \sum_{i=0}^{\infty} \left(\frac{9}{10}\right)^i \leq Cn \cdot \sum_{i=0}^{\infty} \left(\frac{9}{10}\right)^i = 10Cn$$

$$= O(n)$$

Ask the Audience

- If we change MOM so that it uses $\frac{n}{3}$ blocks of size 3, how many items can we eliminate?



- What is the new running time of the algorithm?

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + C_n$$

$$T(n) = \Theta(n \log n)$$

Selection Wrapup

- Find the k -th largest element in $O(n)$ time
 - Selection is strictly easier than sorting!
- Divide-and-conquer approach
 - Find a pivot element that splits the list roughly in half
 - **Key Fact:** median-of-medians-of-five is a good pivot
- Can sort in $O(n \log n)$ time using same technique
 - Algorithm is called **Quicksort**
- Analyze running time via recurrence
 - Master Theorem does not apply
- **Fun Fact:** a random pivot is also a good pivot!