

CS3000: Algorithms & Data

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Lecture 9:

- Dynamic Programming
- Interval Scheduling

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Dynamic Programming

Dynamic Programming

Dynamic programming is careful recursion

- Break the problem up into small pieces
- Recursively solve the smaller pieces
- Store outcomes of smaller pieces that get called multiple times
- **Key Challenge:** identifying the pieces

Dynamic Programming: Interval Scheduling

Interval Scheduling

- How can we optimally schedule a resource?

- This classroom, a computing cluster, ...

- **Input:** n intervals (s_i, f_i) each with value v_i — *start time* / *finish time* of i^{th} request — *what they pay*

- Assume intervals are sorted so $f_1 < f_2 < \dots < f_n$

- **Output:** a compatible schedule S maximizing the total value of all intervals

- A **schedule** is a subset of intervals $S \subseteq \{1, \dots, n\}$
- A schedule S is **compatible** if no $i, j \in S$ overlap
- The **total value** of S is $\sum_{i \in S} v_i$

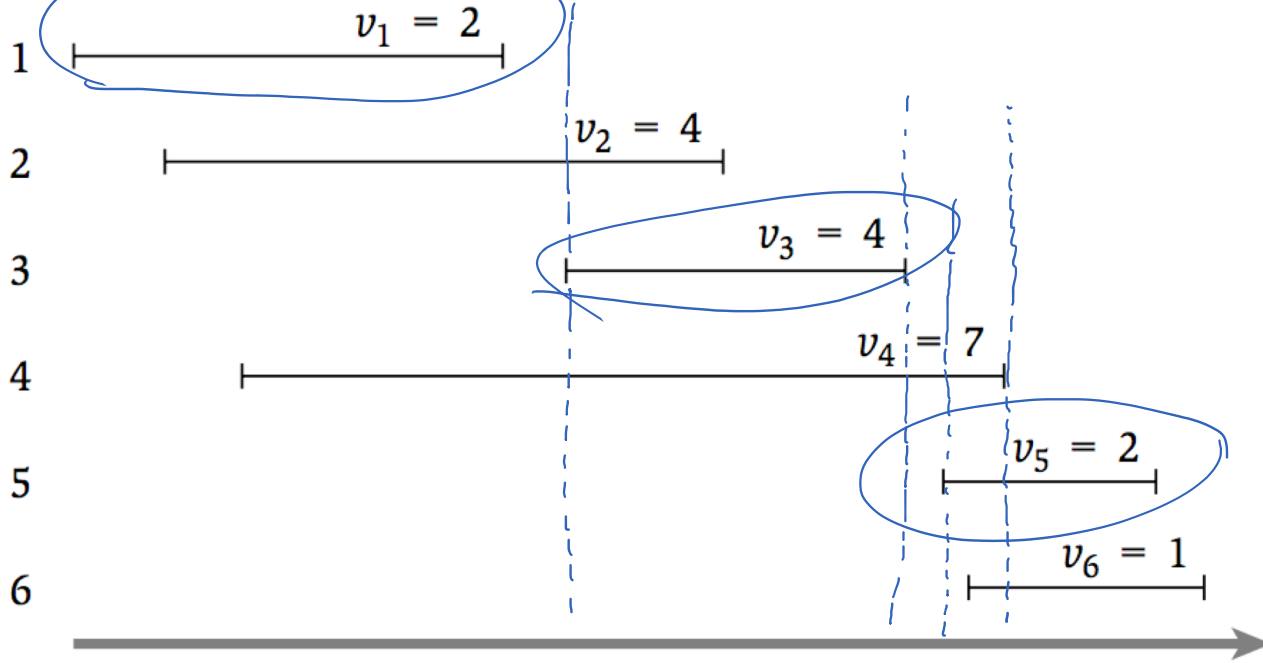
Interval Scheduling:

A **schedule** is a subset of intervals $S \subseteq \{1, \dots, n\}$

A schedule S is **compatible** if no $i, j \in S$ overlap

The **total value** of S is $\sum_{i \in S} v_i$

Index



Activity: Find the schedule that maximizes the total value of the intervals.

1 & 3 & 5

Why is this the best? Justify.

Either 4 is in schedule

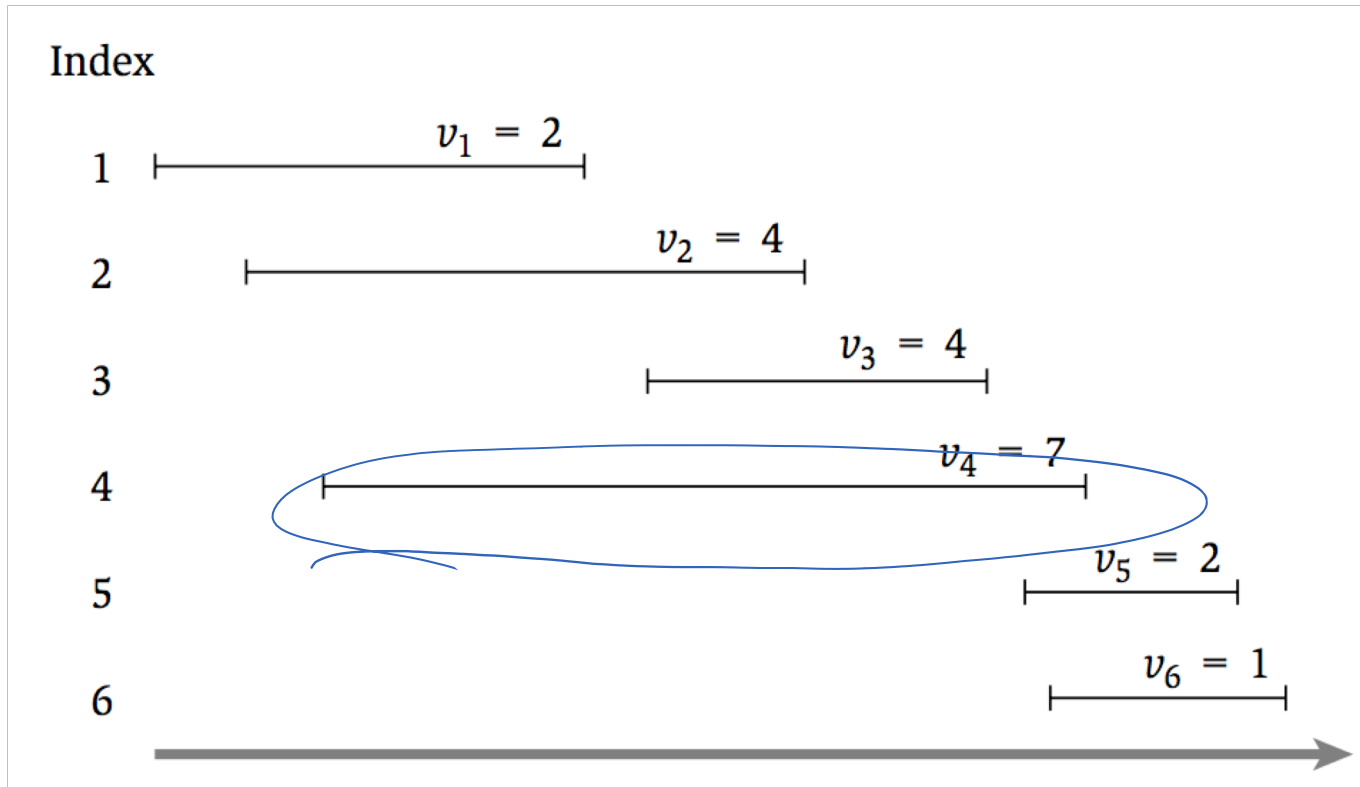
or it is not,

rGpGt

max val is 7

Possible Algorithms

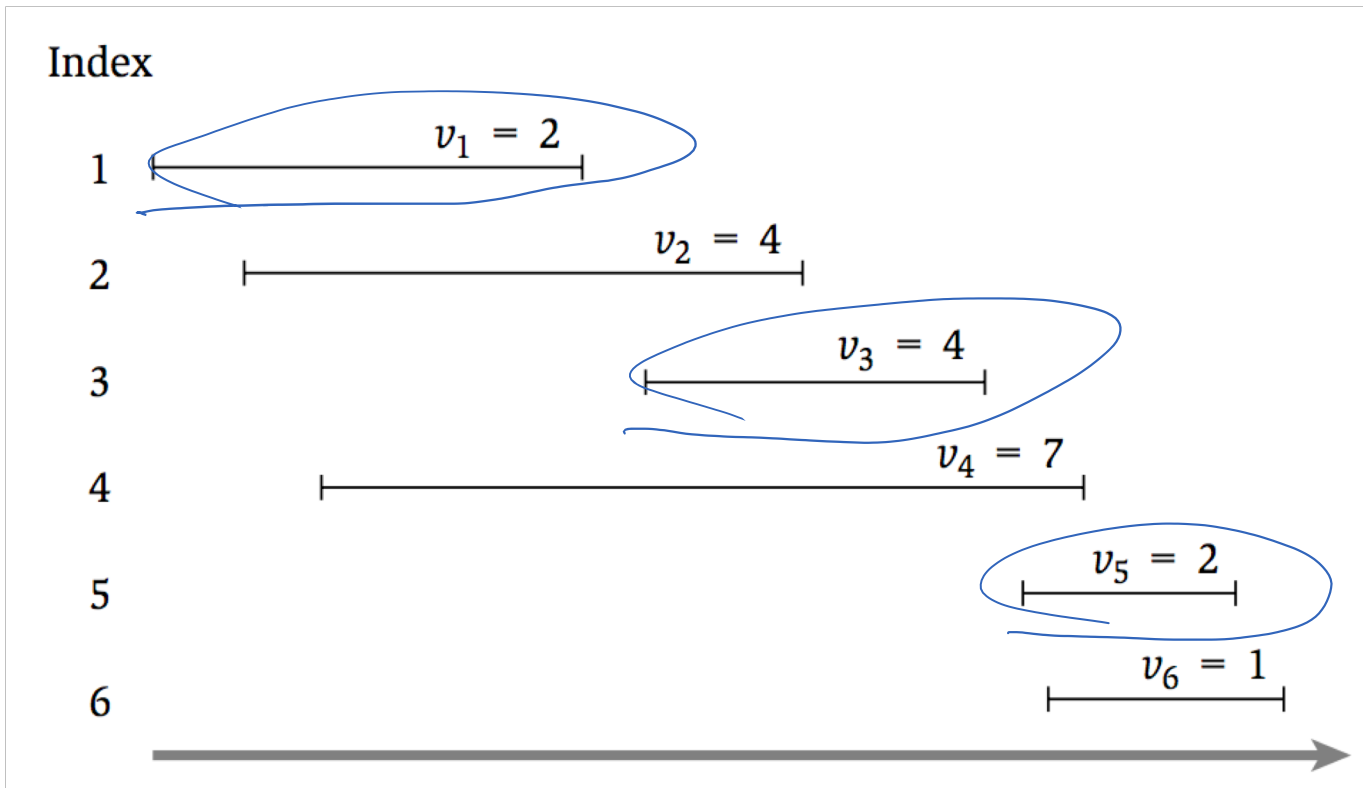
- Choose intervals in decreasing order of v_i



Sched is 4. Value is 7

Possible Algorithms

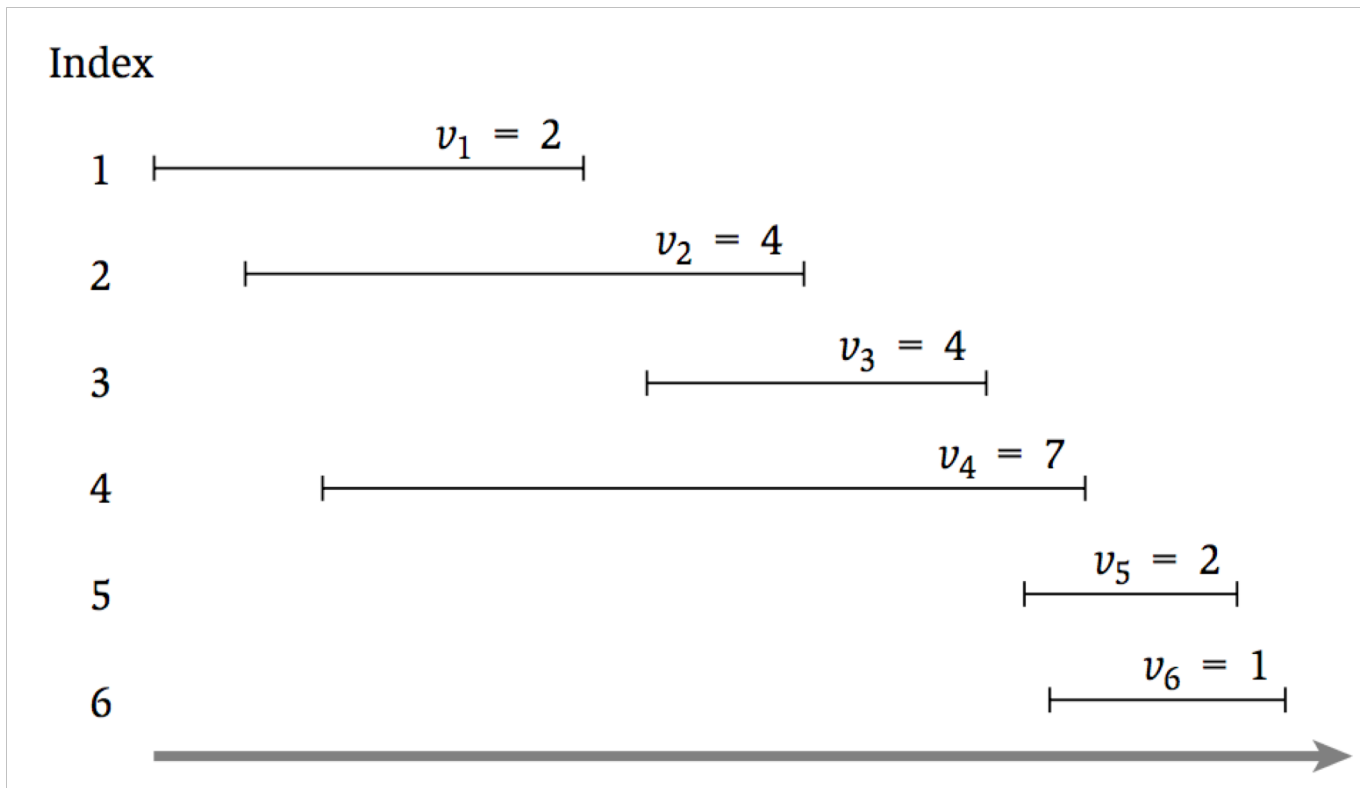
- Choose intervals in increasing order of s_i



*happens to work
in this case*

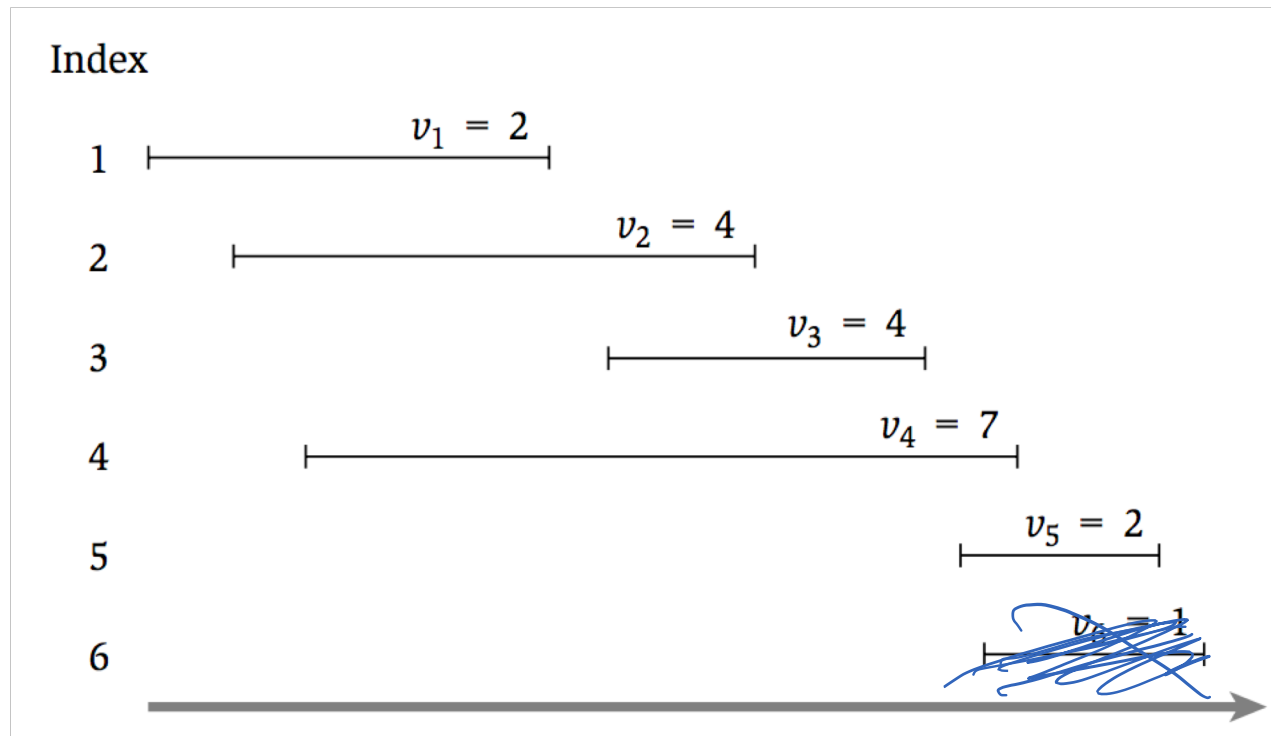
Possible Algorithms

- Choose intervals in increasing order of $f_i - s_i$



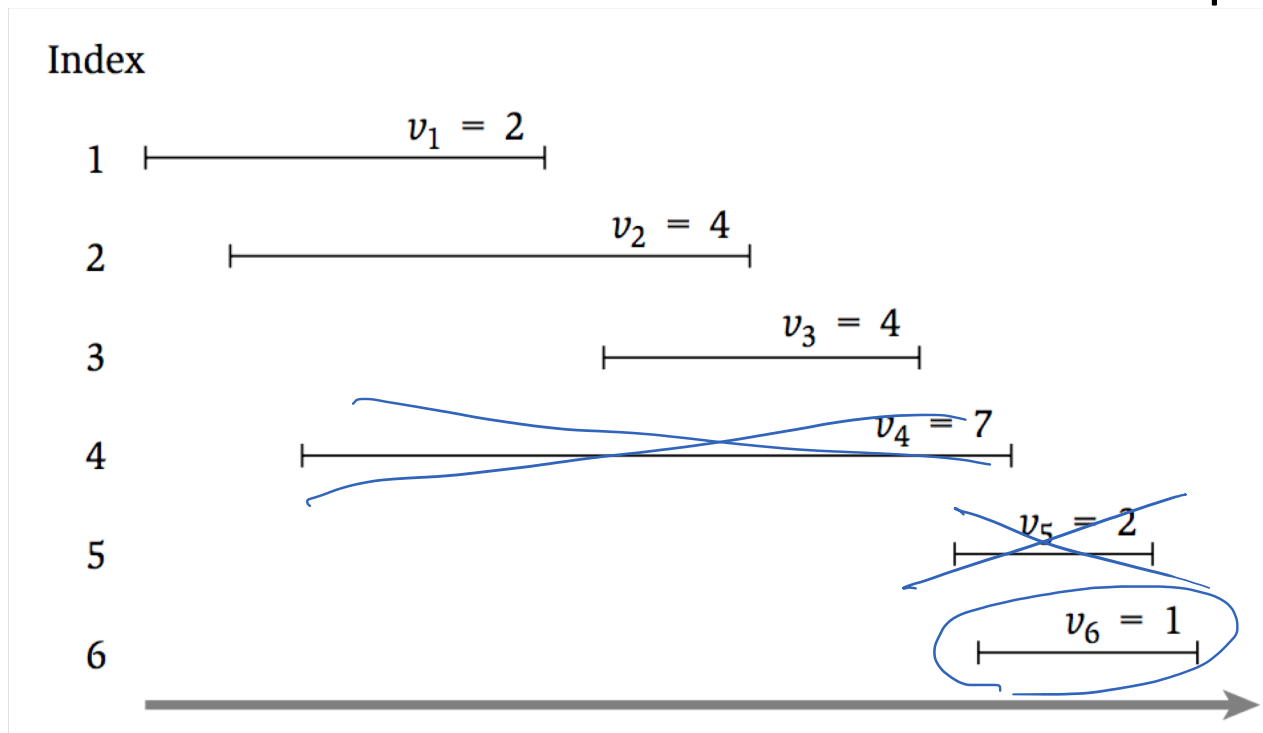
A Recursive Formulation

- Let O be the **optimal** schedule
- **Case 1:** Final interval is not in O (i.e. $6 \notin O$)
 - Then O must be the optimal solution for $\{1, \dots, 5\}$



A Recursive Formulation

- Let O be the **optimal** schedule
- **Case 2:** Final interval is in O (i.e. $6 \in O$)
 - Then O must be $6 +$ the optimal schedule for $\{1, \dots, 3\}$



because 4&5 conflict with 6

A Recursive Formulation

Notation
 $O = O_n$

- Let O_i be the **optimal schedule** using only the intervals $\{1, \dots, i\}$
- **Case 1:** Final interval is not in O ($i \notin O_i$)
 - Then O must be the optimal solution for $\{1, \dots, i - 1\}$
- **Case 2:** Final interval is in O ($i \in O_i$)
 - Assume intervals are sorted so that $f_1 < f_2 < \dots < f_n$
 - Let $p(i)$ be the largest j such that $f_j < s_i$ — ~~conflicts~~
 - Then O must be i + the optimal solution for $\{1, \dots, p(i)\}$

i will conflict with any item j bigger than $p(i)$

A Recursive Formulation

- Let $OPT(i)$ be the **value of the optimal schedule** using only the intervals $\{1, \dots, i\}$
- **Case 1:** Final interval is not in O ($i \notin O$)
 - Then O must be the optimal solution for $\{1, \dots, i - 1\}$
- **Case 2:** Final interval is in O ($i \in O$)
 - Assume intervals are sorted so that $f_1 < f_2 < \dots < f_n$
 - Let $p(i)$ be the largest j such that $f_j < s_i$
 - Then O must be i + the optimal schedule for $\{1, \dots, p(i)\}$

latest (in terms of finish time) interval compatible with i

CASE 1 CASE 2

→

→

$$OPT(i) = \max\{OPT(i - 1), v_i + OPT(p(i))\}$$

→ Recurrence

$$OPT(0) = 0, OPT(1) = v_1$$

How do we solve this recurrence?

Interval Scheduling: Take I

assume p is
computed

```
// All inputs are global vars
FindOPT(n):
  if (n = 0): return 0
  elseif (n = 1): return  $v_1$ 
  else:
    return  $\max\{\text{FindOPT}(n-1), v_n + \text{FindOPT}(p(n))\}$ 
```

- What is the ^{worst case} running time of **FindOPT(n)**? ^{# of recursive calls}

worst case \circ $p(n) = n-1$.

call $\text{FindOPT}(n-1)$ twice

$$O(2^n)$$

Interval Scheduling: Take II

Memorization

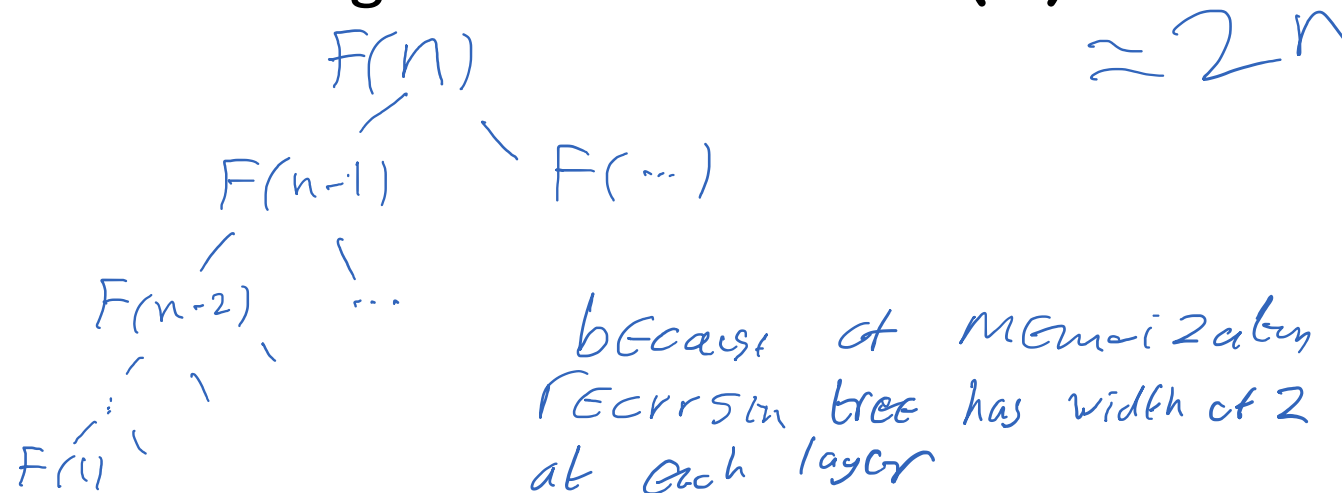
$$M(i) = \text{OPT}(i)$$

```
// All inputs are global vars  
M ← empty array, M[0] ← 0, M[1] ← v1
```

```
FindOPT(n):  
  if (M[n] is not empty): return M[n]  
  else:  
    M[n] ← max{FindOPT(n-1), vn + FindOPT(p(n))}  
  return M[n]
```

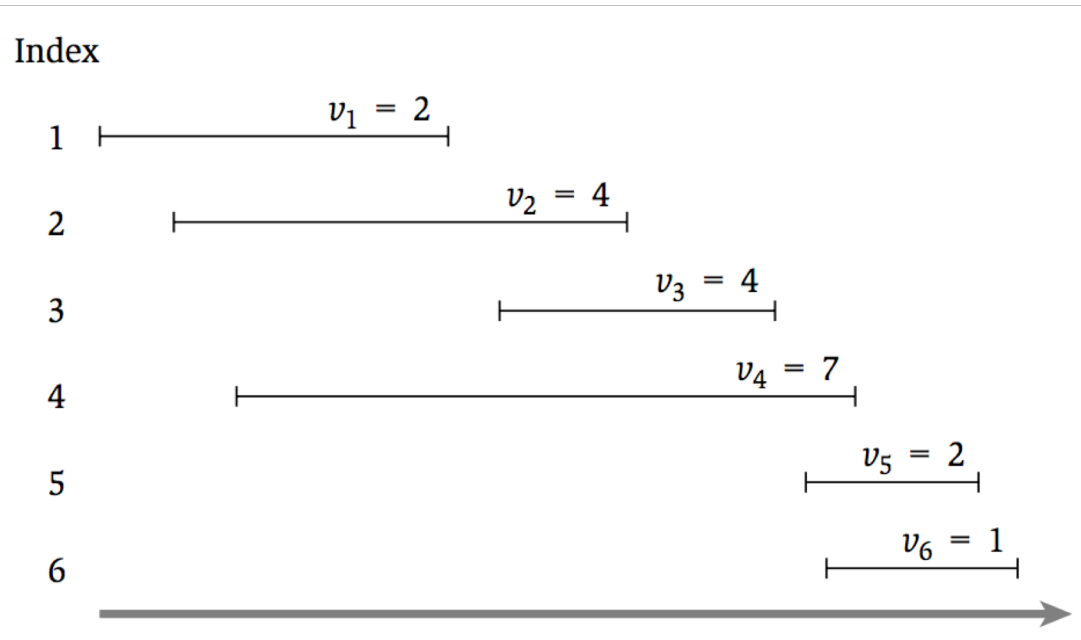
max ind compatible
w/ interval n

- What is the running time of **FindOPT (n)** ?



$$\approx 2^n$$

Interval Scheduling: Take II



```
// All inputs are global vars
M ← empty array, M[0] ← 0, M[1] ← v1
```

```
FindOPT(n):
  if (M[n] is not empty): return M[n]
  else:
    M[n] ← max{FindOPT(n-1), vn + FindOPT(p(n))}
  return M[n]
```

	P[1]	P[2]	P[3]	P[4]	P[5]	P[6]
	0	0	1	0	3	3

p gives indices

M[0]	M[1]	M[2]	M[3]	M[4]	M[5]	M[6]
0	2	4	6	7 or 7+0	7 or 2+M[3]	8 or 1+M[3]

M gives optimal value

$\max(M[1], 4 + M[0])$ $\max(M[2], 4 + M[1])$

Interval Scheduling: Take III

Bottom-up
approach

```
// All inputs are global vars
FindOPT(n):
  M[0] ← 0, M[1] ← 1 v1
  for (i = 2, ..., n):
    M[i] ← max{M[i-1], vi + M[p(i)]}
  return M[n]
```

- What is the running time of **FindOPT (n)** ?

$O(n)$

Sort \circ $n \log n$

compute p .

Can interval scheduling
problem be solved in $O(n)$ time?

Finding the Optimal Solution / Schedule (not just value)

- Let $OPT(i)$ be the **value of the optimal schedule** using only the intervals $\{1, \dots, i\}$
- **Case 1:** Final interval is not in O ($i \notin O$)
- **Case 2:** Final interval is in O ($i \in O$)
- $OPT(i) = \max\{OPT(i-1), v_n + OPT(p(i))\}$

Interval Scheduling: Take III

$M = \{1, 3, 5\}$

assume you have M

```
// All inputs are global vars
```

```
FindSched(M, n):
```

```
  if (n = 0): return  $\emptyset$ 
```

```
  elseif (n = 1): return {1}
```

```
  elseif ( $v_n + M[p(n)] > M[n-1]$ ):
```

```
    return {n} + FindSched(M, p(n))
```

```
  else:
```

```
    return FindSched(M, n-1)
```

Schedule containing only first interval

CASE 2

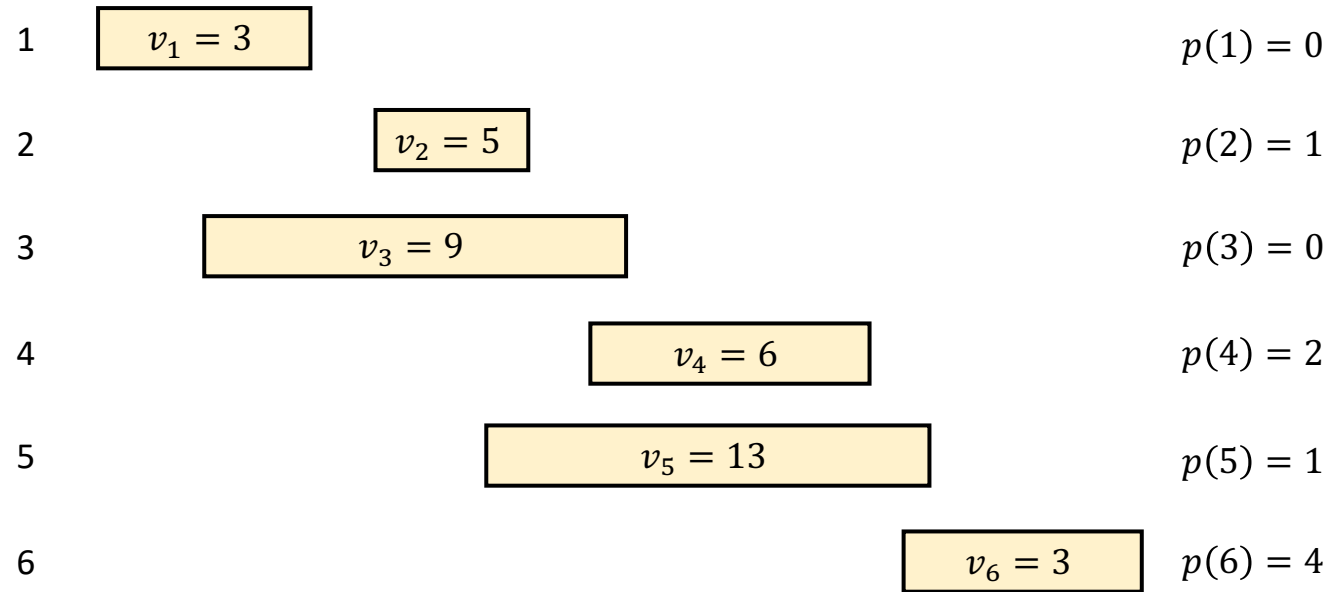
CASE 1

- What is the running time of **FindSched(n)**?

$O(n)$.

To ponder: can you find opt sched & value together?

Now You Try



M[0]	M[1]	M[2]	M[3]	M[4]	M[5]	M[6]

Dynamic Programming Recap

- Express the optimal solution as a **recurrence**
 - Identify a small number of **subproblems**
 - Relate the optimal solution on subproblems
- Efficiently solve for the **value** of the optimum
 - Simple implementation is exponential time
 - **Top-Down**: store solution to subproblems
 - **Bottom-Up**: iterate through subproblems in order
- Find the **solution** using the table of **values**