

# CS3000: Algorithms & Data

## Paul Hand

### Lecture 9:

- Dynamic Programming
- Interval Scheduling

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# Dynamic Programming

# Dynamic Programming

## Dynamic programming is careful recursion

- Break the problem up into small pieces
- Recursively solve the smaller pieces
- Store outcomes of smaller pieces that get called multiple times
- **Key Challenge:** identifying the pieces

# Dynamic Programming: Interval Scheduling

# Interval Scheduling

- How can we optimally schedule a resource?
  - This classroom, a computing cluster, ...
- **Input:**  $n$  intervals  $(s_i, f_i)$  each with value  $v_i$ 
  - Assume intervals are sorted so  $f_1 < f_2 < \dots < f_n$
- **Output:** a compatible schedule  $S$  maximizing the total value of all intervals
  - A **schedule** is a subset of intervals  $S \subseteq \{1, \dots, n\}$
  - A schedule  $S$  is **compatible** if no  $i, j \in S$  overlap
  - The **total value** of  $S$  is  $\sum_{i \in S} v_i$

# Interval Scheduling:

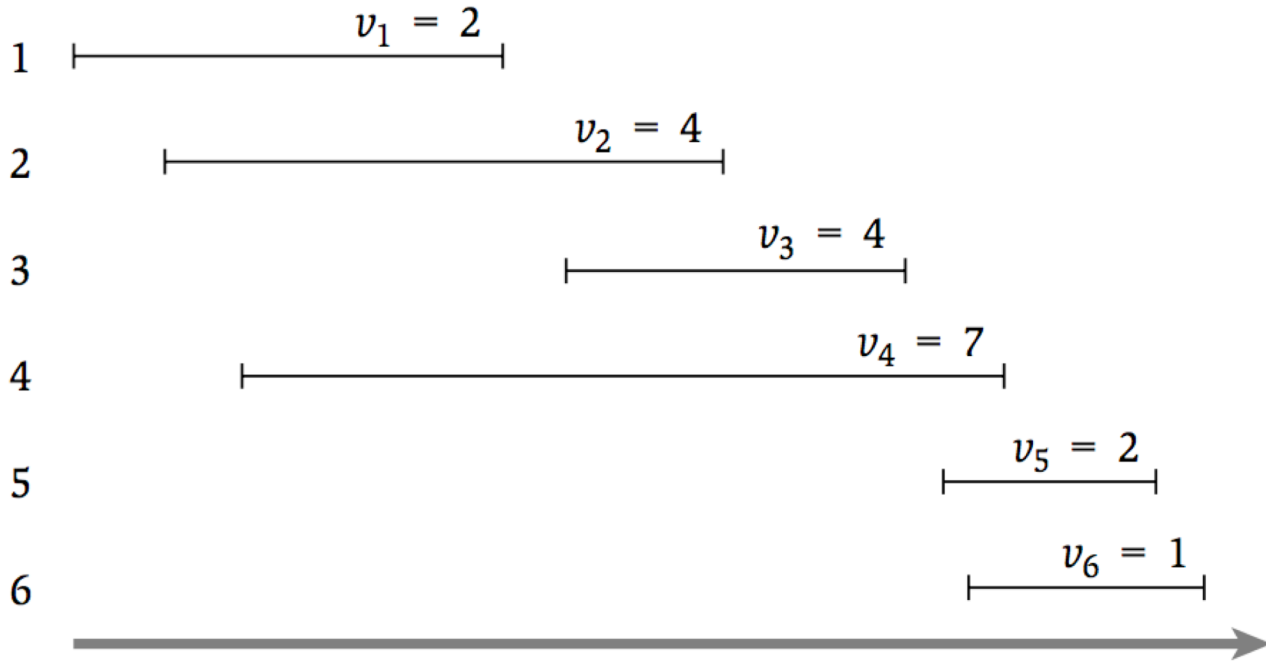
A **schedule** is a subset of intervals  $S \subseteq \{1, \dots, n\}$

A schedule  $S$  is **compatible** if no  $i, j \in S$  overlap

The **total value** of  $S$  is  $\sum_{i \in S} v_i$

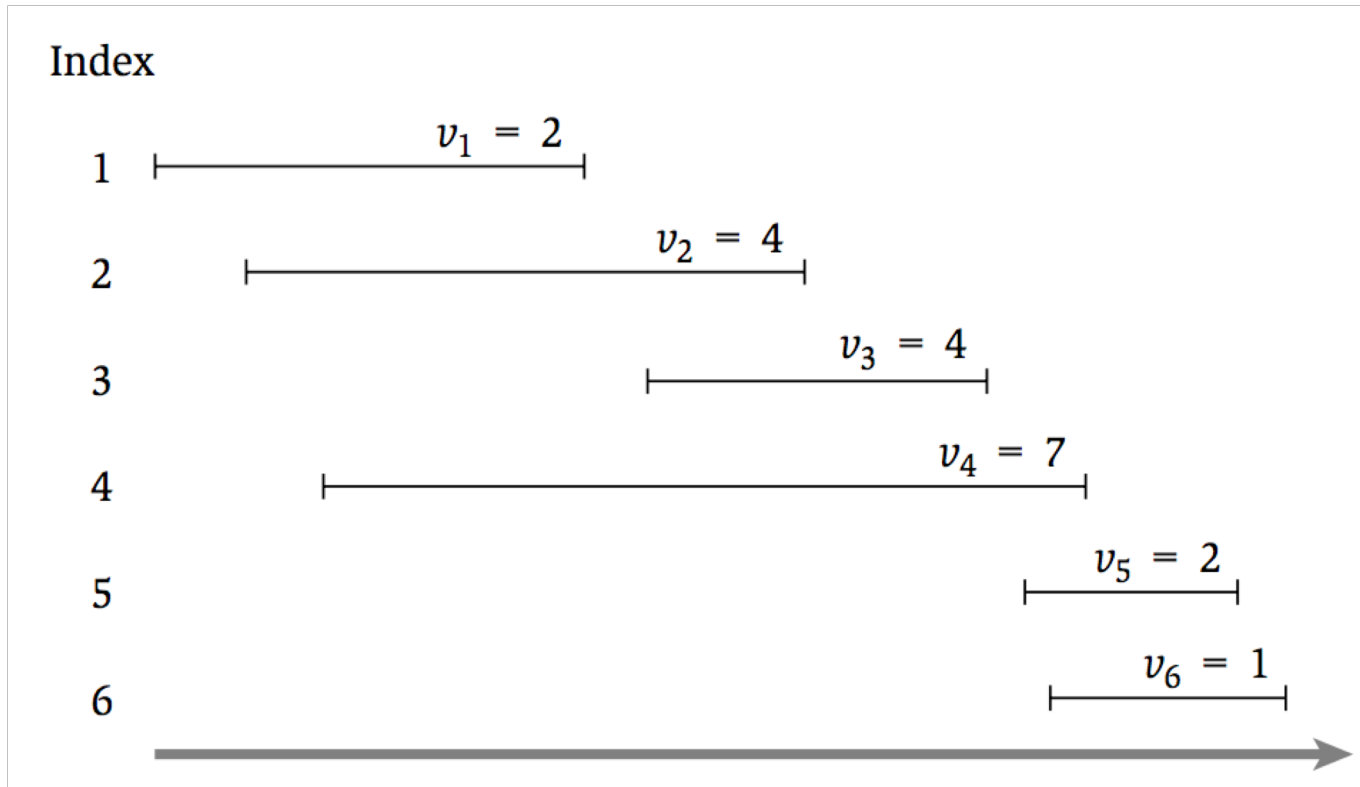
Activity: Find the schedule that maximizes the total value of the intervals.

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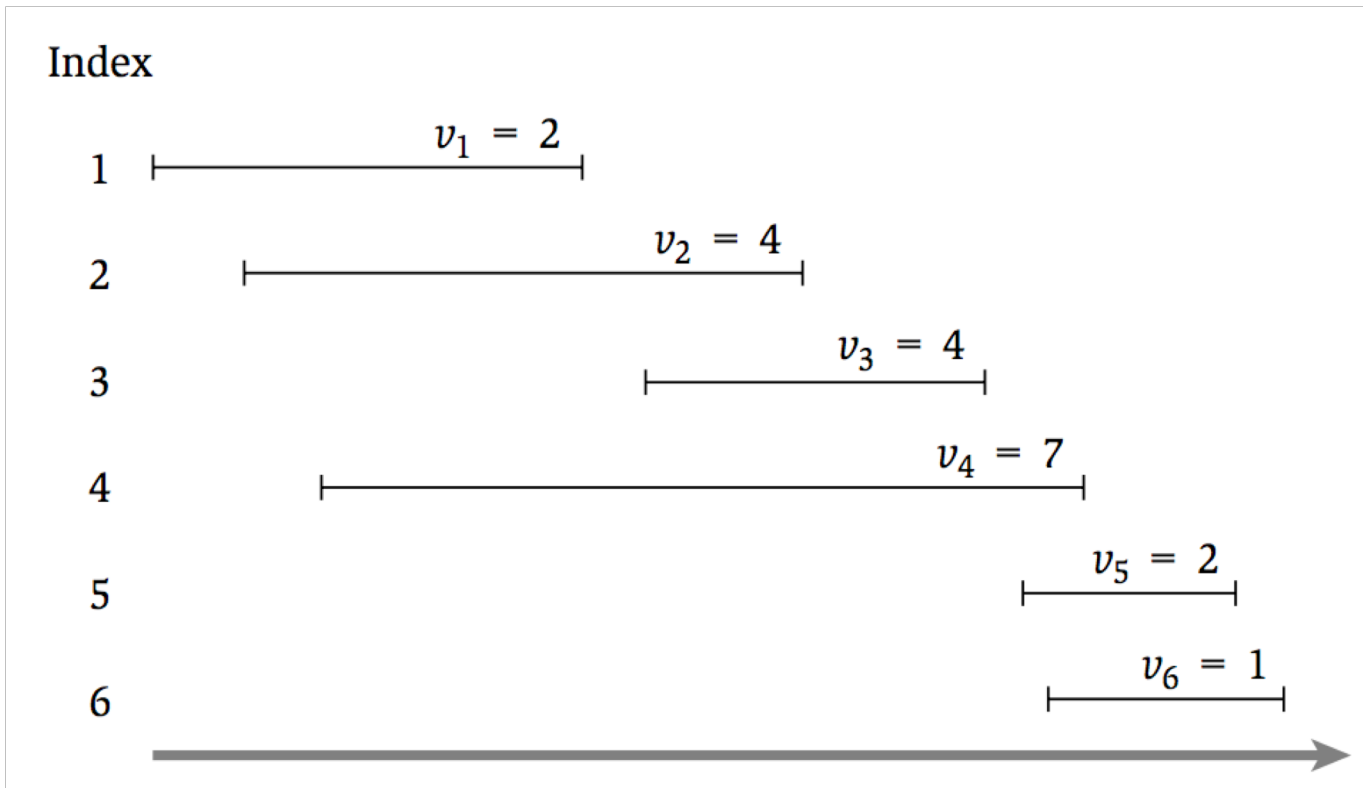
# Possible Algorithms

- Choose intervals in decreasing order of  $v_i$



# Possible Algorithms

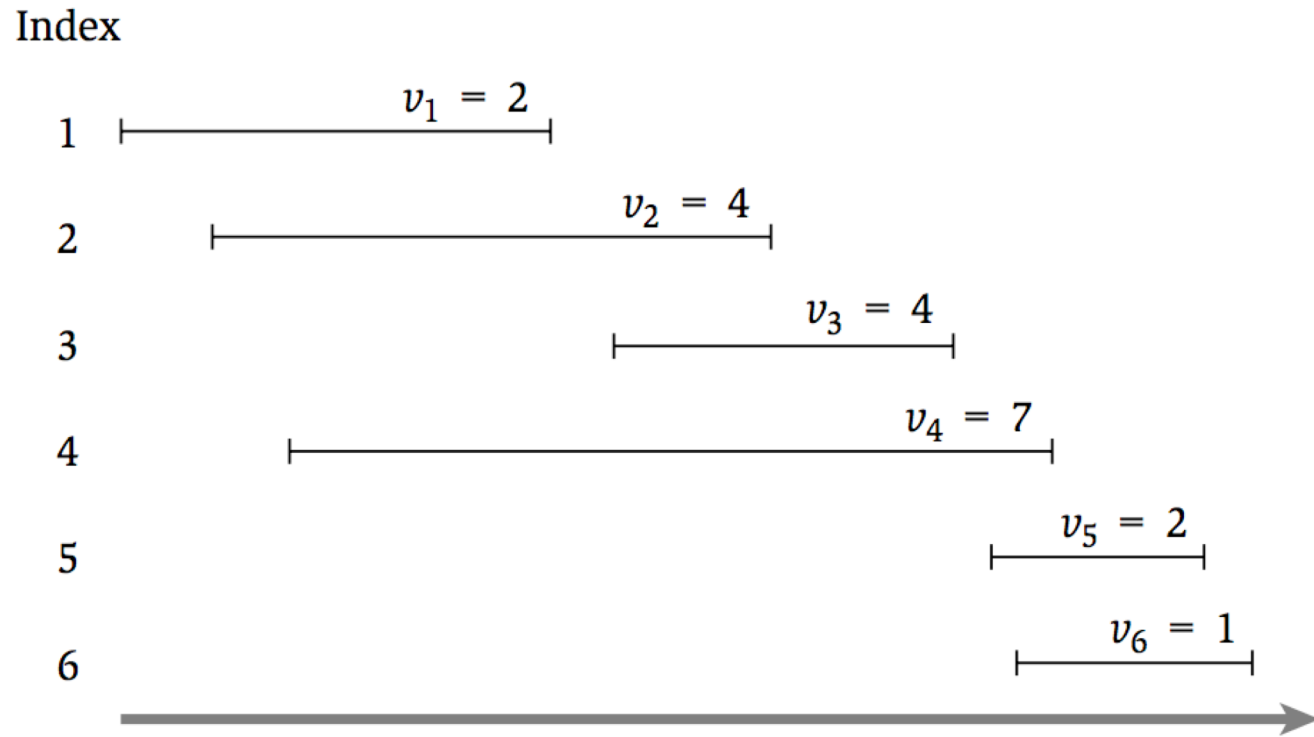
- Choose intervals in increasing order of  $s_i$





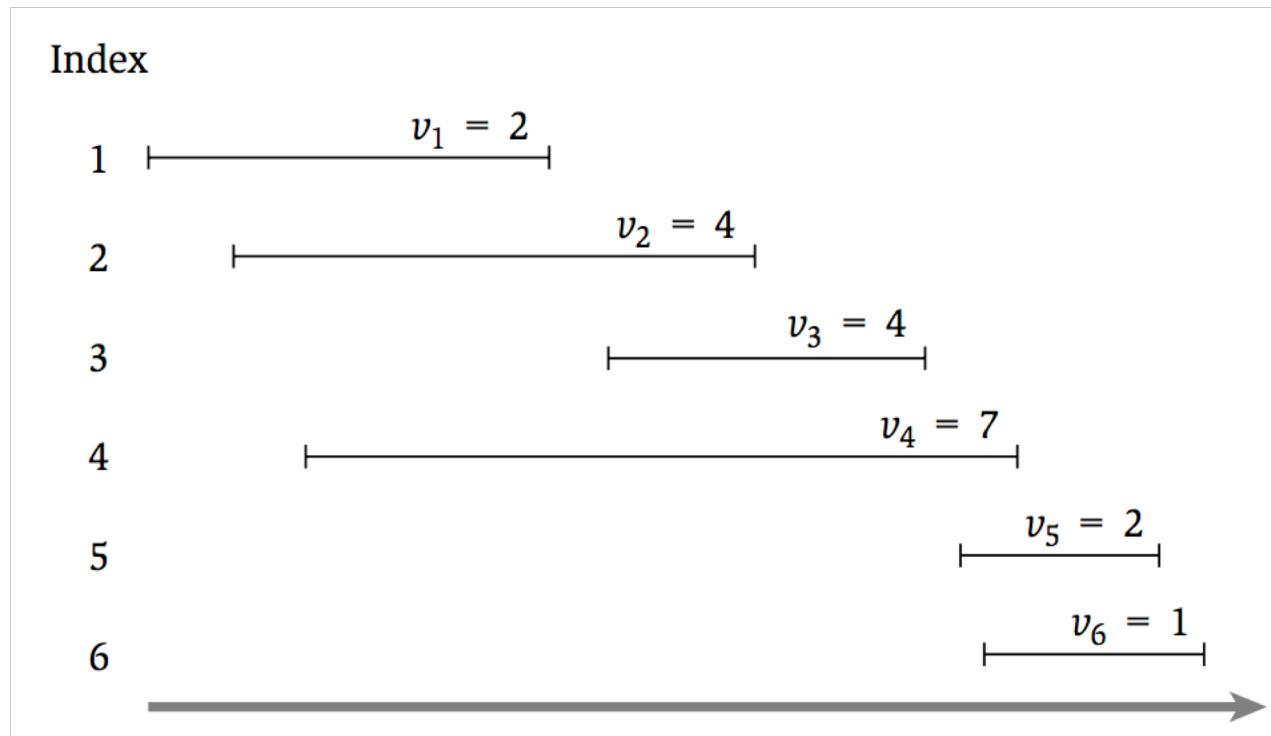
# Possible Algorithms

- Choose intervals in increasing order of  $f_i - s_i$



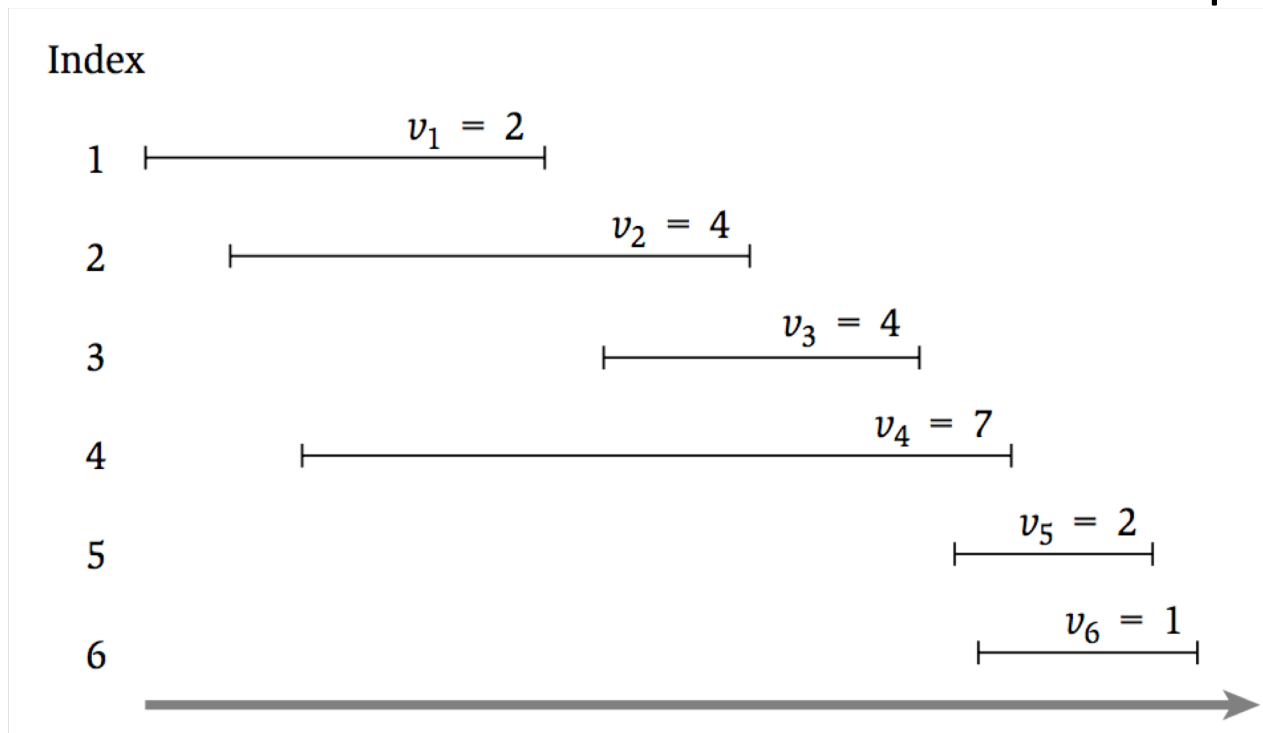
# A Recursive Formulation

- Let  $O$  be the **optimal** schedule
- **Case 1:** Final interval is not in  $O$  (i.e.  $6 \notin O$ )
  - Then  $O$  must be the optimal solution for  $\{1, \dots, 5\}$



# A Recursive Formulation

- Let  $O$  be the **optimal** schedule
- **Case 2:** Final interval is in  $O$  (i.e.  $6 \in O$ )
  - Then  $O$  must be  $6 +$  the optimal schedule for  $\{1, \dots, 3\}$



# A Recursive Formulation

- Let  $O_i$  be the **optimal schedule** using only the intervals  $\{1, \dots, i\}$
- **Case 1:** Final interval is not in  $O$  ( $i \notin O$ )
  - Then  $O$  must be the optimal solution for  $\{1, \dots, i - 1\}$
- **Case 2:** Final interval is in  $O$  ( $i \in O$ )
  - Assume intervals are sorted so that  $f_1 < f_2 < \dots < f_n$
  - Let  $p(i)$  be the largest  $j$  such that  $f_j < s_i$
  - Then  $O$  must be  $i$  + the optimal solution for  $\{1, \dots, p(i)\}$

# A Recursive Formulation

- Let  $OPT(i)$  be the **value of the optimal schedule** using only the intervals  $\{1, \dots, i\}$
  - **Case 1:** Final interval is not in  $O$  ( $i \notin O$ )
    - Then  $O$  must be the optimal solution for  $\{1, \dots, i - 1\}$
  - **Case 2:** Final interval is in  $O$  ( $i \in O$ )
    - Assume intervals are sorted so that  $f_1 < f_2 < \dots < f_n$
    - Let  $p(i)$  be the largest  $j$  such that  $f_j < s_i$
    - Then  $O$  must be  $i$  + the optimal schedule for  $\{1, \dots, p(i)\}$
- 
- $OPT(i) = \max\{OPT(i - 1), v_i + OPT(p(i))\}$
  - $OPT(0) = 0, OPT(1) = v_1$

# Interval Scheduling: Take I

```
// All inputs are global vars
FindOPT(n):
  if (n = 0): return 0
  elseif (n = 1): return  $v_1$ 
  else:
    return  $\max\{\text{FindOPT}(n-1), v_n + \text{FindOPT}(p(n))\}$ 
```

- What is the running time of **FindOPT** (n) ?

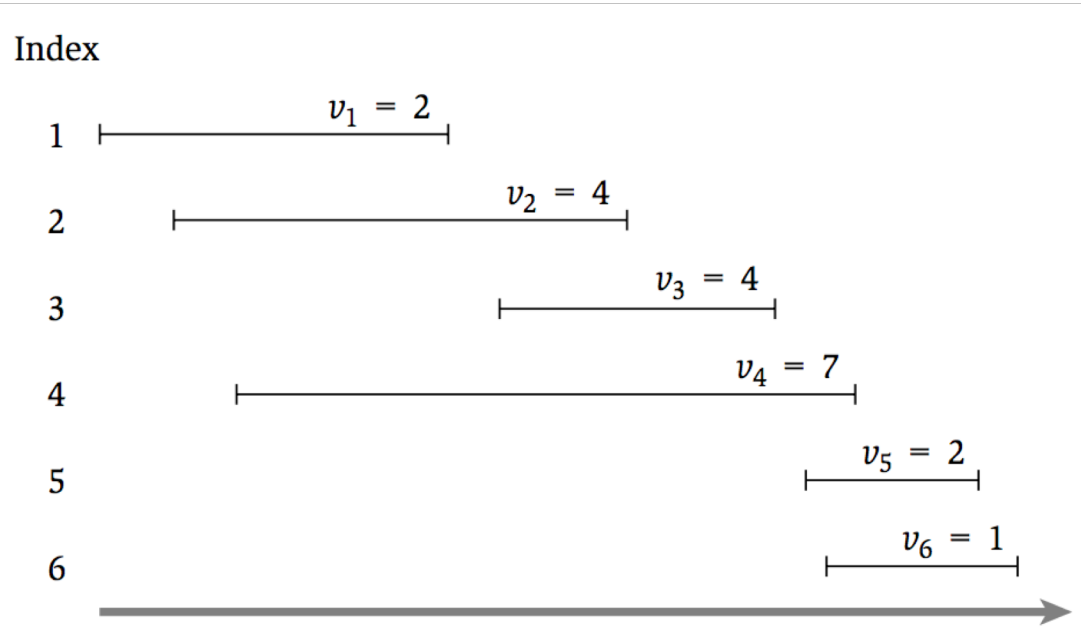
# Interval Scheduling: Take II

```
// All inputs are global vars
M ← empty array, M[0] ← 0, M[1] ← v1

FindOPT(n) :
  if (M[n] is not empty): return M[n]
  else:
    M[n] ← max{FindOPT(n-1), vn + FindOPT(p(n))}
  return M[n]
```

- What is the running time of **FindOPT (n)** ?

# Interval Scheduling: Take II



```
// All inputs are global vars
```

```
M ← empty array, M[0] ← 0, M[1] ← v1
```

```
FindOPT(n):
```

```
  if (M[n] is not empty): return M[n]
```

```
  else:
```

```
    M[n] ← max{FindOPT(n-1), vn + FindOPT(p(n))}
```

```
  return M[n]
```

	P[1]	P[2]	P[3]	P[4]	P[5]	P[6]
M[0]	M[1]	M[2]	M[3]	M[4]	M[5]	M[6]



# Interval Scheduling: Take III

```
// All inputs are global vars
FindOPT(n):
  M[0] ← 0, M[1] ← 1
  for (i = 2, ..., n):
    M[i] ← max{M[i-1], vi + M[p(i)]}
  return M[n]
```

- What is the running time of **FindOPT (n)** ?

# Finding the Optimal Solution

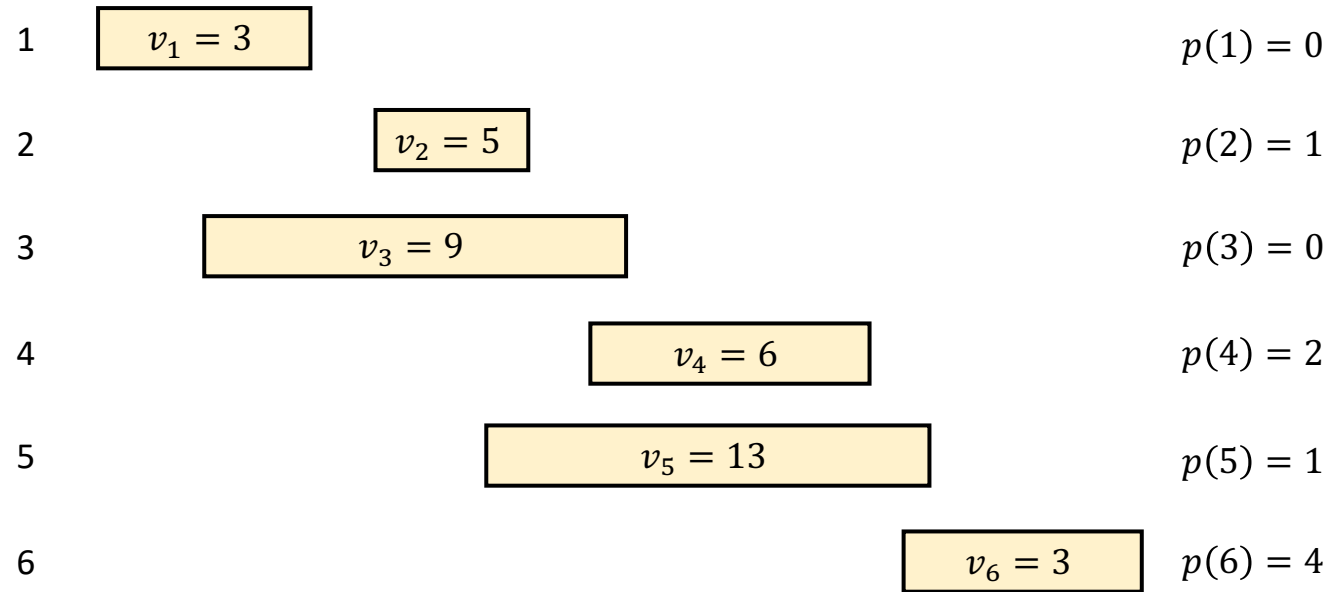
- Let  $OPT(i)$  be the **value of the optimal schedule** using only the intervals  $\{1, \dots, i\}$
- **Case 1:** Final interval is not in  $O$  ( $i \notin O$ )
- **Case 2:** Final interval is in  $O$  ( $i \in O$ )
- $OPT(i) = \max\{OPT(i - 1), v_n + OPT(p(i))\}$

# Interval Scheduling: Take III

```
// All inputs are global vars
FindSched(M,n) :
  if (n = 0): return  $\emptyset$ 
  elseif (n = 1): return {1}
  elseif ( $v_n + M[p(n)] > M[n-1]$ ):
    return {n} + FindSched(M,p(n))
  else:
    return FindSched(M,n-1)
```

- What is the running time of **FindSched(n)** ?

# Now You Try



M[0]	M[1]	M[2]	M[3]	M[4]	M[5]	M[6]

# Dynamic Programming Recap

- Express the optimal solution as a **recurrence**
  - Identify a small number of **subproblems**
  - Relate the optimal solution on subproblems
- Efficiently solve for the **value** of the optimum
  - Simple implementation is exponential time
  - **Top-Down**: store solution to subproblems
  - **Bottom-Up**: iterate through subproblems in order
- Find the **solution** using the table of **values**