

# CS3000: Algorithms & Data

## Paul Hand

### Lecture 6:

- Master Theorem for Recurrences
- Integer Multiplication
- Divide and Conquer Example – Similar to HW

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# Solving Recurrences: “The Master Theorem”

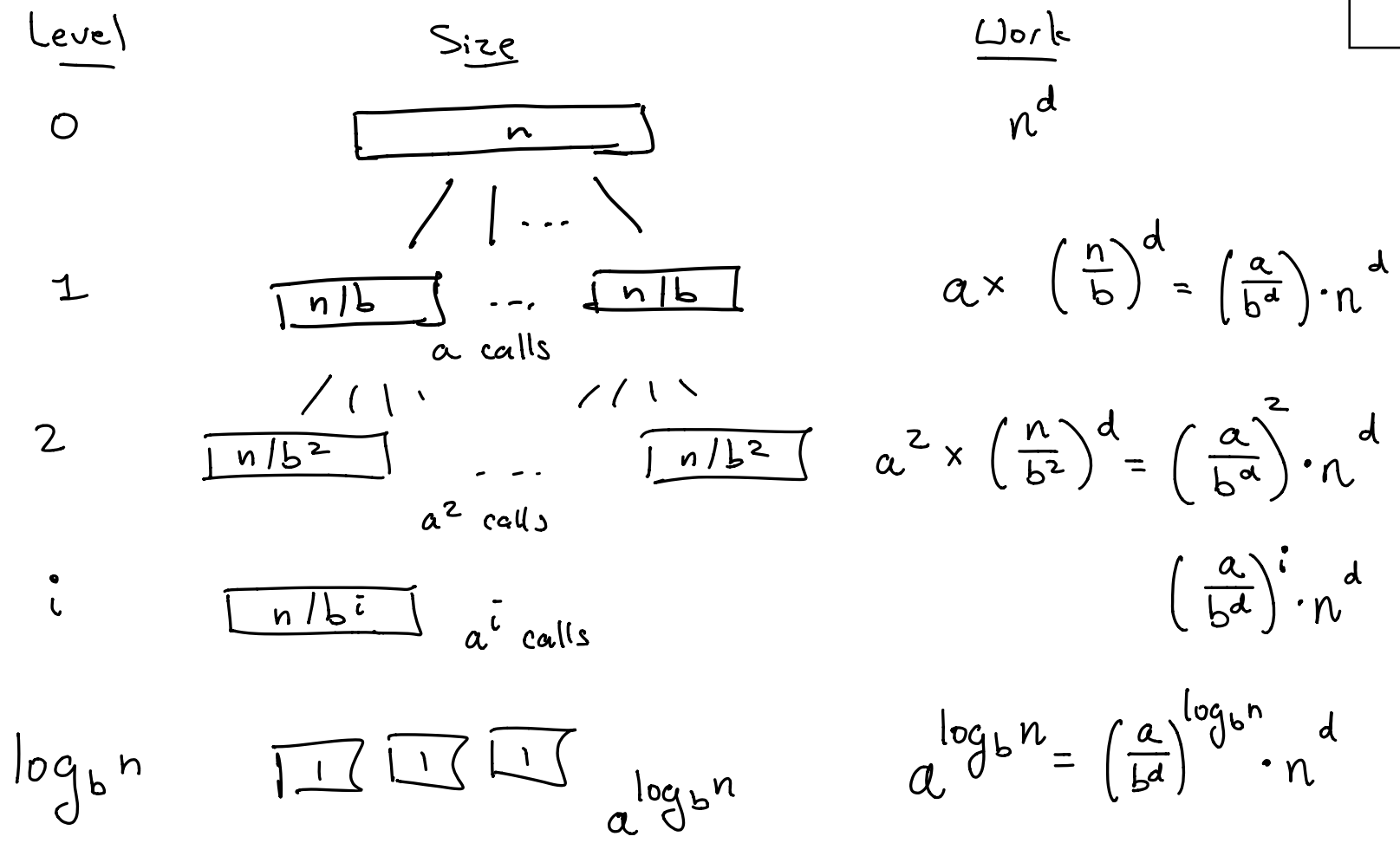
# The “Master Theorem”

- Generic divide-and-conquer algorithm:
  - Split into  $a$  pieces of size  $\frac{n}{b}$  and merge in time  $O(n^d)$
- Recipe for recurrences of the form:
  - $T(n) = a \cdot T(n/b) + Cn^d$
- Three cases:
  - $\left(\frac{a}{b^d}\right) > 1 : T(n) = \Theta(n^{\log_b a})$
  - $\left(\frac{a}{b^d}\right) = 1 : T(n) = \Theta(n^d \log n)$
  - $\left(\frac{a}{b^d}\right) < 1 : T(n) = \Theta(n^d)$

# Recursion Tree

$$T(n) = aT(n/b) + n^d$$

$$S = \sum_{i=0}^{\ell} r^i = \frac{r^{\ell+1} - 1}{r - 1}$$



# Recursion Tree

- $T(n) = aT(n/b) + n^d$
- $\left(\frac{a}{b^d}\right) > 1$

$$\sum_{i=0}^{\log_b n} \left(\frac{a}{b^d}\right)^i n^d = n^d \frac{\left(\frac{a}{b^d}\right)^{\log_b n + 1} - 1}{\left(\frac{a}{b^d}\right) - 1} = \Theta\left(\frac{a}{b^d}^{\log_b n} \cdot n^d\right)$$

apply geometric summation

$$= \Theta\left(n^{\log_b a - d} \cdot n^d\right) = \Theta\left(n^{\log_b a}\right)$$

most expensive level of recursion tree is the last

Use  $\left(\frac{a}{b^d}\right)^{\log_b n} = n^{\log_b \left(\frac{a}{b^d}\right)}$

$$= n^{\log_b a - d}$$

Fact:  $x^{\log_b n} = n^{\log_b x}$

Why?

$$x^{\log_b n} = b^{\log_b (x^{\log_b n})} = b^{\log_b n \log_b x} = (b^{\log_b n})^{\log_b x} = n^{\log_b x}$$

$$T(n) = \Theta\left(n^{\log_b a}\right)$$

# Recursion Tree

- $T(n) = aT(n/b) + n^d$
- $\left(\frac{a}{b^d}\right) = 1$

$$\sum_{i=0}^{\log_b n} \left(\frac{a}{b^d}\right)^i n^d = \sum_{i=0}^{\log_b n} n^d = \Theta(\log_b n \cdot n^d)$$
$$= \Theta(n^d \log_b n)$$

all levels  
of tree are  
equally expensive

# Recursion Tree

- $T(n) = aT(n/b) + n^d$
- $\left(\frac{a}{b^d}\right) < 1$

$$\sum_{i=0}^{\log_b n} \left(\frac{a}{b^d}\right)^i n^d = n^d \frac{\left(\frac{a}{b^d}\right)^{\log_b n + 1} - 1}{\left(\frac{a}{b^d}\right) - 1} = \Theta(n^d)$$

apply geometric summation

because  $\left(\frac{a}{b^d}\right) < 1$ ,

$\left(\frac{a}{b^d}\right)^{\log_b n} \rightarrow 0$  as  $n \rightarrow \infty$

expensive part is  
at the  $0^{\text{th}}$  level  
of recursion tree

# Practice

- Use the Master Theorem to Solve:

- $T(n) = 16 \cdot T\left(\frac{n}{4}\right) + n^2$

- $T(n) = 21 \cdot T\left(\frac{n}{5}\right) + n^2$

- $T(n) = 2 \cdot T\left(\frac{n}{2}\right) + 1$

- $T(n) = 1 \cdot T\left(\frac{n}{2}\right) + 1$

- Recipe for recurrences of the form:

- $T(n) = a \cdot T(n/b) + Cn^d$

- Three cases:

- $\left(\frac{a}{b^d}\right) > 1 : T(n) = \Theta(n^{\log_b a})$

- $\left(\frac{a}{b^d}\right) = 1 : T(n) = \Theta(n^d \log n)$

- $\left(\frac{a}{b^d}\right) < 1 : T(n) = \Theta(n^d)$



# Integer Multiplication: Karatsuba's Algorithm

# Activity: Arithmetic Algorithms

What is the time complexity of the grade school algorithm for the following?

- Given  $n$ -digit numbers  $x, y$  output  $x + y$

$$\begin{array}{rcccc} & 1 & 2 & 3 & 4 \\ + & 1 & 1 & 2 & 2 \\ \hline = & & & & \end{array}$$

- Given  $n$ -digit numbers  $x, y$  output  $x \cdot y$

$$\begin{array}{rcccc} & 1 & 2 & 3 & 4 \\ \times & 1 & 1 & 2 & 2 \\ \hline = & & & & \end{array}$$

# Divide and Conquer Multiplication

	1	2	3	4
x	1	1	2	2

$$x = 10^2 \cdot 12 + 34$$

$$y = 10^2 \cdot 11 + 22$$

	<b><i>a</i></b>	<b><i>b</i></b>
x	<b><i>c</i></b>	<b><i>d</i></b>

$$x = 10^{n/2}a + b$$

$$y = 10^{n/2}c + d$$

# Divide and Conquer Multiplication



$$x = 10^{n/2}a + b$$

$$y = 10^{n/2}c + d$$

$$\begin{aligned}x \cdot y &= (10^{n/2}a + b)(10^{n/2}c + d) \\ &= 10^n ac + 10^{n/2}(ad + bc) + bd\end{aligned}$$

- Four  $n/2$ -digit mults, three  $n$ -digit adds
  - Multiplying by  $10^n$  is “free” because it’s a shift
- Recurrence:  $T(n) = 4T\left(\frac{n}{2}\right) + 3n$
- Total cost of algorithm

## Master Theorem for Recurrences

- Recipe for recurrences of the form:
  - $T(n) = a \cdot T(n/b) + Cn^d$
- Three cases:
  - $\left(\frac{a}{b^d}\right) > 1 : T(n) = \Theta(n^{\log_b a})$
  - $\left(\frac{a}{b^d}\right) = 1 : T(n) = \Theta(n^d \log n)$
  - $\left(\frac{a}{b^d}\right) < 1 : T(n) = \Theta(n^d)$

# Karatsuba's Algorithm



$$x = 10^{n/2}a + b$$

$$y = 10^{n/2}c + d$$

$$x \cdot y = 10^n ac + 10^{n/2}(ad + bc) + bd$$

- Key Identity

- $(b - a)(c - d) = ad + bc - ac - bd$

- Only three  $n/2$ -digit mults (plus some adds)!

# Karatsuba's Algorithm

- **Claim:** The algorithm **Karatsuba** is correct

```
Karatsuba(x, y, n) :  
  If (n = 1): Return  $x \cdot y$            // Base Case  
  
  Let  $m \leftarrow \lfloor n/2 \rfloor$            // Split  
  Write  $x = 10^m a + b$ ,  $y = 10^m c + d$   
  
  Let  $e \leftarrow \text{Karatsuba}(a, c, m)$      // Recurse  
       $f \leftarrow \text{Karatsuba}(b, d, m)$   
       $g \leftarrow \text{Karatsuba}(b-a, c-d, m)$   
  
  Return  $10^{2m}e + 10^m(e + f + g) + f$  // Merge
```

# Running Time of Karatsuba

**Karatsuba** ( $x, y, n$ ) :

**If** ( $n = 1$ ) : **Return**  $x \cdot y$

**Let**  $m \leftarrow \lfloor n/2 \rfloor$

**Write**  $x = 10^m a + b, y = 10^m c + d$

**Let**  $e \leftarrow \text{Karatsuba}(a, c, m)$

$f \leftarrow \text{Karatsuba}(b, d, m)$

$g \leftarrow \text{Karatsuba}(b-a, c-d, m)$

**Return**  $10^{2m}e + 10^m(e + f + g) + f$

## Master Theorem for Recurrences

- Recipe for recurrences of the form:

- $T(n) = a \cdot T(n/b) + Cn^d$

- Three cases:

- $\left(\frac{a}{b^d}\right) > 1 : T(n) = \Theta(n^{\log_b a})$

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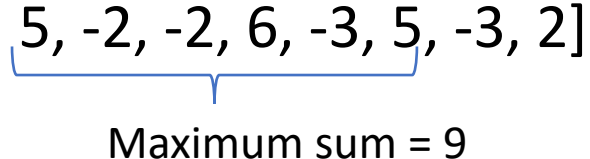
# Karatsuba Wrapup

- Multiply  $n$  digit numbers in  $O(n^{1.59})$  time
  - Improves over naïve  $O(n^2)$  time algorithm
  - **Fast Fourier Transform:** multiply in  $\approx O(n \log n)$  time
- Divide-and-conquer approach
  - Uses a clever algebraic trick to split
  - **Key Fact:** adding is faster than multiplying
- Prove correctness via induction
- Analyze running time via recursion tree
  - $T(n) = 3T(n/2) + Cn$



Practice Problem:  
Maximum Sum Subarray Problem

# Maximum Sum Subarray Problem

- **Input:** Array  $A[1:n]$  of integers
- **Problem:** Find a subarray  $A[i:j]$  with the largest possible sum
- **Example:**  $A = [3, -4, 5, -2, -2, 6, -3, 5, -3, 2]$   


Maximum sum = 9
- **Task:** Devise a divide and conquer algorithm to solve this problem. Consider an algorithm that divides  $A$  into two halves.



