# CS5310 <br> Graduate Computer Graphics 

Prof. Harriet Fell Spring 2011<br>Lecture 8 - March 16, 2011

## Today's Topics

- A little more Noise
- Gouraud and Phong Shading
- Color Perception mostly ala Shirley et al.
- Light - Radiometry
- Color Theory
- Visual Perception


## Fire



## Plane Flame Code (MATLAB)

$\mathrm{w}=300 ; \quad \mathrm{h}=\mathrm{w}+\mathrm{w} / 2 ; \quad \mathrm{x}=1: \mathrm{w} ; \quad \mathrm{y}=1: \mathrm{h} ;$
flameColor $=\operatorname{zeros}(\mathrm{w}, 3) ; \%$ Set a color for each x flameColor(x,:)=...
[1-2*abs(w/2-x)/w; max(0,1-4*abs(w/2-x)/w); zeros(1,w)]';
flame $=$ zeros(h,w,3); \% Set colors for whole flame
$\% 1<=\mathrm{x}=\mathrm{j}<=300=\mathrm{h}, 1<=\mathrm{y}=451-\mathrm{i}<=450=\mathrm{h}+\mathrm{h} / 2$
for $\mathrm{i}=1: \mathrm{h}$
for $\mathrm{j}=1$ :w
flame(i,j,:)=(1-(h-i)/h)*flameColor(j,:);
end
end

## Turbulent Flame Code (MATLAB)

for $u=1: 450$
for $v=1: 300$
$\mathrm{x}=\operatorname{round}\left(\mathrm{u}+80^{*} \operatorname{Tarray}(\mathrm{u}, \mathrm{v}, 1)\right) ; \mathrm{x}=\max (\mathrm{x}, 2) ; \mathrm{x}=\min (\mathrm{x}, 449)$;
$\mathrm{y}=\operatorname{round}\left(\mathrm{v}+80^{*} \operatorname{Tarray}(\mathrm{u}, \mathrm{v}, 2)\right) ; \mathrm{y}=\max (\mathrm{y}, 2) ; \mathrm{y}=\min (\mathrm{y}, 299)$;
flame2(u,v,:) = flame(x,y,:); end
end

```
function Tarray = turbulenceArray(m,n)
noise1 = rand(39,39);
noise2 = rand(39,39);
noise3 = rand(39,39);
divisor = 64;
Tarray = zeros(m,n);
for i= 1:m
    for j = 1:n
    Tarray(i,j,1) = LinearTurbulence2(i/divisor, j/divisor, noise1, divisor);
    Tarray(i,j,2) = LinearTurbulence2(i/divisor, j/divisor, noise2, divisor);
    Tarray(i,j,3) = LinearTurbulence2(i/divisor, j/divisor, noise3, divisor);
    end
end
```


## Flat Shading

- A single normal vector is used for each polygon.
- The object appears to have facets.

http://en.wikipedia.org/wiki/Phong_shading


## Gouraud Shading

- Average the normals for all the polygons that meet a vertex to calculate its surface normal.
- Compute the color intensities at vertices base on the Lambertian diffuse lighting model.
- Average the color intensities across the faces.


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## Phong Shading

- Gouraud shading lacks specular highlights except near the vertices.
- Phong shading eliminates these problems.
- Compute vertex normals as in Gouraud shading.
- Interpolate vertex normals to compute normals at each point to be rendered.
- Use these normals to compute the Lambertian diffuse lighting.

http://en.wikipedia.org/wiki/Phong_shading


## Color Systems

- RGB
- CMYK
- HVS
- YIQ
- $\mathrm{CIE}=\mathrm{XYZ}$ for standardized color


## Light - Radiometry

## Things You Can Measure

- Think of light as made up of a large number of photons.
- A photon has position, direction, and wavelength $\lambda$.
- $\lambda$ is usually measured in nanometers
- $1 \mathrm{~nm}=10^{-9} \mathrm{~m}=10$ angstroms
- A photon has a speed $c$ that depends only on the refractive index of the medium.
- The frequency $f=c / \lambda$.
- The frequency does not change with medium.


## Spectral Energy

- The energy $q$ of a photon is given by

$$
q=h f=\frac{h c}{\lambda}
$$

- $h=6.63^{*} 10^{-34} \mathrm{Js}$, is Planck's Constant.

$$
Q_{\lambda}[\Delta \lambda]=\frac{\sum_{\substack{\text { all photons with } \\ \text { wavelenth with within } \\ \Delta \lambda / 2 \text { of } \lambda}} q(\text { photon })}{\Delta \lambda}
$$

## Spectral Energy

$$
Q_{\lambda}=\lim _{\Delta \lambda \rightarrow 0} Q_{\lambda}[\Delta \lambda]
$$

We just use small $\Delta \lambda$ for computation, but not so small that the quantum nature of light interferes.

For theory we let $\Delta \lambda \rightarrow 0$.

## Radiance

- Radiance and spectral radiance describe the amount of light that passes through or is emitted from a particular area, and falls within a given solid angle in a specified direction.
- Radiance characterizes total emission or reflection, while spectral radiance characterizes the light at a single wavelength or frequency.
- http://en.wikipedia.org/wiki/Radiance


## Radiance Definition

Radiance is defined by

$$
L=\frac{d^{2} \Phi}{d A d \Omega \cos \theta} \simeq \frac{\Phi}{\Omega A \cos \theta}
$$

where
the approximation holds for small $A$ and $\Omega$,
$L$ is the radiance ( $\mathrm{W} \cdot \mathrm{m}^{-2} \cdot \mathrm{sr}^{-1}$ ), $\Phi$ is the radiant flux or power (W), $\theta$ is the angle between the surface normal and the specified direction,
$A$ is the area of the source ( $\mathrm{m}^{2}$ ), and
$\Omega$ is the solid angle (sr).
The spectral radiance (radiance per unit wavelength) is written $L_{\lambda}$.

| SI radiometry units |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Quantity | Symbol | SI unit | Abbr. | Notes |
| $\frac{\text { Radiant }}{\text { energy }}$ | Q | joule | $\checkmark$ | energy |
| Radiant flux | Ф | watt | W | radiant energy per unit time, also called radiant power |
| Radiant intensity | I | watt per steradian | $\mathrm{W} \cdot \mathrm{sr}^{-1}$ | power per unit solid angle |
| Radiance | L | $\frac{\text { watt per }}{\frac{\text { steradian }}{\text { per }}}$$\frac{\text { square }}{\text { metre }}$ | $\mathrm{W} \cdot \mathrm{sr}^{-1} \cdot \mathrm{~m}^{-2}$ | power per unit solid angle per unit projected source area. |


| Irradiance | E | watt per square metre | $\mathrm{W} \cdot \mathrm{m}^{-2}$ | power incident on a surface. <br> Sometimes confusingly called "intensity". |
| :---: | :---: | :---: | :---: | :---: |
| Radiant emittance / Radiant exitance | M | watt per square metre | $\mathrm{W} \cdot \mathrm{m}^{-2}$ | power emitted from a surface. <br> Sometimes confusingly called "intensity". |
| Spectral radiance | $L_{\lambda}$ <br> Or $L_{v}$ | watt per steradian per metre ${ }^{3}$ or $\qquad$ per Hertz | $\mathrm{W} \cdot \mathrm{sr}^{-1} \cdot \mathrm{~m}^{-3}$ <br> or <br> $\mathrm{W} \cdot \mathrm{sr}^{-1} \cdot \mathrm{~m}^{-2} \cdot \mathrm{~Hz}^{-1}$ | commonly measured in $\mathrm{W} \cdot \mathrm{sr}^{-1} \cdot \mathrm{~m}^{-2} \cdot \mathrm{~nm}$ -1 |
| Spectral irradiance | E <br> or Ev | watt per metre ${ }^{3}$ or watt per square metre per hertz | $W \cdot m^{-3}$ <br> or $\mathrm{W} \cdot \mathrm{~m}^{-2} \cdot \mathrm{~Hz}^{-1}$ | commonly measured in $W \cdot m^{-2} \cdot n m^{-1}$ |

http://en.wikipedia.org/wiki/Radiance

## SI Units

## Spectral radiance has SI units

$\mathrm{W} \cdot \mathrm{sr}^{-1} \cdot \mathrm{~m}^{-3}$

when measured per unit wavelength, and $\mathrm{W} \cdot \mathrm{sr}^{-1} \cdot \mathrm{~m}^{-2} \mathrm{~Hz}^{-1}$
when measured per unit frequency interval.

## Photometry

## Usefulness to the Human Observer

Given a spectral radiometric quantity $f_{r}(\lambda)$ there is a related photometric quantity

$$
f_{p}=683 \frac{l m}{W} \int_{\lambda=380 \mathrm{~nm}}^{800 \mathrm{~nm}} \bar{y}(\lambda) f_{r}(\lambda) d \lambda
$$

where $\bar{y}$ is the luminous efficiency function of the human visual system.
$\overline{\mathrm{y}}$ is 0 for $\lambda<380 \mathrm{~nm}$, the ultraviolet range.
$\bar{y}$ then increases as $\lambda$ increases until $\lambda=555 \mathrm{~nm}$, pure green.
$\bar{y}$ then decreases, reaching 0 when $\lambda=800 \mathrm{~nm}$, the boundary with the infrared region.

## 1931 CIE Luminous Efficiency Function



## Luminance

$$
Y=683 \frac{l m}{W} \int_{\lambda=380 \mathrm{~nm}}^{800 \mathrm{~nm}} \bar{y}(\lambda) L(\lambda) d \lambda
$$

$Y$ is luminance when $L$ is spectral radiance.
$l m$ is for lumens and $W$ is for watts.
Luminance describes the amount of light that passes through or is emitted from a particular area, and falls within a given solid angle.

## Color

Given a detector, e.g. eye or camera,

$$
\text { response }=k \int w(\lambda) L(\lambda) d \lambda
$$

The eye has three type of sensors, cones, for daytime color vision.

This was verified in the 1800's.
Wyszecki \& Stiles, 1992 show how this was done.

## Tristimulus Color Theory

Assume the eye has three independent sensors. Then the response of the sensors to a spectral radiance $A(\lambda)$ is

Blue receptors $=$ Short $S=\int s(\lambda) A(\lambda) d \lambda$
Green receptors $=$ Medium $M=\int m(\lambda) A(\lambda) d \lambda$

$$
\text { Red Receptors }=\text { Long } L=\int l(\lambda) A(\lambda) d \lambda
$$

If two spectral radiances $A_{1}$ and $A_{2}$ produce the same ( $S, M, L$ ), they are indistinguishable and called metamers.

## Three Spotlights



## Response to a Mixed Light



The $S$ response to $A(\lambda)$ is $r S_{R}+g S_{G}+b S_{B}$.

## Matching Lights

Given a light with spectral radiance $C(\lambda)$,

a subject uses control knobs to set the fraction of $R(\lambda), G(\lambda)$, and $B(\lambda)$ to match the given color.

## Matching Lights

Assume the sensor responses to $C(\lambda)$ are
( $S_{C}, M_{C}, L_{C}$ ), then

$$
\begin{gathered}
S_{C}=r S_{R}+g S_{G}+b S_{B} \\
M_{C}=r M_{R}+g M_{G}+b S_{B} \\
L_{C}=r L_{R}+g L_{G}+b L_{B}
\end{gathered}
$$

Users could make the color matches.
So there really are three sensors.
But, there is no guarantee in the equations that $r, g$, and $b$ are positive or less than 1.

## Matching Lights

Not all test lights can be matched with positive $r, g, b$.
Allow the subject to mix combinations of $R(\lambda), G(\lambda)$, and $B(\lambda)$ with the test color.
If $C(\lambda)+0.3 R(\lambda)$ matches $0 \cdot R(\lambda)+g G(\lambda)+b B(\lambda)$ then $r=-0.3$.
Two different spectra can have the same $r, g, b$.
Any three independent lights can be used to specify a color.
What are the best lights to use for standardizing color matching?

## The Monochromatic Primaries

- The three monochromatic primaries are at standardized wavelengths of
- 700 nm (red)
- Hard to reproduce as a monchromatic beam, resulting in small errors.
- Max of human visual range.
- 546.1 nm (green)
- 435.8 nm (blue).
- The last two wavelengths are easily reproducible monochromatic lines of a mercury vapor discharge.
- http://en.wikipedia.org/wiki/CIE_1931_color_space


## CIE 1931 RGB Color Matching Functions



How much of $r, g$, $b$ was needed to match each $\lambda$.

## CIE Tristimulus Values ala Shirley

- The CIE defined the $X Y Z$ system in the 1930s.
- The lights are imaginary.
- One of the lights is grey - no hue information.
- The other two lights have zero luminance and provide only hue information, chromaticity.


## Chromaticity and Luminance



Chromaticity


## CIE 1931 xy Chromaticity Diagram Gamut and Location of the CIE RGB primaries


represents all of the chromaticities visible to the average person

## CIE XYZ color space color matching functions $\bar{x}(\lambda), \bar{y}(\lambda), \bar{z}(\lambda)$

1. Color matching functions were to be everywhere greater than or equal to zero.
2. The $\bar{y}(\lambda)$ color matching function $=$ the photopic luminous efficiency function.
3. $x=y=1 / 3$ is the the white point.
4. Gamut of all colors is inside the triangle [ 1,0$]$, [0,0], [0,1].
5. $\bar{z}(\lambda)=$ zero above 650 nm .
http://en.wikipedia.org/wiki/CIE_1931_color_space

## CIE 1931 Standard Observer Colorimetric XYZ Functions

between 380 nm and 780 nm


## XYZ Tristimulus Values for a Color with Spectral Distribution $I(\lambda)$

$$
\begin{array}{rll}
X=\int_{0}^{\infty} I(\lambda) \bar{x}(\lambda) & & \text { Chromaticity }=(x, y)=\left(\frac{X}{X+Y+Z}, \frac{Y}{X+Y+Z}\right) \\
Y=\int_{0}^{\infty} I(\lambda) \bar{y}(\lambda) & \text { Luminance }=Y \\
Z=\int_{0}^{\infty} I(\lambda) \bar{z}(\lambda) & (X, Y, Z)=\left(\frac{x Y}{y}, Y, \frac{(1-x-y) Y}{y}\right) \\
{\left[\begin{array}{l}
X \\
Y \\
Z
\end{array}\right]=\frac{1}{b_{21}}\left[\begin{array}{lll}
b_{11} & b_{12} & b_{13} \\
b_{21} & b_{22} & b_{23} \\
b_{31} & b_{32} & b_{33}
\end{array}\right]\left[\begin{array}{l}
R \\
G \\
B
\end{array}\right]=\frac{1}{0.17697}\left[\begin{array}{ccc}
0.49 & 0.31 & 0.20 \\
0.17697 & 0.81240 & 0.01063 \\
0.00 & 0.01 & 0.99
\end{array}\right]\left[\begin{array}{l}
R \\
G \\
B
\end{array}\right]}
\end{array}
$$

## CIE XYZ color space



## Adding R, G, and B Values


http://en.wikipedia.org/wiki/RGB

## RGB Color Cube



## CMY Complements of RGB

- CM K are commonly used for inks.
- They are called the subtractive colors.
- Yellow ink removes blue light.


## Subtractive Color Mixing



## CMYK $\rightarrow$ CMY $\rightarrow$ RGB

## in Theory

$$
\begin{aligned}
& \mathrm{C}_{\mathrm{CMYK}}=(\mathrm{C}, \mathrm{M}, \mathrm{Y}, \mathbf{K}) \\
& \quad \downarrow \\
& \mathrm{C}_{\mathrm{CMY}}=(\mathrm{C}, \mathrm{M} \quad, Y \quad)=(\mathrm{C}(1-\mathbf{K})+\mathbf{K}, \mathrm{M}(1-\mathbf{K})+\mathbf{K}, Y(1-\mathbf{K})+\mathbf{K}) \\
& \quad \downarrow \\
& \mathrm{C}_{\mathrm{RGB}}=(\mathrm{R}, \mathrm{G}, \mathrm{~B})=(1-\mathrm{C} \quad, 1-\mathbb{M} \quad, 1-Y \quad) \\
& \quad=(1-(C(1-\mathbf{K})+\mathbf{K}), 1-(\mathbb{M}(1-\mathbf{K})+\mathbf{K}), 1-((1-\mathbf{K})+\mathbf{K}))
\end{aligned}
$$

## RGB $\rightarrow$ CMY $\rightarrow$ CMYK <br> in Theory

$R G B \rightarrow C M Y K$ is not unique.

$$
\begin{aligned}
& C_{R G B}=(R, G, B) \\
& \quad \downarrow \\
& C_{C M Y}=(C, M, Y)=(1-R, 1-G, 1-B) \\
& \quad \downarrow \\
& \text { if } \min (C, M, Y)==1 \text { then } C_{C M Y K}=(0,0,0,1) \\
& \text { else } K=\min (C, M, Y) \\
& C_{C M Y K}=((C-K) /(1-K),(M-K) /(1-K),(Y-K) /(1-K), K)
\end{aligned}
$$

This uses as much black as possible.

## $\mathrm{CM} Y \mathrm{~K} \rightarrow \mathrm{CMY} \rightarrow \mathrm{RGB}$

in Practice

- RGB is commonly used for displays.
- смүK is commonly used for 4-color printing.
- смук or сму can be used for displays.
- Cmy colours mix more naturally than RGB colors for people who grew up with crayons and paint.
- Printing inks do not have the same range as RGB display colors.


## Time for a Break



## Color Spaces

- RGB and CMYK are color models.
- A mapping between the color model and an absolute reference color space results a gamut, defines a new color space.


CIE 1931 xy chromaticity diagram showing the gamut of the sRGB color space and location of the primaries.

## RGB vs CMYK Space



## Blue

## RGB(0, 0, 255) converted in Photoshop to CMYK becomes $\operatorname{CMYK}(88,77,0,0)=\operatorname{RGB}(57,83,164)$.

## Color Spaces for Designers

- Mixing colors in RGB is not natural.
- Mixing colors in CMY is a bit more natural but still not very intuitive.
- How do you make a color paler?
- How do you make a color brighter?
- How do you make this color?
- How do you make this color?
- HSV (HSB) and HSL (HSI) are systems for designers.


## HSV (Hue, Saturation, Value) HSB (Hue, Saturation, Brightness)

- Hue (e.g. red, blue, or yellow):
- Ranges from 0-360
- Saturation, the "vibrancy" or "purity" of the color:
- Ranges from 0-100\%
- The lower the saturation of a color, the more "grayness" is present and the more faded or pale the color will appear.
- Value, the brightness of the color:
- Ranges from 0-100\%


## HSV <br> http://en.wikipedia.org/wiki/HSV color space



Created in the GIMP by Wapcaplet

## HSV Cylinder



## HSV Annulus



## HSL



## RGB $\rightarrow$ HSV

Given $(R, G, B) \quad 0.0 \leq R, G, B \leq 1.0$
$M A X=\max (R, G, B) \quad M I N=\min (R, G, B)$

$$
\begin{aligned}
& H= \begin{cases}60 \times \frac{G-B}{M A X-M I N}+0 & \text { if } M A X=R \text { and } G \geq B \\
60 \times \frac{G-B}{M A X-M I N}+360 & \text { if } M A X=R \text { and } G<B \\
60 \times \frac{B-R}{M A X-M I N}+120 & \text { if } M A X=G \\
60 \times \frac{R-G}{M A X-M I N}+240 & \text { if } M A X=B\end{cases} \\
& S=\frac{M A X-M I N}{M A X} \\
& V=M A X
\end{aligned}
$$

## HSV $\rightarrow$ RGB

Given color $(H, S, V) 0.0 \leq H \leq 360.0,0.0 \leq S, V \leq 1.0$ if $S==0.0$ then $R=G=B=V$ and $H$ and $S$ don't matter. else

$$
\begin{aligned}
& H_{i}=\left\lfloor\frac{H}{60}\right\rfloor \bmod 6 \quad f=\frac{H}{60}-H_{i} \\
& p=V(1-S) \quad q=V(1-f S) \quad t=V(1-(1-f) S) \\
& \text { if } H_{i}=0 \rightarrow R=V, G=t, B=p \\
& \text { if } H_{i}=1 \rightarrow R=q, G=V, B=p \\
& \text { if } H_{i}=2 \rightarrow R=p, G=V, B=t \\
& \text { if } H_{i}=3 \rightarrow R=p, G=q, B=V \\
& \text { if } H_{i}=4 \rightarrow R=t, G=p, B=V \\
& \text { if } H_{i}=5 \rightarrow R=V, G=p, B=q
\end{aligned}
$$

## YIQ

NTSC Television YIQ is a linear transformation of RGB.

- exploits characteristics of human visual system
- maximizes use of fixed bandwidth
- provides compatibility with B\&W receivers
- $\mathrm{Y}=0.299 \mathrm{R}+0.587 \mathrm{G}+0.114 \mathrm{~B}$ luminance
- $1=0.74(R-Y)-0.27(B-Y)\}$ chrominance
- $Q=0.48(R-Y)+0.41(B-Y)$
- See http://en.wikipedia.org/wiki/YIQ and discussion


## YIQ

- $Y$ is all that is used for B\&W TV
- B-Y and R-Y small for dark and low saturation colors
- Y is transmitted at bandwidth 4.2 MHz
- I at 1.3 MHz
- Q at . 7 MHz .


